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## Annex A (normative): Measurement channels

### A.1 General

TBD

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### A.2 UL reference measurement channels

#### A.2.1 General

TBD

#### A.2.2 Void

## A.2.3 Reference measurement channels for TDD

For UL RMCs defined below, TDD slot pattern defined in Table A.2.3-1 will be used for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, TDD slot patterns defined for reference sensitivity tests in Table A.3.3.1-1 will be used.

**Table A.2.3-1: Additional reference channels parameters for TDD**

| Parameter  |                                      | Value                             |                                   |
|--|--------------------------------------|-----------------------------------|-----------------------------------|
|  |                                      | SCS 60 kHz<br>( $\mu=2$ )         | SCS 120 kHz<br>( $\mu=3$ )        |
| TDD Slot Configuration pattern (Note 1)  |                                      | DDDSUUUUU                         | 7DS8U                             |
| Special Slot Configuration (Note 2)  |                                      | S=4D+6G+4U                        | S=12D+2G                          |
| UL-DL<br>configuration   | <i>referenceSubcarrierSpacing</i>    | 60 kHz                            | 120 kHz                           |
|  | <i>dl-UL-TransmissionPeriodicity</i> | 2 ms                              | 2 ms                              |
|  | <i>nrofDownlinkSlots</i>             | 3                                 | 7                                 |
|  | <i>nrofDownlinkSymbols</i>           | 4                                 | 12                                |
|  | <i>nrofUplinkSlot</i>                | 4                                 | 8                                 |
|  | <i>nrofUplinkSymbols</i>             | 4                                 | 0                                 |
|  |                                      | mod(slot index, 40) = {36,...,39} | mod(slot index, 80) = {72,...,79} |
| NOTE 1: D denotes a slot with all DL symbols; S denotes a slot with a mix of DL, UL and guard symbols; U denotes a slot with all UL symbols. The field is for information. |                                      |                                   |                                   |
| NOTE 2: D, G, U denote DL, guard and UL symbols, respectively. The field is for information.   |                                      |                                   |                                   |

### A.2.3.1 DFT-s-OFDM Pi/2-BPSK

**Table A.2.3.1-1: Reference Channels for DFT-s-OFDM pi/2-BPSK**

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | pi/2 BPSK  | 0                  | 24           | 16                  | 2               | 1                                       | 132                           | 132                              |
|  | 16                                      | 11                                   | pi/2 BPSK  | 0                  | 504          | 16                  | 2               | 1                                       | 2112                          | 2112                             |
|  | 32                                      | 11                                   | pi/2 BPSK  | 0                  | 1032         | 16                  | 2               | 1                                       | 4224                          | 4224                             |
|  | 64                                      | 11                                   | pi/2 BPSK  | 0                  | 2024         | 16                  | 2               | 1                                       | 8448                          | 8448                             |
|  | 128                                     | 11                                   | pi/2 BPSK  | 0                  | 3976         | 24                  | 2               | 2                                       | 16896                         | 16896                            |
|  | 256                                     | 11                                   | pi/2 BPSK  | 0                  | 7944         | 24                  | 2               | 3                                       | 33792                         | 33792                            |
| <p>NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.</p> <p>NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.</p> <p>NOTE 3: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit)</p> <p>NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying <math>\text{mod}(\text{slot index}+1, 5) = 0</math> with TDD UL-DL configuration specified in A.3.3.1.</p> <p>NOTE 5: The RMCs apply to all channel bandwidth where <math>L_{CRB} \leq N_{RB}</math>.</p> |   |                                      |            |                    |              |                     |                 |   |                               |                                  |

**Table A.2.3.1-2: Void**

### A.2.3.2 DFT-s-OFDM QPSK

**Table A.2.3.2-1: Reference Channels for DFT-s-OFDM QPSK**

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | QPSK       | 2                  | 48           | 16                  | 2               | 1                                       | 264                           | 132                              |
|  | 16                                      | 11                                   | QPSK       | 2                  | 808          | 16                  | 2               | 1                                       | 4224                          | 2112                             |
|  | 20                                      | 11                                   | QPSK       | 2                  | 1032         | 16                  | 2               | 1                                       | 5280                          | 2640                             |
|  | 32                                      | 11                                   | QPSK       | 2                  | 1608         | 16                  | 2               | 1                                       | 8448                          | 4224                             |
|  | 64                                      | 11                                   | QPSK       | 2                  | 3240         | 16                  | 2               | 1                                       | 16896                         | 8448                             |
|  | 128                                     | 11                                   | QPSK       | 2                  | 6408         | 24                  | 2               | 2                                       | 33792                         | 16896                            |
|  | 256                                     | 11                                   | QPSK       | 2                  | 12808        | 24                  | 2               | 4                                       | 67584                         | 33792                            |
| <p>NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.</p> <p>NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.</p> <p>NOTE 3: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit)</p> <p>NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying <math>\text{mod}(\text{slot index}+1, 5) = 0</math> with TDD UL-DL configuration specified in A.3.3.1.</p> <p>NOTE 5: The RMCs apply to all channel bandwidth where <math>L_{CRB} \leq N_{RB}</math>.</p> |   |                                      |            |                    |              |                     |                 |   |                               |                                  |

**Table A.2.3.2-2: Void**

### A.2.3.3 DFT-s-OFDM 16QAM

**Table A.2.3.3-1: Reference Channels for DFT-s-OFDM 16QAM**

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | 16QAM      | 10                 | 176          | 16                  | 2               | 1                                       | 528                           | 132                              |
|  | 16                                      | 11                                   | 16QAM      | 10                 | 2792         | 16                  | 2               | 1                                       | 8448                          | 2112                             |
|  | 32                                      | 11                                   | 16QAM      | 10                 | 5632         | 24                  | 1               | 1                                       | 16896                         | 4224                             |
|  | 64                                      | 11                                   | 16QAM      | 10                 | 11272        | 24                  | 1               | 2                                       | 33792                         | 8448                             |
|  | 128                                     | 11                                   | 16QAM      | 10                 | 22536        | 24                  | 1               | 3                                       | 67584                         | 16896                            |
|  | 256                                     | 11                                   | 16QAM      | 10                 | 45096        | 24                  | 1               | 6                                       | 135168                        | 33792                            |
| <p>NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.</p> <p>NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.</p> <p>NOTE 3: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit)</p> <p>NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying <math>\text{mod}(\text{slot index}+1, 5) = 0</math> with TDD UL-DL configuration specified in A.3.3.1.</p> <p>NOTE 5: The RMCs apply to all channel bandwidth where <math>L_{CRB} \leq N_{RB}</math>.</p> |   |                                      |            |                    |              |                     |                 |   |                               |                                  |

**Table A.2.3.3-2: Void**

### A.2.3.4 DFT-s-OFDM 64QAM

**Table A.2.3.4-1: Reference Channels for DFT-s-OFDM 64QAM**

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | 64QAM      | 18                 | 408          | 16                  | 2               | 1                                       | 792                           | 132                              |
|  | 16                                      | 11                                   | 64QAM      | 18                 | 6400         | 24                  | 1               | 1                                       | 12672                         | 2112                             |
|  | 32                                      | 11                                   | 64QAM      | 18                 | 12808        | 24                  | 1               | 2                                       | 25344                         | 4224                             |
|  | 64                                      | 11                                   | 64QAM      | 18                 | 25608        | 24                  | 1               | 4                                       | 50688                         | 8448                             |
|  | 128                                     | 11                                   | 64QAM      | 18                 | 51216        | 24                  | 1               | 7                                       | 101376                        | 16896                            |
|  | 256                                     | 11                                   | 64QAM      | 18                 | 102416       | 24                  | 1               | 13                                      | 202752                        | 33792                            |
| <p>NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.</p> <p>NOTE 2: MCS Index is based on MCS table 6.1.4.1-1 defined in 38.214.</p> <p>NOTE 3: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit)</p> <p>NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying <math>\text{mod}(\text{slot index}+1, 5) = 0</math> with TDD UL-DL configuration specified in A.3.3.1.</p> <p>NOTE 5: The RMCs apply to all channel bandwidth where <math>L_{CRB} \leq N_{RB}</math>.</p> |   |                                      |            |                    |              |                     |                 |   |                               |                                  |

Table A.2.3.4-2: Void

## A.2.3.5 CP-OFDM QPSK

Table A.2.3.5-1: Reference Channels for CP-OFDM QPSK

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | QPSK       | 2                  | 48           | 16                  | 2               | 1                                       | 264                           | 132                              |
|  | 16                                      | 11                                   | QPSK       | 2                  | 808          | 16                  | 2               | 1                                       | 4224                          | 2112                             |
|  | 32                                      | 11                                   | QPSK       | 2                  | 1608         | 16                  | 2               | 1                                       | 8448                          | 4224                             |
|  | 33                                      | 11                                   | QPSK       | 2                  | 1672         | 16                  | 2               | 1                                       | 8712                          | 4356                             |
|  | 66                                      | 11                                   | QPSK       | 2                  | 3368         | 16                  | 2               | 1                                       | 17424                         | 8712                             |
|  | 132                                     | 11                                   | QPSK       | 2                  | 6536         | 24                  | 2               | 2                                       | 34848                         | 17424                            |
|  | 264                                     | 11                                   | QPSK       | 2                  | 13064        | 24                  | 2               | 4                                       | 69696                         | 34848                            |
| NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DM-RS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.  |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 3: If more than one Code Block is present, an additional CRC sequence of $L = 24$ Bits is attached to each Code Block (otherwise $L = 0$ Bit)   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A.2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying $\text{mod}(\text{slot index}+1, 5) = 0$ with TDD UL-DL configuration specified in A.3.3.1. |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 5: The RMCs apply to all channel bandwidth where $L_{CRB} \leq N_{RB}$ .  |   |                                      |            |                    |              |                     |                 |   |                               |                                  |

Table A.2.3.5-2: Void

## A.2.3.6 CP-OFDM 16QAM

Table A.2.3.6-1: Reference Channels for CP-OFDM 16QAM

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | 16QAM      | 10                 | 176          | 16                  | 2               | 1                                       | 528                           | 132                              |
|  | 16                                      | 11                                   | 16QAM      | 10                 | 2792         | 16                  | 2               | 1                                       | 8448                          | 2112                             |
|  | 32                                      | 11                                   | 16QAM      | 10                 | 5632         | 24                  | 1               | 1                                       | 16896                         | 4224                             |
|  | 33                                      | 11                                   | 16QAM      | 10                 | 5760         | 24                  | 1               | 1                                       | 17424                         | 4356                             |
|  | 66                                      | 11                                   | 16QAM      | 10                 | 11528        | 24                  | 1               | 2                                       | 34848                         | 8712                             |
|  | 132                                     | 11                                   | 16QAM      | 10                 | 23040        | 24                  | 1               | 3                                       | 69696                         | 17424                            |
|  | 264                                     | 11                                   | 16QAM      | 10                 | 46104        | 24                  | 1               | 6                                       | 139392                        | 34848                            |
| NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DMRS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 3: If more than one Code Block is present, an additional CRC sequence of $L = 24$ Bits is attached to each Code Block (otherwise $L = 0$ Bit)   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A.2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying $\text{mod}(\text{slot index}+1, 5) = 0$ with TDD UL-DL configuration specified in A.3.3.1. |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 5: The RMCs apply to all channel bandwidth where $L_{CRB} \leq N_{RB}$ .  |   |                                      |            |                    |              |                     |                 |   |                               |                                  |



Table A.2.3.6-2: Void

## A.2.3.7 CP-OFDM 64QAM

Table A.2.3.7-1: Reference Channels for CP-OFDM 64QAM

| Parameter  | Allocated resource blocks ( $L_{CRB}$ ) | DFT-s-OFDM Symbols per slot (Note 1) | Modulation | MCS Index (Note 2) | Payload size | Transport block CRC | LDPC Base Graph | Number of code blocks per slot (Note 3) | Total number of bits per slot | Total modulated symbols per slot |
|--|---|--------------------------------------|------------|--------------------|--------------|---------------------|-----------------|---|-------------------------------|----------------------------------|
| Unit   |   |                                      |            |                    | Bits         | Bits                |                 |   | Bits                          |                                  |
|  | 1                                       | 11                                   | 64QAM      | 19                 | 408          | 16                  | 2               | 1                                       | 792                           | 132                              |
|  | 16                                      | 11                                   | 64QAM      | 19                 | 6400         | 24                  | 1               | 1                                       | 12672                         | 2112                             |
|  | 32                                      | 11                                   | 64QAM      | 19                 | 12808        | 24                  | 1               | 2                                       | 25344                         | 4224                             |
|  | 33                                      | 11                                   | 64QAM      | 19                 | 13064        | 24                  | 1               | 2                                       | 26136                         | 4356                             |
|  | 66                                      | 11                                   | 64QAM      | 19                 | 26120        | 24                  | 1               | 4                                       | 52272                         | 8712                             |
|  | 132                                     | 11                                   | 64QAM      | 19                 | 53288        | 24                  | 1               | 7                                       | 104544                        | 17424                            |
|  | 264                                     | 11                                   | 64QAM      | 19                 | 106576       | 24                  | 1               | 13                                      | 209088                        | 34848                            |
| NOTE 1: PUSCH mapping Type-A and single-symbol DM-RS configuration Type-1 with 2 additional DM-RS symbols, such that the DM-RS positions are set to symbols 2, 7, 11. DM-RS is [TDM'ed] with PUSCH data. DM-RS symbols are not counted.  |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 2: MCS Index is based on MCS table 5.1.3.1-1 defined in 38.214.   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 3: If more than one Code Block is present, an additional CRC sequence of $L = 24$ Bits is attached to each Code Block (otherwise $L = 0$ Bit)   |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 4: Indexes of active UL slots are given by Table A.2.3-1 with TDD UL-DL configuration specified in A.2.3 for the requirements requiring at least one sub frame (1ms) for the measurement period. For other requirements, indexes of active UL slots are given by the slots satisfying $\text{mod}(\text{slot index}+1, 5) = 0$ with TDD UL-DL configuration specified in A.3.3.1. |   |                                      |            |                    |              |                     |                 |   |                               |                                  |
| NOTE 5: The RMCs apply to all channel bandwidth where $L_{CRB} \leq N_{RB}$ .  |   |                                      |            |                    |              |                     |                 |   |                               |                                  |

Table A.2.3.7-2: Void

## A.3 DL reference measurement channels

### A.3.1 General

Unless otherwise stated, Tables A.3.3.2-1 and A.3.3.2-2 are applicable for measurements of the Receiver Characteristics (clause 7).

Unless otherwise stated, Tables A.3.3.2-1 and A.3.3.2-2 also apply for the modulated interferer used in Clauses 7.5 and 7.6 with test specific bandwidths.

CSI-RS configuration parameter defined in A.3.1-2 is used for verifying the beam correspondence requirement, 2 slots of CSI-RS shall be provided at each test grid point. The DL channel shall be configured for zero power on all tones except those used by CSI-RS in slots containing CSI-RS for beam refinement, and the DL and UL channel sizes shall be the same during verification.

**Table A.3.1-1: Test parameters**

| Parameter                                    |   | Unit  | Value  |
|--|---|-------|--|
| CORESET frequency domain allocation          |   |       | Full BW  |
| CORESET time domain allocation               |   |       | 2 OFDM symbols at the begin of each slot   |
| PDSCH mapping type                           |   |       | Type A   |
| PDSCH start symbol index (S)                 |   |       | 2  |
| Number of consecutive PDSCH symbols (L)      |   |       | 12   |
| PDSCH PRB bundling                           |   | PRBs  | 2  |
| Dynamic PRB bundling                         |   |       | false  |
| MCS table for TBS determination              |   |       | 64QAM  |
| Overhead value for TBS determination         |   |       | 0  |
| First DMRS position for Type A PDSCH mapping |   |       | 2  |
| DMRS type                                    |   |       | Type 1   |
| Number of additional DMRS                    |   |       | 2  |
| FDM between DMRS and PDSCH                   |   |       | Disable  |
| CSI-RS for tracking                          | First subcarrier index in the PRB used for CSI-RS ( $k_0$ ) |       | 0 for CSI-RS resource 1,2  |
|  | OFDM symbols in the PRB used for CSI-RS                     |       | $l_0 = 8$ for CSI-RS resource 1<br>$l_0 = 12$ for CSI-RS resource 2                          |
|  | Number of CSI-RS ports                                      |       | 1 for CSI-RS resource 1,2  |
|  | CDM Type  |       | 'No CDM' for CSI-RS resource 1,2   |
|  | Density ( $\rho$ )  |       | 3 for CSI-RS resource 1,2  |
|  | CSI-RS periodicity  | Slots | 60 kHz SCS: 80 for CSI-RS resources 1 and 2<br>120 kHz SCS: 160 for CSI-RS resources 1 and 2 |
|  | CSI-RS offset   | Slots | 60 kHz SCS: 40 for CSI-RS resources 1 and 2<br>120kHz SCS: 80 for CSI-RS resources 1 and 2   |
|  | Frequency Occupation  |       | Start PRB 0<br>Number of PRB = BWP size  |
|  | QCL info  |       | TCI state #0   |
| PTRS configuration                           |   |       | PTRS is not configured   |

**Table A.3.1-2: CSI-RS parameters**

|                             |  |
|-----------------------------|--|
| Resource Type               | aperiodic  |
| <b>Resource Set Config</b>  |  |
| repetition                  | on   |
| aperiodicTriggeringOffset   | Depending on UE capability   |
| <b>Resource Config</b>      |  |
| nzp-CSI-RS-ResourceId       | 30 for resource #0   |
|                             | 31 for resource #1   |
|                             | 32 for resource #2   |
|                             | 33 for resource #3   |
|                             | 34 for resource #4   |
|                             | 35 for resource #5   |
|                             | 36 for resource #6   |
|                             | 37 for resource #7   |
| powerControlOffset          | 0  |
| powerControlOffsetSS        | db0  |
| nrofPorts                   | 1  |
| firstOFDMSymbolInTimeDomain | 6 for resource #0  |
|                             | 7 for resource #1  |
|                             | 8 for resource #2  |
|                             | 9 for resource #3  |
|                             | 10 for resource #4   |
|                             | 11 for resource #5   |
|                             | 12 for resource #6   |
|                             | 13 for resource #7   |
| cdm-Type                    | noCDM  |
| density                     | 3  |
| nrofRBs                     | 48 for channel bandwidth $\geq$ 100MHz<br>32 for channel bandwidth=50MHz |
| qcl-info                    | Type D to SSB  |

The CSI-RS configuration parameter defined in Table A.3.1-3 is used for verifying the beam correspondence requirement. CSI-RS shall be provided once every 10msec.

**Table A.3.1-3: CSI-RS parameters for CSI-RS based beam correspondence**

| Resource Type               | aperiodic  |
|-----------------------------|--|
| <b>Resource Set Config</b>  |  |
| repetition                  | on   |
| aperiodicTriggeringOffset   | Depending on UE capability   |
| <b>Resource Config</b>      |  |
| nzp-CSI-RS-ResourceId       | 30 for resource #0   |
|                             | 31 for resource #1   |
|                             | 32 for resource #2   |
|                             | 33 for resource #3   |
|                             | ...  |
|                             | ...  |
|                             | ...  |
|                             | 29+N for resource #(N-1), where N is <i>maxNumberRxBeam</i> in UE capability IE of <i>MIMO-ParametersPerBand</i> |
| powerControlOffset          | 0  |
| powerControlOffsetSS        | db0  |
| nrofPorts                   | 1  |
| firstOFDMSymbolInTimeDomain | 6 for resource #0  |
|                             | 7 for resource #1  |
|                             | 8 for resource #2  |
|                             | 9 for resource #3  |
|                             | ...  |
|                             | ...  |
|                             | ...  |
|                             | 5+N for resource #(N-1), where N= <i>maxNumberRxBeam</i> -1 in UE capability IE of <i>MIMO-ParametersPerBand</i> |
| cdm-Type                    | noCDM  |
| density                     | 3  |
| nrofRBs                     | 48 for channel bandwidth $\geq$ 100MHz<br>32 for channel bandwidth=50MHz   |
| qcl-info                    | Type D to SSB  |

## A.3.2 Void

## A.3.3 DL reference measurement channels for TDD

### A.3.3.1 General

**Table A.3.3.1-1: Additional test parameters for TDD**

| Parameter                |                                      | Value   |   |
|--------------------------|--------------------------------------|---|---|
|                          |                                      | SCS 60 kHz ( $\mu=2$ )  | SCS 120 kHz ( $\mu=3$ )   |
| UL-DL configuration      | <i>referenceSubcarrierSpacing</i>    | 60 kHz  | 120 kHz   |
|                          | <i>dl-UL-TransmissionPeriodicity</i> | 1.25 ms   | 0.625 ms  |
|                          | <i>nrofDownlinkSlots</i>             | 3   | 3   |
|                          | <i>nrofDownlinkSymbols</i>           | 4   | 10  |
|                          | <i>nrofUplinkSlot</i>                | 1   | 1   |
|                          | <i>nrofUplinkSymbols</i>             | 4   | 2   |
| Number of HARQ Processes |                                      | 8   | 8   |
| K1 value                 |                                      | K1 = 4 if $\text{mod}(i,5) = 0$<br>K1 =3 if $\text{mod}(i,5) = 1$<br>K1 =7 if $\text{mod}(i,5) = 2$<br>where i is slot index per frame;<br>i = {0,...,39} | K1 = 4 if $\text{mod}(i,5) = 0$<br>K1 =3 if $\text{mod}(i,5) = 1$<br>K1 =7 if $\text{mod}(i,5) = 2$<br>where i is slot index per frame;<br>i = {0,...,79} |

### A.3.3.2 FRC for receiver requirements for QPSK

**Table A.3.3.2-1: Fixed Reference Channel for Receiver Requirements (SCS 60 kHz, TDD)**

| Parameter   | Unit | Value   |         |         |
|---|------|---------|---------|---------|
| Channel bandwidth   | MHz  | 50      | 100     | 200     |
| Subcarrier spacing configuration $\mu$  |      | 2       | 2       | 2       |
| Allocated resource blocks   |      | 66      | 132     | 264     |
| Subcarriers per resource block  |      | 12      | 12      | 12      |
| Allocated slots per Frame (NOTE 7)  |      | 23 / 24 | 23 / 24 | 23 / 24 |
| MCS index   |      | 4       | 4       | 4       |
| Modulation  |      | QPSK    | QPSK    | QPSK    |
| Target Coding Rate  |      | 1/3     | 1/3     | 1/3     |
| Maximum number of HARQ transmissions  |      | 1       | 1       | 1       |
| Information Bit Payload per Slot  |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$ (NOTE 5)  | Bits | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$ (NOTE 6)  | Bits | 4224    | 8456    | 16896   |
| Transport block CRC   | Bits | 24      | 24      | 24      |
| LDPC base graph   |      | 1       | 1       | 1       |
| Number of Code Blocks per Slot  |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$ (NOTE 5)  | CBs  | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$ (NOTE 6)  | CBs  | 1       | 2       | 2       |
| Binary Channel Bits Per Slot  |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$ (NOTE 5)  | Bits | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$ (NOTE 6)  | Bits | 14256   | 28512   | 57024   |
| Max. Throughput averaged over 1 frame (NOTE 8)  | Mbps | 10.138  | 20.294  | 40.550  |
| <p>Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.</p> <p>Note 2: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit).</p> <p>Note 3: SS/PBCH block is transmitted in slot 0 with periodicity 20 ms</p> <p>Note 4: Slot i is slot index per 2 frames</p> <p>Note 5: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if <math>\text{mod}(i, 8) = \{3,4,5,6,7\}</math> for i from <math>\{0, \dots, 79\}</math> together with the TDD UL-DL configuration specified in A2.3.</p> <p>Note 6: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if <math>\text{mod}(i, 8) = \{0,1,2\}</math> for i from <math>\{0, \dots, 79\}</math> together with the TDD UL-DL configuration specified in A2.3.</p> <p>NOTE 7: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.</p> <p>NOTE 8: Throughput is averaged over 2nd frame of RMC.</p> |      |         |         |         |

**Table A.3.3.2-2: Fixed Reference Channel for Receiver Requirements (SCS 120 kHz, TDD)**

| Parameter  | Unit | Value   |         |         |         |
|--|------|---------|---------|---------|---------|
| Channel bandwidth  | MHz  | 50      | 100     | 200     | 400     |
| Subcarrier spacing configuration $\mu$   |      | 3       | 3       | 3       | 3       |
| Allocated resource blocks  |      | 32      | 66      | 132     | 264     |
| Subcarriers per resource block   |      | 12      | 12      | 12      | 12      |
| Allocated slots per Frame (NOTE 7)   |      | 47 / 48 | 47 / 48 | 47 / 48 | 47 / 48 |
| MCS index  |      | 4       | 4       | 4       | 4       |
| Modulation   |      | QPSK    | QPSK    | QPSK    | QPSK    |
| Target Coding Rate   |      | 1/3     | 1/3     | 1/3     | 1/3     |
| Maximum number of HARQ transmissions   |      | 1       | 1       | 1       | 1       |
| Information Bit Payload per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$ (NOTE 5)  | Bits | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$ (NOTE 6)  | Bits | 2088    | 4224    | 8456    | 16896   |
| Transport block CRC  | Bits | 16      | 24      | 24      | 24      |
| LDPC base graph  |      | 2       | 1       | 1       | 1       |
| Number of Code Blocks per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$ (NOTE 5)  | CBs  | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$ (NOTE 6)  | CBs  | 1       | 1       | 2       | 2       |
| Binary Channel Bits Per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$ (NOTE 5)  | Bits | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$ (NOTE 6)  | Bits | 6912    | 14256   | 28512   | 57024   |
| Max. Throughput averaged over 1 frame (NOTE 8)   | Mbps | 10.022  | 20.275  | 40.589  | 81.101  |
| <p>Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.</p> <p>Note 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).</p> <p>Note 3: SS/PBCH block is transmitted in slot 0 with periodicity 20 ms</p> <p>Note 4: Slot i is slot index per 2 frames</p> <p>Note 5: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if <math>\text{mod}(i, 16) = \{7, \dots, 15\}</math> for i from <math>\{0, \dots, 159\}</math> together with the TDD UL-DL configuration specified in A2.3.</p> <p>Note 6: When this DL RMC used together with the UL RMC for the transmitter requirements requiring at least one sub frame (1ms) for the measurement period, Slot i, if <math>\text{mod}(i, 16) = \{0, \dots, 6\}</math> for i from <math>\{0, \dots, 159\}</math> together with the TDD UL-DL configuration specified in A2.3.</p> |      |         |         |         |         |

### A.3.3.3 FRC for receiver requirements for 16QAM

TBD

### A.3.3.4 FRC for receiver requirements for 64QAM

**Table A.3.3.4-1: Fixed Reference Channel for Receiver Requirements (SCS 60 kHz, TDD)**

| Parameter  | Unit | Value   |         |         |
|--|------|---------|---------|---------|
| Channel bandwidth  | MHz  | 50      | 100     | 200     |
| Subcarrier spacing configuration $\mu$   |      | 2       | 2       | 2       |
| Allocated resource blocks  |      | 66      | 132     | 264     |
| Subcarriers per resource block   |      | 12      | 12      | 12      |
| Allocated slots per Frame (NOTE 6)   |      | 23 / 24 | 23 / 24 | 23 / 24 |
| MCS index  |      | 19      | 19      | 19      |
| Modulation   |      | 64QAM   | 64QAM   | 64QAM   |
| Target Coding Rate   |      | 1/2     | 1/2     | 1/2     |
| Maximum number of HARQ transmissions   |      | 1       | 1       | 1       |
| Information Bit Payload per Slot   |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$  | Bits | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 10) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$   | Bits | 20496   | 40976   | 81976   |
| Transport block CRC  | Bits | 24      | 24      | 24      |
| LDPC base graph  |      | 1       | 1       | 1       |
| Number of Code Blocks per Slot   |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$  | CBs  | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 10) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$   | CBs  | 3       | 5       | 10      |
| Binary Channel Bits Per Slot   |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$  | Bits | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$  | Bits | 40392   | 80784   | 161568  |
| Max. Throughput averaged over 1 frame (NOTE 7)   | Mbps | 49.190  | 98.343  | 196.742 |
| <p>Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.</p> <p>Note 2: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit).</p> <p>Note 3: SS/PBCH block is transmitted in slot 0 with periodicity 20 ms</p> <p>Note 4: Slot i is slot index per 2 frames</p> <p>Note 5: PTRS is configured on symbols containing PDSCH with 1 port, per 2PRB in frequency domain, per symbol in time domain. Overhead for TBS calculation is assumed to be 6.</p> <p>NOTE 6: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.</p> <p>NOTE 7: Throughput is averaged over 2nd frame of RMC.</p> |      |         |         |         |

**Table A.3.3.4-2: Fixed Reference Channel for Receiver Requirements (SCS 120 kHz, TDD)**

| Parameter  | Unit | Value   |         |         |         |
|--|------|---------|---------|---------|---------|
| Channel bandwidth  | MHz  | 50      | 100     | 200     | 400     |
| Subcarrier spacing configuration $\mu$   |      | 3       | 3       | 3       | 3       |
| Allocated resource blocks  |      | 32      | 66      | 132     | 264     |
| Subcarriers per resource block   |      | 12      | 12      | 12      | 12      |
| Allocated slots per Frame (NOTE 6)   |      | 47 / 48 | 47 / 48 | 47 / 48 | 47 / 48 |
| MCS index  |      | 19      | 19      | 19      | 19      |
| Modulation   |      | 64QAM   | 64QAM   | 64QAM   | 64QAM   |
| Target Coding Rate   |      | 1/2     | 1/2     | 1/2     | 1/2     |
| Maximum number of HARQ transmissions   |      | 1       | 1       | 1       | 1       |
| Information Bit Payload per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$   | Bits | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$   | Bits | 9992    | 20496   | 40976   | 81976   |
| Transport block CRC  | Bits | 24      | 24      | 24      | 24      |
| LDPC base graph  |      | 1       | 1       | 1       | 1       |
| Number of Code Blocks per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$   | CBs  | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$   | CBs  | 2       | 3       | 5       | 10      |
| Binary Channel Bits Per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$   | Bits | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$   | Bits | 19584   | 40392   | 80784   | 161568  |
| Max. Throughput averaged over 1 frame (NOTE 7)   | Mbps | 47.962  | 98.381  | 196.685 | 393.485 |
| <p>Note 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.</p> <p>Note 2: If more than one Code Block is present, an additional CRC sequence of <math>L = 24</math> Bits is attached to each Code Block (otherwise <math>L = 0</math> Bit).</p> <p>Note 3: SS/PBCH block is transmitted in slot with periodicity 20 ms</p> <p>Note 4: Slot i is slot index per 2 frames</p> <p>Note 5: PTRS is configured on symbols containing PDSCH with 1 port, per 2PRB in frequency domain, per symbol in time domain. Overhead for TBS calculation is assumed to be 6.</p> <p>NOTE 6: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.</p> <p>NOTE 7: Throughput is averaged over 2nd frame of RMC.</p> |      |         |         |         |         |



### A.3.3.5 FRC for receiver requirements for 256QAM

**Table A.3.3.5-1 Fixed Reference Channel for Receiver Requirements (SCS 60 kHz, TDD)**

| Parameter   | Unit | Value   |         |         |
|---|------|---------|---------|---------|
| Channel bandwidth   | MHz  | 50      | 100     | 200     |
| Subcarrier spacing configuration $\mu$  |      | 2       | 2       | 2       |
| Allocated resource blocks   |      | 66      | 132     | 264     |
| Subcarriers per resource block  |      | 12      | 12      | 12      |
| Allocated slots per Frame (NOTE 6)  |      | 23 / 24 | 23 / 24 | 23 / 24 |
| MCS index   |      | 24      | 24      | 24      |
| Modulation  |      | 256QAM  | 256QAM  | 256QAM  |
| Target Coding Rate  |      | 4/5     | 4/5     | 4/5     |
| Maximum number of HARQ transmissions  |      | 1       | 1       | 1       |
| Information Bit Payload per Slot  |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$   | Bits | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$   | Bits | 44040   | 88064   | 176208  |
| Transport block CRC   | Bits | 24      | 24      | 24      |
| LDPC base graph   |      | 1       | 1       | 1       |
| Number of Code Blocks per Slot  |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$   | CBs  | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$   | CBs  | 6       | 11      | 21      |
| Binary Channel Bits Per Slot  |      |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 79\}$   | Bits | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 79\}$   | Bits | 53856   | 107712  | 215424  |
| Max. Throughput averaged over 1 frame (NOTE 7)  | Mbps | 105.696 | 211.354 | 422.899 |
| <p>NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.</p> <p>NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).</p> <p>NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame</p> <p>NOTE 4: Slot i is slot index per 2 frames</p> <p>NOTE 5: PTRS is configured on symbols containing PDSCH with 1 port, per 2PRB in frequency domain, per symbol in time domain. Overhead for TBS calculation is assumed to be 6.</p> <p>NOTE 6: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.</p> <p>NOTE 7: Throughput is averaged over 2nd frame of RMC.</p> |      |         |         |         |

**Table A.3.3.5-2 Fixed Reference Channel for Receiver Requirements (SCS 120 kHz, TDD)**

| Parameter  | Unit | Value   |         |         |         |
|--|------|---------|---------|---------|---------|
| Channel bandwidth  | MHz  | 50      | 100     | 200     | 400     |
| Subcarrier spacing configuration $\mu$   |      | 3       | 3       | 3       | 3       |
| Allocated resource blocks  |      | 32      | 66      | 132     | 264     |
| Subcarriers per resource block   |      | 12      | 12      | 12      | 12      |
| Allocated slots per Frame (NOTE 6)   |      | 47 / 48 | 47 / 48 | 47 / 48 | 47 / 48 |
| MCS index  |      | 24      | 24      | 24      | 24      |
| Modulation   |      | 256QAM  | 256QAM  | 256QAM  | 256QAM  |
| Target Coding Rate   |      | 4/5     | 4/5     | 4/5     | 4/5     |
| Maximum number of HARQ transmissions   |      | 1       | 1       | 1       | 1       |
| Information Bit Payload per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$   | Bits | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$   | Bits | 21504   | 44040   | 88064   | 176208  |
| Transport block CRC  | Bits | 24      | 24      | 24      | 24      |
| LDPC base graph  |      | 1       | 1       | 1       | 1       |
| Number of Code Blocks per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$   | CBs  | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$   | CBs  | 3       | 6       | 11      | 21      |
| Binary Channel Bits Per Slot   |      |         |         |         |         |
| For Slots 0 and Slot i, if $\text{mod}(i, 5) = \{3,4\}$ for i from $\{0, \dots, 159\}$   | Bits | N/A     | N/A     | N/A     | N/A     |
| For Slot i, if $\text{mod}(i, 5) = \{0,1,2\}$ for i from $\{1, \dots, 159\}$   | Bits | 26112   | 53856   | 107712  | 215424  |
| Max. Throughput averaged over 1 frame (NOTE 7)   | Mbps | 103.219 | 211.392 | 422.707 | 845.798 |
| NOTE 1: Additional parameters are specified in Table A.3.1-1 and Table A.3.3.1-1.<br>NOTE 2: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).<br>NOTE 3: SS/PBCH block is transmitted in slot 0 of each frame<br>NOTE 4: Slot i is slot index per 2 frames<br>NOTE 5: PTRS is configured on symbols containing PDSCH with 1 port, per 2PRB in frequency domain, per symbol in time domain. Overhead for TBS calculation is assumed to be 6.<br>NOTE 6: First number corresponds to the number slots allocated in the first frame of the RMC; second number corresponds to the number slots allocated in the second frame of the RMC.<br>NOTE 7: Throughput is averaged over 2nd frame of RMC. |      |         |         |         |         |

## A.4 Void

## A.5 OFDMA Channel Noise Generator (OCNG)

### A.5.1 OCNG Patterns for FDD

TBD

## A.5.2 OCNG Patterns for TDD

### A.5.2.1 OCNG TDD pattern 1: Generic OCNG TDD Pattern for all unused REs

**Table A.5.2.1-1: OP.1 TDD: Generic OCNG TDD Pattern for all unused REs**

| <b>OCNG Distribution</b>  | <b>Control Region<br/>(Core Set)</b>           | <b>Data Region</b>   |
|---|--|--|
| <b>OCNG Parameters</b>  |  |  |
| Resources allocated   | All unused REs (Note 1)                        | All unused REs (Note 2)  |
| Structure   | PDCCH  | PDSCH  |
| Content   | Uncorrelated pseudo random QPSK modulated data | Uncorrelated pseudo random QPSK modulated data   |
| Transmission scheme for multiple antennas ports transmission  | Single Tx port transmission                    | Spatial multiplexing using any precoding matrix with dimensions same as the precoding matrix for PDSCH |
| Subcarrier Spacing  | Same as for RMC PDCCH in the active BWP        | Same as for RMC PDSCH in the active BWP  |
| Power Level   | Same as for RMC PDCCH                          | Same as for RMC PDSCH  |
| Note 1: All unused REs in the active CORESETS appointed by the search spaces in use.  |  |  |
| Note 2: Unused available REs refer to REs in PRBs not allocated for any physical channels, CORESETs, synchronization signals or reference signals in channel bandwidth. |  |  |

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## Annex B (normative): Propagation conditions

### B.0 No interference

The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects.

## Annex C (normative): Downlink Physical Channels

### C.0 Downlink signal levels

Editor's Note : Consideration to minimize the required number of additional FR2 link is under discussion

The downlink power settings in Table C.0-1 is used unless otherwise specified in a test case.

**Table C.0-1: Default Downlink power levels for NR**

| SCS<br>(kHz)   |                  | Unit    | Channel Bandwidth  |   |   |   |
|--|------------------|---------|--|---|---|---|
|  |                  |         | 50 MHz   | 100 MHz   | 200 MHz   | 400 MHz   |
| 60   | Number of RBs    |         | 66   | 132   | 264   | N/A   |
|  | Channel BW power | dBm     | -70  | -67   | -64   | N/A   |
| 120  | Number of RBs    |         | 32   | 66  | 132   | 264   |
|  | Channel BW power | dBm     | -70  | -67   | -64   | -61   |
|  | SS/PBCH SSS EPRE | dBm/SCS | -99 for DL<br>SCS= 60<br>kHz<br>-96 for DL<br>SCS = 120<br>kHz | -99 for DL<br>SCS = 60<br>kHz<br>-96 for DL<br>SCS = 120<br>kHz | -99 for DL<br>SCS = 60<br>kHz<br>-96 for DL<br>SCS = 120<br>kHz | -99 for DL<br>SCS = 60<br>kHz<br>-96 for DL<br>SCS = 120<br>kHz |
| Note 1: The channel bandwidth powers are informative, based on [-99]dBm/60kHz SS/PBCH SSS EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. Full RE allocation with no boost or deboost is assumed. |                  |         |  |   |   |   |
| Note 2: The power level is specified at the centre of quiet zone.  |                  |         |  |   |   |   |
| Note 3: DL level is applied for any of the Subcarrier Spacing configuration ( $\mu$ ) with the same power spectrum density of [-99]dBm/60kHz.  |                  |         |  |   |   |   |

The default downlink signal level uncertainty is +/- TBD dB, for any level specified. If the uncertainty value is critical for the test purpose, a tighter uncertainty is specified for the related test case in Annex F.

For TRP measurement, DL signal may be supplied from RSRP based pathloss compensation link. Downlink signal level using RSRP based pathloss compensation link is specified in Table C.0-2 or Table C.0-3.

**Table C.0-2: Downlink power levels for RSRP based pathloss compensation link for TRP measurement for n257, n258 and n260**

| SCS (kHz)  |                  | Unit    | Channel Bandwidth   |   |   |   |
|--|------------------|---------|---|---|---|---|
|  |                  |         | 50 MHz  | 100 MHz   | 200 MHz   | 400 MHz   |
| 60   | Number of RBs    |         | 66  | 132   | 264   | N/A   |
|  | Channel BW power | dBm     | ≥ -87   | ≥ -84   | ≥ -80   | N/A   |
| 120  | Number of RBs    |         | 32  | 66  | 132   | 264   |
|  | Channel BW power | dBm     | ≥ -87   | ≥ -84   | ≥ -80   | ≥ -77   |
|  | SS/PBCH SSS EPRE | dBm/SCS | ≥ -115.5 for DL SCS = 60 kHz<br>≥ -112.5 for DL SCS = 120 kHz | ≥ -115.5 for DL SCS = 60 kHz<br>≥ -112.5 for DL SCS = 120 kHz | ≥ -115.5 for DL SCS = 60 kHz<br>≥ -112.5 for DL SCS = 120 kHz | ≥ -115.5 for DL SCS = 60 kHz<br>≥ -112.5 for DL SCS = 120 kHz |
| <p>Note 1: The channel bandwidth powers are informative, based on -115.5dBm/60kHz SS/PBCH SSS EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. Full RE allocation with no boost or deboost is assumed.</p> <p>Note 2: The power level is specified at the RSRP reference point as defined in TS 38.215 [24].</p> <p>Note 3: DL level is applied for any of the Subcarrier Spacing configuration ( <math>\mu</math> ) with the same power spectrum density of ≥ -115.5 dBm/60kHz.</p> |                  |         |   |   |   |   |

**Table C.0-3: Downlink power levels for RSRP based pathloss compensation link for TRP measurement for n261**

| SCS (kHz)  |                  | Unit    | Channel Bandwidth   |   |   |   |
|--|------------------|---------|---|---|---|---|
|  |                  |         | 50 MHz  | 100 MHz   | 200 MHz   | 400 MHz   |
| 60   | Number of RBs    |         | 66  | 132   | 264   | N/A   |
|  | Channel BW power | dBm     | ≥ -84   | ≥ -81   | ≥ -78   | N/A   |
| 120  | Number of RBs    |         | 32  | 66  | 132   | 264   |
|  | Channel BW power | dBm     | ≥ -84   | ≥ -81   | ≥ -78   | ≥ -75   |
|  | SS/PBCH SSS EPRE | dBm/SCS | ≥ -113 for DL SCS = 60 kHz<br>≥ -110 for DL SCS = 120 kHz | ≥ -113 for DL SCS = 60 kHz<br>≥ -110 for DL SCS = 120 kHz | ≥ -113 for DL SCS = 60 kHz<br>≥ -110 for DL SCS = 120 kHz | ≥ -113 for DL SCS = 60 kHz<br>≥ -110 for DL SCS = 120 kHz |
| <p>Note 1: The channel bandwidth powers are informative, based on -113dBm/60kHz SS/PBCH SSS EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. Full RE allocation with no boost or deboost is assumed.</p> <p>Note 2: The power level is specified at the RSRP reference point as defined in TS 38.215 [24].</p> <p>Note 3: DL level is applied for any of the Subcarrier Spacing configuration ( <math>\mu</math> ) with the same power spectrum density of ≥ -113 dBm/60kHz.</p> |                  |         |   |   |   |   |

## C.1 General

The following clauses describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

## C.2 Setup

Table C.2-1 describes the downlink Physical Channels that are required for connection set up.

**Table C.2-1: Downlink Physical Channels required for connection set-up**

| Physical Channel |
|------------------|
| PBCH             |
| SSS              |
| PSS              |
| PDCCH            |
| PDSCH            |
| PBCH DMRS        |
| PDCCH DMRS       |
| PDSCH DMRS       |
| CSI-RS           |
| PTRS             |

As common PDSCH and PDCCH configuration parameters the parameters in Table A.3.1-1, C.2-2, C.2-3, and C.2-4 shall be used to bring up the connection setup for FR1 NR cell.

**Table C.2-2: PDSCH and PDCCH configuration**

| Parameter                | Unit | Value   |
|--------------------------|------|---------|
| Number of HARQ processes |      | 8 (TDD) |
| Aggregation level        | CCE  | 4       |

**Table C.2-3: Additional test parameters for TDD for SCS 60 KHz**

| Parameter  |                                      | Unit | UL-DL pattern   |
|--|--------------------------------------|------|---|
| TDD Slot Configuration pattern (Note 1)  |                                      |      | DDSU  |
| Special Slot Configuration (Note 2)  |                                      |      | 11D+3G+0U   |
| UL-DL configuration<br>( <i>tdd-UL-DL-ConfigurationCommon</i> )  | <i>referenceSubcarrierSpacing</i>    | kHz  | 60  |
|  | <i>dl-UL-TransmissionPeriodicity</i> | ms   | 1   |
|  | <i>nrofDownlinkSlots</i>             |      | 2   |
|  | <i>nrofDownlinkSymbols</i>           |      | 11  |
|  | <i>nrofUplinkSlot</i>                |      | 1   |
|  | <i>nrofUplinkSymbols</i>             |      | 0   |
| K1 value<br>(PDSCH-to-HARQ-timing-indicator)   |                                      |      | K1 = 3 if $\text{mod}(i,4) = 0$<br>K1 = 2 if $\text{mod}(i,4) = 1$<br>K1 = 5 if $\text{mod}(i,4) = 2$ |
| Note 1: D denotes a slot with all DL symbols; S denotes a slot with a mix of DL, UL and guard symbols; U denotes a slot with all UL symbols. The field is for information. |                                      |      |   |
| Note 2: D, G, U denote DL, guard and UL symbols, respectively. The field is for information.   |                                      |      |   |
| Note 3: i is the slot index per frame; $i = \{0, \dots, 39\}$  |                                      |      |   |

**Table C.2-4: Additional test parameters for TDD for SCS 120 KHz**

| Parameter   |                                      | Unit | UL-DL pattern |
|---|--------------------------------------|------|---------------|
| TDD Slot Configuration pattern (Note 1)                         |                                      |      | DDDSU         |
| Special Slot Configuration (Note 2)                             |                                      |      | 10D+2G+2U     |
| UL-DL configuration<br>( <i>tdd-UL-DL-ConfigurationCommon</i> ) | <i>referenceSubcarrierSpacing</i>    | kHz  | 120           |
|   | <i>dl-UL-TransmissionPeriodicity</i> | ms   | 0.625         |
|   | <i>nrofDownlinkSlots</i>             |      | 3             |
|   | <i>nrofDownlinkSymbols</i>           |      | 10            |
|   | <i>nrofUplinkSlot</i>                |      | 1             |
|   | <i>nrofUplinkSymbols</i>             |      | 2             |

|   |  |  |
|---|--|--|
| K1 value<br>(PDSCH-to-HARQ-timing-indicator)  |  | $K1 = [4]$ if $\text{mod}(i,5) = 0$<br>$K1 = [3]$ if $\text{mod}(i,5) = 1$<br>$K1 = [2]$ if $\text{mod}(i,5) = 2$<br>$K1 = [6]$ if $\text{mod}(i,5) = 3$ |
| <p>Note 1: D denotes a slot with all DL symbols; S denotes a slot with a mix of DL, UL and guard symbols; U denotes a slot with all UL symbols. The field is for information.</p> <p>Note 2: D, G, U denote DL, guard and UL symbols, respectively. The field is for information.</p> <p>Note 3: i is the slot index per frame; <math>i = \{0, \dots, 79\}</math></p> |  |  |

## C.3 Connection

### C.3.0 Measurement of Transmitter Characteristics

Unless otherwise stated, Table C.3.0-1 is applicable for measurements on the Transmitter Characteristics (clause 6).

**Table C.3.0-1: Downlink Physical Channels transmitted during a connection (TDD)**

| Parameter   | Unit | Value         |
|---|------|---------------|
| SSS transmit power  | W    | Test specific |
| EPRE ratio of PSS to SSS  | dB   | 0             |
| EPRE ratio of PBCH to SSS   | dB   | 0             |
| EPRE ratio of PBCH to PBCH DMRS   | dB   | 0             |
| EPRE ratio of PDCCH to SSS  | dB   | 0             |
| EPRE ratio of PDCCH to PDCCH DMRS   | dB   | 0             |
| EPRE ratio of PDSCH to SSS  | dB   | 0             |
| EPRE ratio of PDSCH to PDSCH DMRS (Note 1)  | dB   | -3            |
| EPRE ratio of CSI-RS to SSS   | dB   | 0             |
| EPRE ratio of PTRS to PDSCH   | dB   | Test specific |
| EPRE ratio of OCNG DMRS to SSS  | dB   | 0             |
| EPRE ratio of OCNG to OCNG DMRS (Note 1)  | dB   | 0             |
| <p>Note 1: No boosting is applied to any of the channels except PDSCH DMRS. For PDSCH DMRS, 3 dB power boosting is applied assuming DMRS Type 1 configuration when DMRS and PDSCH are TDM'ed and only half of the DMRS REs are occupied.</p> <p>Note 2: Number of DMRS CDM groups without data for PDSCH DMRS configuration for OCNG is set to 1.</p> |      |               |

### C.3.1 Measurement of Receiver Characteristics

Unless otherwise stated, Table C.3.1-1 is applicable for measurements on the Receiver Characteristics (clause 7). For Adjacent channel selectivity testing, Table C.3.1-2 is applied.



**Table C.3.1-1: Downlink Physical Channels transmitted during a connection (TDD)**

| Parameter   | Unit | Value         |
|---|------|---------------|
| SSS transmit power  | W    | Test specific |
| EPRE ratio of PSS to SSS  | dB   | 0             |
| EPRE ratio of PBCH to SSS   | dB   | 0             |
| EPRE ratio of PBCH to PBCH DMRS   | dB   | 0             |
| EPRE ratio of PDCCH to SSS  | dB   | 0             |
| EPRE ratio of PDCCH to PDCCH DMRS   | dB   | 0             |
| EPRE ratio of PDSCH to SSS  | dB   | 0             |
| EPRE ratio of PDSCH to PDSCH DMRS (Note 1)  | dB   | -3            |
| EPRE ratio of CSI-RS to SSS   | dB   | 0             |
| EPRE ratio of PTRS to PDSCH   | dB   | Test specific |
| EPRE ratio of OCNG DMRS to SSS  | dB   | 0             |
| EPRE ratio of OCNG to OCNG DMRS (Note 1)  | dB   | 0             |
| Note 1: No boosting is applied to any of the channels except PDSCH DMRS. For PDSCH DMRS, 3 dB power boosting is applied assuming DMRS Type 1 configuration when DMRS and PDSCH are TDM'ed and only half of the DMRS REs are occupied. |      |               |
| Note 2: Number of DMRS CDM groups without data for PDSCH DMRS configuration for OCNG is set to 1.   |      |               |

**Table C.3.1-2: PDCCH Aggregation Level for ACS testing**

| Parameter         | Unit | Value | Comment   |
|-------------------|------|-------|---|
| Aggregation level | CCE  | 4     | CBW=50MHz when SCS=120kHz                                 |
|                   |      | 8     | CBW=50MHz when SCS=60kHz<br>CBW=100MHz when SCS=120kHz    |
|                   |      | 16    | CBW>100 MHz when SCS=60kHz<br>CBW>100 MHz when SCS=120kHz |

---

## Annex D (normative): Characteristics of the interfering signal

### D.1 General

Unless otherwise stated, a modulated full bandwidth NR downlink signal, which equals to channel bandwidth of the wanted signal for Single Carrier case is used as interfering signals when RF performance requirements for NR UE receiver are defined. For intra-band contiguous CA case, a modulated NR downlink signal which equals to the aggregated channel bandwidth of the wanted signal is used.

---

### D.2 Interference signals

Table D.2-1 describes the modulated interferer for different channel bandwidth options.

**Table D.2-1: Description of modulated NR interferer**

|  | Channel bandwidth for Single Carrier |         |         |         | Intra band<br>contiguous CA |
|--|--------------------------------------|---------|---------|---------|-----------------------------|
|  | 50 MHz                               | 100 MHz | 200 MHz | 400 MHz |                             |
| BW <sub>interferer</sub>   | 50 MHz                               | 100 MHz | 200 MHz | 400MHz  | BW <sub>Channel CA</sub>    |
| RB   | NOTE1                                |         |         |         |                             |
| NOTE 1: The RB configured for interfering signal is the same as maximum RB number defined in Table 5.3.2-1 for each sub-carrier spacing. |                                      |         |         |         |                             |

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# Annex E (normative):

## Global In-Channel TX-Test

NOTE: Clauses E.2.2 to E.5.9.3 are descriptions, which assume no power ramping adjacent to the measurement period.

---

### E.1 General

The global in-channel TX test enables the measurement of all relevant parameters that describe the in-channel quality of the output signal of the TX under test in a single measurement process.

The parameters describing the in-channel quality of a transmitter, however, are not necessarily independent. The algorithm chosen for description inside this annex places particular emphasis on the exclusion of all interdependencies among the parameters.

---

### E.2 Signals and results

#### E.2.1 Basic principle

The process is based on the comparison of the actual **output signal of the TX under test**, received by an ideal receiver, with a **reference signal**, that is generated by the measuring equipment and represents an ideal error free received signal. All signals are represented as equivalent (generally complex) baseband signals.

The description below uses numbers as examples. These numbers are taken from TDD with normal CP length and 100 MHz bandwidth with 60 kHz SCS. The application of the text below, however, is not restricted to this frame structure and bandwidth.

#### E.2.2 Output signal of the TX under test

The output signal of the TX under test is acquired by the measuring equipment and stored for further processing. It is sampled at a sampling rate of 122.88 Mbps. In the time domain it comprises at least 10 uplink subframes. The measurement period is derived by concatenating the correct number of individual uplink slots until the correct measurement period is reached. The output signal is named  $z(v)$ . Each slot is modelled as a signal with the following parameters: demodulated data content, carrier frequency, amplitude and phase for each subcarrier, timing, carrier leakage.

NOTE 1: TDD

Since the uplink subframes are not continuous, the  $n$  slots should be extracted from more than 1 continuous radio frame where

$$n = \begin{array}{l} 40, \text{ for } 60 \text{ kHz SCS} \\ 80, \text{ for } 120 \text{ kHz SCS} \end{array}$$

## E.2.3 Reference signal

Two types of reference signal are defined:

The reference signal  $i_1(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: demodulated data content, nominal carrier frequency, nominal amplitude and phase for each subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of 122.88 Mbps in the time domain.

The reference signal  $i_2(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: restricted data content: nominal reference symbols, (all modulation symbols for user data symbols are set to 0V), nominal carrier frequency, nominal amplitude and phase for each applicable subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of 122.88 Mbps in the time domain.

NOTE: The PUCCH is off during the time under test.

## E.2.4 Measurement results

The measurement results, achieved by the global in channel TX test are the following:

- Carrier Frequency error
- EVM (Error Vector Magnitude)
- Carrier leakage
- Unwanted emissions, falling into non allocated resource blocks.
- EVM equalizer spectrum flatness

## E.2.5 Measurement points

The unwanted emission falling into non-allocated RB(s) is calculated directly after the FFT as described below. In contrast to this, the EVM for the allocated RB(s) is calculated after the IDFT for DFT-s-OFDM or after the Tx-Rx chain equalizer for CP-OFDM. The samples after the TX-RX chain equalizer are used to calculate EVM equalizer spectrum flatness. Carrier frequency error and carrier leakage is calculated in the block “RF correction”.

In case the parameter 3300 or 3301 is reported from UE via *txDirectCurrentLocation* IE (as defined in TS 38.331 [6]), carrier leakage measurement in the RF correction block shall be omitted. All statements from Annex E.3 onwards shall be read assuming that no carrier leakage has been measured.

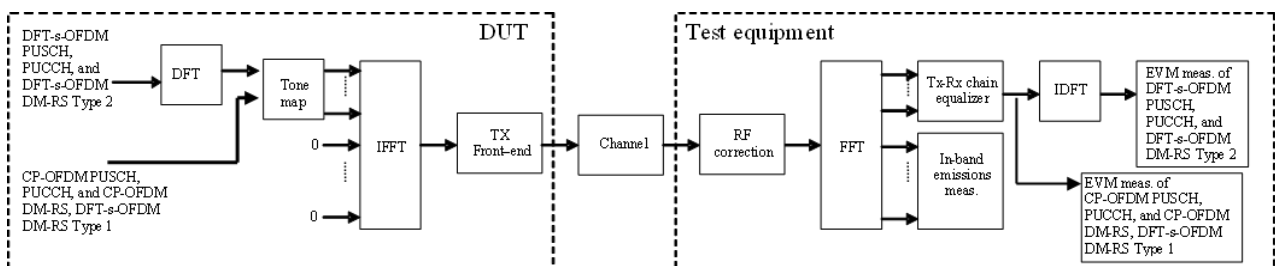


Figure E.2.5-1: EVM measurement points

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## E.3 Signal processing

### E.3.1 Pre FFT minimization process

Before applying the pre-FFT minimization process,  $z(v)$  and  $i(v)$  are portioned into  $n$  pieces, comprising one slot each, where  $n$  is as defined in Annex E.2.2.

Each slot is processed separately. Sample timing, Carrier frequency and carrier leakage in  $z(v)$  are jointly varied in order to minimise the difference between  $z(v)$  and  $i(v)$ . Best fit (minimum difference) is achieved when the RMS difference value between  $z(v)$  and  $i(v)$  is an absolute minimum.

The carrier frequency variation and the IQ variation are the measurement results: Carrier Frequency Error and Carrier leakage.

From the acquired samples 10 carrier frequencies can be derived by averaging frequency errors for every 4 or 8 slots for 60 and 120 kHz SCS.

From the acquired samples  $n$  carrier frequencies and  $n$  carrier leakages can be derived.

NOTE 1: The minimisation process, to derive carrier leakage and RF error can be supported by Post FFT operations. However the minimisation process defined in the pre FFT domain comprises all acquired samples (i.e. it does not exclude the samples in between the FFT widths and it does not exclude the bandwidth outside the transmission bandwidth configuration)

NOTE 2: The algorithm would allow deriving Carrier Frequency error and Sample Frequency error of the TX under test separately. However there are no requirements for Sample Frequency error. Hence the algorithm models the RF and the sample frequency commonly (not independently). It returns one error and does not distinguish between both.

After this process the samples  $z(v)$  are called  $z^0(v)$ .

### E.3.2 Timing of the FFT window

The FFT window length is 2048 samples per OFDM symbol. 14 FFTs (28672 samples) cover less than the acquired number of samples (30720 samples). The position in time for FFT must be determined.

In an ideal signal, the FFT may start at any instant within the cyclic prefix without causing an error. The TX filter, however, reduces the window. The EVM requirements shall be met within a window  $W < CP$ . There are three different instants for FFT:

Centre of the reduced window, called  $\Delta\tilde{c}$ ,  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ .

The timing of the measured signal is determined in the pre FFT domain as follows, using  $z^0(v)$  and  $i_2(v)$  :

1. The measured signal is delay spread by the TX filter. Hence the distinct borders between the OFDM symbols and between Data and CP are also spread and the timing is not obvious.
2. In the Reference Signal  $i_2(v)$  the timing is known.
3. Correlation between (1.) and (2.) will result in a correlation peak. The meaning of the correlation peak is approx. the “impulse response” of the TX filter. The meaning of “impulse response” assumes that the autocorrelation of the reference signal  $i_2(v)$  is a Dirac peak and that the correlation between the reference signal  $i_2(v)$  and the data in

the measured signal is 0. The correlation peak, (the highest, or in case of more than one, the earliest) indicates the timing in the measured signal.

From the acquired samples,  $n$  timings can be derived.

For all calculations, except EVM, the number of samples in  $z^0(v)$  is reduced to 14 blocks of samples, comprising 2048 samples (FFT width) and starting with  $\Delta\tilde{c}$  in each OFDM symbol including the demodulation reference signal.

For the EVM calculation the output signal under test is reduced to 28 blocks of samples, comprising 2048 samples (FFT width) and starting with  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$  in each OFDM symbol including the demodulation reference signal.

The number of samples, used for FFT is reduced compared to  $z^0(v)$ . This subset of samples is called  $z'(v)$ .

The timing of the centre  $\Delta\tilde{c}$  with respect to the different CP length in a slot is as follows: (TDD, normal CP length)

$\Delta\tilde{c}$  is on  $T_f=72$  ( $=CP/2$ ) within the CP of length 144 FFT samples (in OFDM symbols except 0 and 28 ( $=7 \cdot 2^\mu$ ), where symbol 0 is the first symbol of each subframe) for channel bandwidth of 100 MHz and SCS = 60 kHz.

$\Delta\tilde{c}$  is on  $T_f=136$  ( $=208-72$ ) within the CP of length 208 FFT samples (in OFDM symbol 0 and 28 ( $=7 \cdot 2^\mu$ ), where symbol 0 is the first symbol of each subframe) for channel bandwidth of 100 MHz and SCS = 60 kHz.

### E.3.3 Post FFT equalisation

Perform 14 FFTs on  $z'(v)$ , one for each OFDM symbol in a slot using the timing  $\Delta\tilde{c}$ , including the demodulation reference symbol. The result is an array of samples, 14 in the time axis  $t$  times 2048 in the frequency axis  $f$ . The samples represent the data symbols (in OFDM-symbol 0,1,3,4,5,6,8,9,10,12,13 in each slot) and demodulation reference symbols (OFDM symbol 2, 7, 11 in each slot) in the allocated RBs and inband emissions in the non allocated RBs within the transmission BW.

Only the allocated resource blocks in the frequency domain are used for equalisation.

The nominal demodulation reference symbols and nominal data symbols are used to equalize the measured data symbols. (Location for equalization see Figure E.2.5-1)

NOTE: The nomenclature inside this note is local and not valid outside.

The nominal data symbols are created by a demodulation process. The location to gain the demodulated data symbols is “EVM” in Figure E.2.5-1. For CP-OFDM, the process described in Annex E.5 can be applied. A demodulation process as follows is recommended for DFT-s-OFDM:

1. Equalize the measured data symbols using the reference symbols for equalisation. Result: Equalized data symbols
2. Only for DFT-s-OFDM, iDFT transform the equalized data symbols: Result: Equalized data symbols
3. Decide for the nearest constellation point: Result: Nominal data symbols
4. Only for DFT-s-OFDM, DFT transform the nominal data symbols: Result: Nominal data symbols

At this stage we have an array of Masured data-Symbols and reference-Symbols ( $MS(f,t)$ )

versus an array of Nominal data-Symbols and reference Symbols ( $NS(f,t)$ )

(complex, the arrays comprise 11 data symbols and 3 demodulation reference symbol in the time axis and the number of allocated subcarriers in the frequency axis.)

MS(f,t) and NS(f,t) are processed with a least square (LS) estimator, to derive one equalizer coefficient per time slot and per allocated subcarrier. EC(f) is defined as

$$EC(f) = \frac{\sum_{t=0}^{13} NS(f, t) * NS(f, t)}{\sum_{t=0}^{13} NS(f, t) * MS(f, t)}.$$

With \* denoting complex conjugation.

EC(f) are used to equalize the DFT-coded data symbols. The measured DFT-coded data and the references symbols are equalized by:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

With · denoting multiplication.

Z'(f,t), restricted to the data symbol (excluding t=2,7,11) is used to calculate EVM, as described in E.4.1.

EC(f) is used in E.4.4 to calculate EVM equalizer spectral flatness.

NOTE: The post FFT minimisation process is done over 14 symbols (11 DFT-coded data symbols and 3 reference symbols).

The samples of the non allocated resource blocks within the transmission bandwidth configuration in the post FFT domain are called Y(f,t) (f covering the non allocated subcarriers within the transmission bandwidth configuration, t covering the OFDM symbols during 1 slot).

---

## E.4 Derivation of the results

### E.4.1 EVM

For EVM create two sets of Z'(f,t), according to the timing "  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ " using the equalizer coefficients from E.3.3.

Perform the iDFTs on Z'(f,t) in the case of DFT-s-OFDM waveform. The IDFT-decoding preserves the meaning of t but transforms the variable f (representing the allocated sub carriers) into another variable g, covering the same count and representing the demodulated symbols. The samples in the post IDFT domain are called iZ'(g, t). The equivalent ideal samples are called iI(g,t). Those samples of Z'(f,t), carrying the reference symbols (=symbol 2,7,11) are not iDFT processed.

The EVM is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM = \sqrt{\frac{\sum_{t \in T} \sum_{g \in G} |iZ'(g, t) - iI(g, t)|^2}{|G| \cdot |T| \cdot P_0}},$$

where

t covers the count of demodulated symbols with the considered modulation scheme being active within the measurement period, (i.e. symbol 0,1,3,4,5,6,8,9,10,12,13 in each slot,  $\rightarrow |T|=11$ )

g covers the count of demodulated symbols with the considered modulation scheme being active within the allocated bandwidth. ( $|G|=12 \cdot L_{CRBs}$  (with  $L_{CRBs}$  : number of allocated resource blocks)).

$iZ'(g, t)$  are the samples of the signal evaluated for the EVM.

$iI(g, t)$  is the ideal signal reconstructed by the measurement equipment, and

$P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

From the acquired samples  $2n$  EVM values can be derived,  $n$  values for the timing  $\Delta\tilde{c} - W/2$  and  $n$  values for the timing  $\Delta\tilde{c} + W/2$

## E.4.2 Averaged EVM

EVM is averaged over all basic EVM measurements.

The averaging comprises  $n$  UL slots

$$\overline{EVM} = \sqrt{\frac{1}{n} \sum_{i=1}^n EVM_i^2}$$

where

$$n = \begin{cases} 30, & \text{for 60 kHz SCS} \\ 60, & \text{for 120 kHz SCS} \end{cases}$$

for PUCCH, PUSCH.

The averaging is done separately for timing  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$  leading to  $\overline{EVM}_l$  and  $\overline{EVM}_h$

$EVM_{\text{final}} = \max(\overline{EVM}_l, \overline{EVM}_h)$  is compared against the test requirements.

## E.4.3 In-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks.

Explanatory Note:

The inband emission measurement is only meaningful with allocated RB(s) next to non-allocated RB. The allocated RB(s) are necessary but not under test. The non allocated RBs are under test. The RB allocation for this test is as follows: The allocated RB(s) are at one end of the channel BW, leaving the other end unallocated. The number of allocated RB(s) is smaller than half of the number of RBs, available in the channel BW. This means that the vicinity of the carrier in the centre is unallocated.

There are 3 types of inband emissions:

1. General
2. IQ image
3. Carrier leakage



Carrier leakage are inband emissions next to the carrier.

IQ image are inband emissions symmetrically (with respect to the carrier) on the other side of the allocated RBs.

General are applied to all unallocated RBs.

For each evaluated RB, the minimum requirement is calculated as the higher of  $P_{RB} - 30$  dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply.

In specific the following combinations:

- Power (General)
- Power (General + Carrier leakage)
- Power (General + IQ Image)

1 and 2 is expressed in terms of power in one non allocated RB under test, normalized to the average power of an allocated RB (unit dB).

3 is expressed in terms of power in one non allocated RB, normalized to the power of all allocated RBs. (unit dBc).

This is the reason for two formulas *Emissions<sub>relative</sub>*.

Create one set of  $Y(t,f)$  per slot according to the timing “  $\Delta\tilde{c}$  ”

For the non-allocated RBs below the in-band emissions are calculated as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{\substack{c_l + (12 \cdot \Delta_{RB} + 11) \cdot \Delta f \\ \max(f_{\min}, (c_l + 12 \cdot \Delta_{RB} \cdot \Delta f)) \\ \min(f_{\max}, (c_h + 12 \cdot \Delta_{RB} \cdot \Delta f))}} |Y(t, f)|^2, \Delta_{RB} \geq 0 \\ \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{\substack{c_h + (12 \cdot \Delta_{RB} - 11) \cdot \Delta f}} |Y(t, f)|^2, \Delta_{RB} < 0 \end{cases},$$

where

the upper formula represents the in band emissions below the allocated frequency block and the lower one the in band emissions above the allocated frequency block.

$T_s$  is a set of  $|T_s|$  DFT-s-OFDM symbols with the considered modulation scheme being active within the measurement period,

$\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB} = 1$  for the first upper or  $\Delta_{RB} = -1$  for the first lower adjacent RB),

$f_{\min}$  and  $f_{\max}$  are the lower and upper edge of the UL transmission BW configuration,

$c_l$  and  $c_h$  are the lower and upper edge of the allocated BW,

$\Delta f$  is the SCS, and

$Y(t, f)$  is the frequency domain signal evaluated for in-band emissions as defined in clause E.3.3

The allocated RB power per RB and the total allocated RB power are given by:

$$P_{RB} = \frac{1}{|T_s| \cdot L_{CRBs}} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |MS(t, f)|^2 [\text{dBm}/(12\Delta f)]$$

$$P_{All-RBs} = \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |MS(t, f)|^2 [\text{dBm}]$$

The relative in-band emissions, applicable for General and IQ image, are given by:

$$Emissions_{relative}(\Delta_{RB}) = 10 \cdot \log_{10} \left( \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_s| \cdot L_{CRBs}} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |MS(t, f)|^2} \right) [\text{dB}] =$$

$$= Emissions_{absolute}(\Delta_{RB}) [\text{dBm}/12\Delta f] - P_{RB} [\text{dBm}/12\Delta f]$$

where

$L_{CRBs}$  is the number of allocated resource blocks,

and

$MS(t, f)$  is the frequency domain samples for the allocated bandwidth, as defined in clause E.3.3.

The relative in-band emissions, applicable for carrier leakage, is given by:

$$Emissions_{relative} = 10 \cdot \log_{10} \left( \frac{Emissions_{absolute}(RB_{nextDC})}{\frac{1}{|T_s|} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBs} - 1) \cdot \Delta f} |MS(t, f)|^2} \right) [\text{dBc}]$$

$$= Emissions_{absolute}(RB_{nextDC}) [\text{dBm}/12\Delta f] - P_{All\ RBs} [\text{dBm}]$$

where RBnextDC means: Resource Block next to the carrier.

This can be one RB or one pair of RBs, depending whether the DC carrier is inside an RB or in-between two RBs.

Although an exclusion period may be applicable in the time domain, when evaluating EVM, the inband emissions measurement interval is defined over one complete slot in the time domain.

From the acquired samples  $n$  functions for general in band emissions and IQ image inband emissions can be derived.  $n$  values or  $n$  pairs of carrier leakage inband emissions can be derived. They are compared against different limits.

The in-band emissions are averaged over the  $n$  samples (equivalent to 10 UL subframes):

$$\overline{Emissions_{absolute}}(\Delta_{RB}) = \frac{1}{n} \sum_{i=1}^n Emissions_{absolute,i}(\Delta_{RB})$$

$$\overline{Emissions_{relative}}(\Delta_{RB}) = 10 \cdot \log_{10} \left( \frac{1}{n} \sum_{i=1}^n 10^{Emissions_{relative,i}(\Delta_{RB})/10} \right) [\text{dB}]$$

$$\overline{Emissions}_{relative} = 10 * \log_{10} \left( \frac{1}{n} \sum_{i=1}^n 10^{Emissions_{relative,i}/10} \right) [dBc]$$

## E.4.4 EVM equalizer spectrum flatness

For EVM equalizer spectrum flatness use EC(f) as defined in E.3.3. Note, EC(f) represents equalizer coefficient

$f \in F$ , f is the allocated subcarriers within the transmission bandwidth ( $(|F|=12 * L_{CRBS})$ )

From the acquired samples n functions EC(f) can be derived.

EC(f) is broken down to 2 functions:

$$EC_1(f), f \in Range_1$$

$$EC_2(f), f \in Range_2$$

Where Range 1 and Range 2 are as defined in Table 6.5.2.4.5-1 for normal condition and Table 6.5.2.4.5-2 for extreme condition

The following peak to peak ripple is calculated:

$$RP_1 = 20 * \log \left( \max(|EC_1(f)|) / \min(|EC_1(f)|) \right), \text{which denote the maximum ripple in Range 1}$$

$$RP_2 = 20 * \log \left( \max(|EC_2(f)|) / \min(|EC_2(f)|) \right), \text{which denote the maximum ripple in Range 2}$$

$$RP_{12} = 20 * \log \left( \max(|EC_1(f)|) / \min(|EC_2(f)|) \right), \text{which denote the maximum ripple between the upper side of Range 1 and lower side of Range 2}$$

$$RP_{21} = 20 * \log \left( \max(|EC_2(f)|) / \min(|EC_1(f)|) \right), \text{which denote the maximum ripple between the upper side of Range 2 and lower side of Range 1}$$

## E.4.5 Frequency error and Carrier leakage

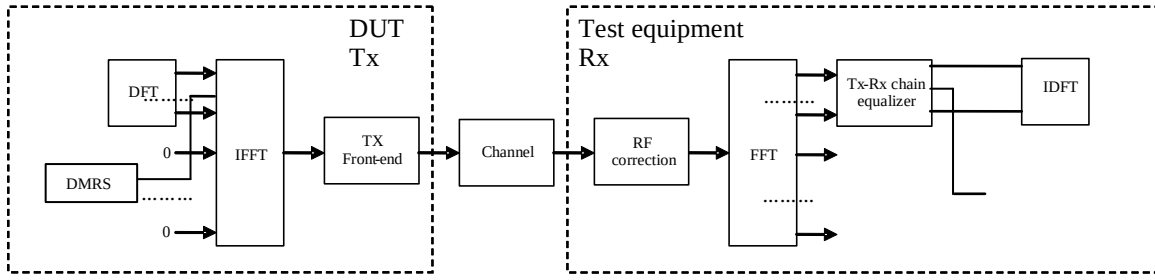
See E.3.1.

## E.4.6 EVM of Demodulation reference symbols (EVM<sub>DMRS</sub>)

For the purpose of EVM<sub>DMRS</sub>, the steps E.2.2 to E.4.2 are repeated 6 times, constituting 6 EVM<sub>DMRS</sub> sub-periods. The only purpose of the repetition is to cover the longer gross measurement period of EVM<sub>DMRS</sub> ( $6 \cdot n$  time slots) and to derive the FFT window timing per sub-period.

The bigger of the EVM results in one n TS period corresponding to the timing  $\Delta \tilde{c} - W/2$  or  $\Delta \tilde{c} + W/2$  is compared against the limit. (Clause E.4.2) This timing is re-used for EVM<sub>DMRS</sub> in the equivalent EVM<sub>DMRS</sub> sub-period.

For EVM the demodulation reference symbols are excluded, while the data symbols are used. For  $EVM_{DMRS}$  the data symbols are excluded, while the demodulation reference symbols are used. This is illustrated in figure E.4.6-1



**Figure E.4.6-1:  $EVM_{DMRS}$  measurement points**

Re-use the following formula from E.3.3:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

To calculate  $EVM_{DMRS}$ , the data symbol ( $t=0,1,3,4,5,6,8,9,10,12,13$ ) in  $Z'(f,t)$  are excluded and only the reference symbols ( $t=2,7,11$ ) is used.

The  $EVM_{DMRS}$  is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM_{DMRS} = \sqrt{\frac{\sum_{t \in T} \sum_{f \in F} |Z'(f,t) - I(f,t)|^2}{|T| \cdot P_0 \cdot |F|}},$$

where

$t$  covers the count of demodulation reference symbols (i.e. symbols 2,7,11 in each slot, so count=3)

$f$  covers the count of demodulation reference symbols within the allocated bandwidth. ( $|F|=12 \cdot L_{CRBs}$  (with  $L_{CRBs}$  : number of allocated resource blocks)).

$Z'(f,t)$  are the samples of the signal evaluated for the  $EVM_{DMRS}$

$I(f,t)$  is the ideal signal reconstructed by the measurement equipment, and

$P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

$n$  such results are generated per measurement sub-period.

#### E.4.6.1 1<sup>st</sup> average for $EVM_{DMRS}$

$EVM_{DMRS}$  is averaged over all basic  $EVM_{DMRS}$  measurements in one sub-period

The averaging comprises  $n$  UL slots

$$1stEVM_{DMRS} = \sqrt{\frac{1}{n} \sum_{i=1}^n (EVM_{DMRS,i})^2}$$

The timing is taken from the EVM for the data. 6 of those results are achieved from the samples. In general the timing is not the same for each result.

#### E.4.6.2 Final average for EVM<sub>DMRS</sub>

$$finalEVM_{DMRS} = \sqrt{\frac{1}{6} \sum_{i=1}^6 (1stEVM_{DMRS,i})^2}$$

---

## E.5 EVM and inband emissions for PUCCH

For the purpose of worst case testing, the PUCCH shall be located on the edges of the Transmission Bandwidth Configuration (6,15,25,50,75,100 RBs).

The EVM for PUCCH (EVM<sub>PUCCH</sub>) is averaged over  $n$  slots, where

$$n = \begin{cases} 30, & \text{for 60 kHz SCS} \\ 60, & \text{for 120 kHz SCS} \end{cases}$$

At least  $n$  TSs shall be transmitted by the UE without power change. SRS multiplexing shall be avoided during this period. The following transition periods are applicable: One OFDM symbol on each side of the slot border (instant of band edge alternation).

The description below is generic in the sense that all 5 PUCCH formats are covered. Although the number of OFDM symbols in one slot can be different from 7 (depending on the format, configuration and cyclic prefix length), the text below uses 7 without excluding the others.

### E.5.1 Basic principle

The basic principle is the same as described in E.2.1

### E.5.2 Output signal of the TX under test

The output signal of the TX under test is processed same as described in E.2.2

### E.5.3 Reference signal

The reference signal is defined same as in E.2.3. Same as in E.2.3,  $i_1(v)$  is the ideal reference for EVM<sub>PUCCH</sub> and  $i_2(v)$  is used to estimate the FFT window timing.

Note PUSCH is off during the PUCCH measurement period.

## E.5.4 Measurement results

The measurement results are:

- $EVM_{PUCCH}$
- Inband emissions with the sub-results: General in-band emission, IQ image (according to: 38.101. Annex F.4, Clause starting with: “At this stage the ....”)

## E.5.5 Measurement points

The measurement points are illustrated in the Figure E.2.5-1.

## E.5.6 Pre FFT minimization process

The pre FFT minimisation process is the same as describes in clause E.3.1.

NOTE: although an exclusion period for  $EVM_{PUCCH}$  is applicable in E.5.9.1, the pre FFT minimisation process is done over the complete slot.

RF error, and carrier leakage are necessary for best fit of the measured signal towards the ideal signal in the pre FFT domain. However they are not used to compare them against the limits.

## E.5.7 Timing of the FFT window

Timing of the FFT window is estimated with the same method as described in E.3.2.

## E.5.8 Post FFT equalisation

The post FFT equalisation is described separately without reference to E.3.3:

Perform 14 FFTs on  $z'(v)$ , one for each OFDM symbol in a slot using the timing  $\Delta\tilde{c}$ , including the demodulation reference symbol. The result is an array of samples, 14 in the time axis  $t$  times 2048 in the frequency axis  $f$ . The samples represent the OFDM symbols (data and reference symbols) in the allocated RBs and inband emissions in the non allocated RBs within the transmission BW.

Only the allocated resource blocks in the frequency domain are used for equalisation.

The nominal reference symbols and **nominal** OFDM data symbols are used to equalize the measured data symbols.

Note: (The nomenclature inside this note is local and not valid outside)

The nominal OFDM data symbols are created by a demodulation process. A demodulation process as follows is recommended:

1. Equalize the measured OFDM data symbols using the reference symbols for equalisation. Result: Equalized OFDM data symbols
2. Decide for the nearest constellation point, however not independent for each subcarrier in the RB. 12 constellation points are decided dependent, using the applicable CAZAC sequence. Result: Nominal OFDM data symbols

At this stage we have an array of Masured data-Symbols and reference-Symbols ( $MS(f,t)$ )

versus an array of Nominal data-Symbols and reference Symbols ( $NS(f,t)$ )

The arrays comprise in sum 7 data and reference symbols, depending on the PUCCH format, in the time axis and the number of allocated sub-carriers in the frequency axis.

MS(f,t) and NS(f,t) are processed with a least square (LS) estimator, to derive one equalizer coefficient per time slot and per allocated subcarrier. EC(f)

$$EC(f) = \frac{\sum_{t=0}^6 NS(f,t)^* NS(f,t)}{\sum_{t=0}^6 MS(f,t)^* NS(f,t)}$$

With \* denoting complex conjugation.

EC(f) are used to equalize the OFDM data together with the demodulation reference symbols by:

$$Z'(f,t) = MS(f,t) \cdot EC(f)$$

With · denoting multiplication.

Z'(f,t) is used to calculate EVM<sub>PUCCH</sub>, as described in E.5.9.1

NOTE: although an exclusion period for EVM<sub>PUCCH</sub> is applicable in E.5.9.1, the post FFT minimisation process is done over 7 OFDM symbols.

The samples of the non allocated resource blocks within the transmission bandwidth configuration in the post FFT domain are called Y(f,t) (f covering the non allocated subcarriers within the transmission bandwidth configuration, t covering the OFDM symbols during 1 slot).

## E.5.9 Derivation of the results

### E.5.9.1 EVM<sub>PUCCH</sub>

For EVM<sub>PUCCH</sub> create two sets of Z'(f,t), according to the timing "  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ " using the equalizer coefficients from E.5.8

The EVM<sub>PUCCH</sub> is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s)

$$EVM_{PUCCH} = \sqrt{\frac{\sum_{t \in T} \sum_{f \in F} |Z'(f,t) - I(f,t)|^2}{|T| \cdot P_0 \cdot |F|}},$$

where

the OFDM symbols next to transition boards (instant of PUCCH frequency hopping) are excluded:

t covers less than the count of demodulated symbols in the slot (|T|=5)

f covers the count of subcarriers within the allocated bandwidth. (|F|=12)

$Z'(f,t)$  are the samples of the signal evaluated for the EVM<sub>PUCCH</sub>

$I(f,t)$  is the ideal signal reconstructed by the measurement equipment, and

$P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

From the acquired samples  $2n$   $EVM_{PUCCH}$  value can be derived,  $n$  values for the timing  $\Delta\tilde{c} - W/2$  and  $n$  values for the timing  $\Delta\tilde{c} + W/2$

### E.5.9.2 Averaged $EVM_{PUCCH}$

$EVM_{PUCCH}$  is averaged over all basic  $EVM_{PUCCH}$  measurements

The averaging comprises  $n$  UL slots

$$\overline{EVM}_{PUCCH} = \sqrt{\frac{1}{n} \sum_{i=1}^n (EVM_{PUCCH,i})^2}$$

The averaging is done separately for timing  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$  leading to  $\overline{EVM}_{PUCCH,low}$  and  $\overline{EVM}_{PUCCH,high}$

$EVM_{PUCCH,final} = \max(\overline{EVM}_{PUCCH,low}, \overline{EVM}_{PUCCH,high})$  is compared against the test requirements.

### E.5.9.3 In-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks

Create one set of  $Y(t,f)$  per slot according to the timing “  $\Delta\tilde{c}$  ”

For the non-allocated RBs the in-band emissions are calculated as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{\substack{c_l + (12 \cdot \Delta_{RB} + 11) \cdot \Delta f \\ \max(f_{min}, (c_l + 12 \cdot \Delta_{RB} \cdot \Delta f)) \\ \min(f_{max}, (c_h + 12 \cdot \Delta_{RB} \cdot \Delta f))}} |Y(t, f)|^2, \Delta_{RB} \leq 0 \\ \frac{1}{|T_s|} \sum_{t \in T_s} \sum_{\substack{c_h + (12 \cdot \Delta_{RB} - 11) \cdot \Delta f}} |Y(t, f)|^2, \Delta_{RB} > 0 \end{cases},$$

where

the upper formula represents the inband emissions below the allocated frequency block and the lower one the inband emissions above the allocated frequency block.

$T_s$  is a set of  $|T_s|$  OFDM symbols in the measurement period,

$\Delta_{RB}$  is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.  $\Delta_{RB} = 1$  for the first upper or  $\Delta_{RB} = -1$  for the first lower adjacent RB),

$f_{min}$  and  $f_{max}$  are the lower and upper edge of the UL system BW,

$c_l$  and  $c_h$  are the lower and upper edge of the allocated BW,



$\Delta f$  is the SCS, and

$Y(t, f)$  is the frequency domain signal evaluated for in-band emissions as defined in the subsection E.5.8

The relative in-band emissions are, given by

$$Emissions_{relative}(\Delta_{RB}) = 10 * \log_{10} \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{|T_s| \cdot L_{CRBs}} \sum_{t \in T_s} \sum_{c_l}^{c_l + (12 \cdot L_{CRBs} - 1) * \Delta f} |MS(t, f)|^2} [dB]$$

where

$L_{CRBs}$  is the number of allocated RBs,

and  $MS(t, f)$  is the frequency domain samples for the allocated bandwidth, as defined in the subsection E.5.8

Although an exclusion period for EVM is applicable in E.5.9.1, the inband emissions measurement interval is defined over one complete slot in the time domain.

From the acquired samples  $n$  functions for inband emissions can be derived.

The in-band emissions are averaged over the  $n$  samples (equivalent to 10 UL subframes) with the same PUCCH position to prevent averaging of allocated and non-allocated RBs due to PUCCH frequency hopping:

$$\overline{Emissions_{absolute}}(\Delta_{RB}) = \frac{1}{n} \sum_{i=1}^n Emissions_{absolute,i}(\Delta_{RB})$$

$$\overline{Emissions_{relative}}(\Delta_{RB}) = 10 * \log_{10} \left( \frac{1}{n} \sum_{i=1}^n 10^{Emissions_{relative,i}(\Delta_{RB})/10} \right) [dB]$$

Since the PUCCH allocation is always on the upper or lower band-edge, the opposite of the allocated one represents the IQ image, and the remaining inner RBs represent the general inband emissions. They are compared against different limits.

## E.6 EVM for PRACH

The description below is generic in the sense that all PRACH formats are covered. The numbers, used in the text below are taken from PRACH format B4 without excluding the other formats. The sampling rate for the PUSCH, 122.88 Mbps in the time domain, is re-used for the PRACH. The carrier spacing of the PUSCH is up to 48 times higher than that of PRACH depending on the PRACH format and SCS. This results in an oversampling factor  $ovf$  of up to 48, when acquiring the time samples for the PRACH. The pre-FFT algorithms (clauses E.6.6 and E.6.7) use all time samples, although oversampled. For the FFT the time samples are decimated by the  $ovf$ , resulting in the same FFT size as for the other transmit modulation tests. Decimation requires a decision, which samples are used and which ones are rejected. The algorithm in E.6.6, Timing of the FFT window, can also be used to decide about the used samples.

### E.6.1 Basic principle

The basic principle is the same as described in E.2.1

## E.6.2 Output signal of the TX under test

The output signal of the TX under test is processed same as described in E.2.2

The measurement period is different since 2 PRACH preambles are recorded for long preamble formats as defined in Table 6.3.3.1-1 in [9] and 10 preambles are recorded for short preamble formats as defined in Table 6.3.3.1-2 in [9].

## E.6.3 Reference signal

The test description in 6.4.2.1.4.1 is based on non-contention based access:

- PRACH configuration index (responsible for Preamble format, System frame number and subframe number)
- Preamble ID
- Preamble power

signalled to the UE, defines the reference signal unambiguously, such that no demodulation process is necessary to gain the reference signal.

The reference signal  $i(v)$  is constructed by the measuring equipment according to the relevant TX specifications, using the following parameters: the applicable Zadoff Chu sequence, nominal carrier frequency, nominal amplitude and phase for each subcarrier, nominal timing, no carrier leakage. It is represented as a sequence of samples at a sampling rate of 122.88 Mbps in the time domain.

## E.6.4 Measurement results

The measurement result is:

- EVMPRACH

## E.6.5 Measurement points

The measurement points are illustrated in the figure below:

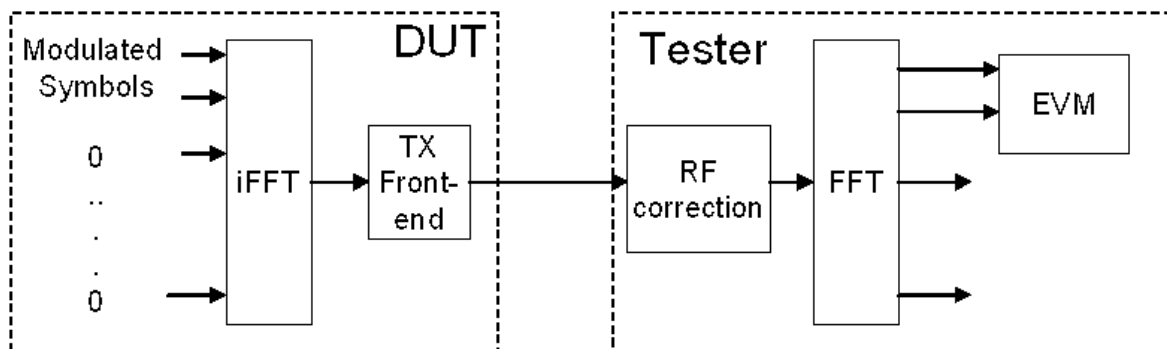


Figure E.6.5-1: Measurement points

## E.6.6 Pre FFT minimization process

The pre-FFT minimization process is applied to each PRACH preamble separately. The time period for the pre-FFT minimisation process includes the complete CP and Zadoff-Chu sequence (in other words, the power transition period is per definition outside of this time period) Sample timing, Carrier frequency and carrier leakage in  $z(v)$  are jointly varied in order to minimise the difference between  $z(v)$  and  $i(v)$ . Best fit (minimum difference) is achieved when the RMS difference value between  $z(v)$  and  $i(v)$  is an absolute minimum.

After this process the samples  $z(v)$  are called  $z^0(v)$ .

RF error, and carrier leakage are necessary for best fit of the measured signal towards the ideal signal in the pre FFT domain. However they are not used to compare them against the limits.

## E.6.7 Timing of the FFT window

The FFT window length is  $8192 \cdot 2^\mu$  samples for preamble format B4, however in the measurement period at least  $11936 \cdot 2^\mu$  samples are taken where  $\mu \in \{2,3\}$ . The position in time for FFT must be determined.

In an ideal signal, the FFT may start at any instant within the cyclic prefix without causing an error. The TX filter, however, reduces the window. The EVM requirements shall be met within a window  $W < CP$ .

The reference instant for the FFT start is the centre of the reduced window, called  $\Delta\tilde{c}$ ,

EVM is measured at the following two instants:  $\Delta\tilde{c} - W/2$  and  $\Delta\tilde{c} + W/2$ .

The timing of the measured signal  $z^0(v)$  with respect to the ideal signal  $i(v)$  is determined in the pre FFT domain as follows:

Correlation between  $z^0(v)$  and  $i(v)$  will result in a correlation peak. The meaning of the correlation peak is approx. the “impulse response” of the TX filter. The correlation peak, (the highest, or in case of more than one, the earliest) indicates the timing in the measured signal with respect to the ideal signal.

$W$  is different for different preamble formats and shown in Table E.6.7-1 for  $L_{RA} = 139$  and  $\Delta f^{RA} = 15 \cdot 2^\mu$  kHz where  $\mu \in \{2,3\}$ .

**Table E.6.7-1 EVM window length for PRACH formats for  $L_{RA} = 139$**

| Preamble format  | Cyclic prefix length $N_{cp}$ | Nominal FFT size <sup>1</sup> | EVM window length $W$ in FFT samples | Ratio of $W$ to CP* |
|--|-------------------------------|-------------------------------|--------------------------------------|---------------------|
| A1   | $1152 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $576 \cdot 2^\mu$                    | 50.0%               |
| A2   | $2304 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $1728 \cdot 2^\mu$                   | 75.0%               |
| A3   | $3456 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $2880 \cdot 2^\mu$                   | 83.3%               |
| B1   | $864 \cdot 2^\mu$             | $8192 \cdot 2^\mu$            | $288 \cdot 2^\mu$                    | 33.3%               |
| B2   | $1440 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $864 \cdot 2^\mu$                    | 60.0%               |
| B3   | $2016 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $1440 \cdot 2^\mu$                   | 71.4%               |
| B4   | $3744 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $3168 \cdot 2^\mu$                   | 84.6%               |
| C0   | $4960 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $4384 \cdot 2^\mu$                   | 88.4%               |
| C2   | $8192 \cdot 2^\mu$            | $8192 \cdot 2^\mu$            | $7616 \cdot 2^\mu$                   | 93.0%               |
| Note 1: The use of other FFT sizes is possible as long as appropriate scaling of the window length is applied. |                               |                               |                                      |                     |
| Note 2: These percentages are informative.   |                               |                               |                                      |                     |

The number of samples, used for FFT is reduced compared to  $z^0(v)$ . This subset of samples is called  $z''(v)$ .

The sample frequency 122.88 MHz is oversampled with respect to the PRACH-subcarrier spacing of

$\Delta f^{RA} = 15 \cdot 2^\mu$  kHz. EVM is based on  $8192 \cdot 2^{-\mu}$  samples per PRACH preamble and requires decimation of the time samples by the factor of  $12 \cdot 2^\mu$ . The final number of samples per PRACH preamble, used for FFT is reduced compared to  $z''(v)$  by the same factor. This subset of samples is called  $z'(v)$ .

## E.6.8 Post FFT equalisation

Equalisation is not applicable for the PRACH.

## E.6.9 Derivation of the results

### E.6.9.1 $EVM_{PRACH}$

Perform FFT on  $z'(v)$  and  $i(v)$  using the FFT timing  $\Delta\tilde{c} = -W/2$  and  $\Delta\tilde{c} = +W/2$ .

For format B4 the first and the repeated preamble sequence are FFT-converted separately using the standard FFT length of 8192.

The  $EVM_{PRACH}$  is the difference between the ideal waveform and the measured and equalized waveform for the allocated RB(s).

$$EVM_{PRACH} = \sqrt{\frac{\sum_{t \in T} \sum_{f \in F} |Z'(f, t) - I(f, t)|^2}{|T| \cdot P_0 \cdot |F|}}$$

where

$t$  covers the count of demodulated symbols in the slot.

$f$  covers the count of demodulated symbols within the allocated bandwidth.

$Z'(f, t)$  are the samples of the signal evaluated for the  $EVM_{PRACH}$

$I(f, t)$  is the ideal signal reconstructed by the measurement equipment, and

$P_0$  is the average power of the ideal signal. For normalized modulation symbols  $P_0$  is equal to 1.

From the acquired samples  $2m$   $EVM_{PRACH}$  values can be derived,  $m$  values for the timing  $\Delta\tilde{c} = -W/2$  and  $m$  values for the timing  $\Delta\tilde{c} = +W/2$ .

### E.6.9.2 Averaged $EVM_{PRACH}$

The PRACH EVM,  $EVM_{PRACH}$ , is averaged over  $m$  preamble sequence measurements.

$$\overline{EVM}_{PRACH} = \sqrt{\frac{1}{m} \sum_{i=1}^m (EVM_{PRACH,i})^2}$$

where  $m$  is the number of recorded preambles as defined in Annex E.6.2.

The averaging is done separately for timing'  $\Delta\tilde{c} = -W/2$  and  $\Delta\tilde{c} = +W/2$  leading to  $\overline{EVM}_{PRACH,low}$  and  $\overline{EVM}_{PRACH,high}$

$EVM_{PRACH,final} = \max(\overline{EVM}_{PRACH,low}, \overline{EVM}_{PRACH,high})$  is compared against the test requirements.

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## Annex F (normative): Measurement uncertainties and Test Tolerances

### F.1 Acceptable uncertainty of Test System (normative)

#### F.1.0 General

The maximum acceptable uncertainty of the Test System is specified below for each test, where appropriate. The Test System shall enable the stimulus signals in the test case to be adjusted to within the specified range, and the equipment under test to be measured with an uncertainty not exceeding the specified values. Care should be taken to ensure that each conformance test implementation including the OTA chamber aspects meets the specified measurement uncertainty for each test case by requiring the test laboratory to maintain a detailed measurement uncertainty test report showing compliance to all the measurement uncertainty requirements. The detailed measurement uncertainty report would contain the justification for each measurement uncertainty component and its value and distribution. The derivation of these values is based on the minimum conformance requirements plus relaxation, i.e., test tolerance is not to be considered. All ranges and uncertainties are absolute values, and are valid for a confidence level of 95 %, unless otherwise stated.

A confidence level of 95 % is the measurement uncertainty tolerance interval for a specific measurement that contains 95 % of the performance of a population of test equipment.

The downlink signal uncertainties apply at the defined quiet zone with the UE properly positioned in the quiet zone. The uplink signal uncertainties apply at the measurement equipment with the UE positioned properly in the quiet zone.

#### F.1.1 Measurement of test environments

**Editor's note: Various measurement accuracies for UE test environments, e.g., pressure, relative humidity, DC&AC voltage, vibration, and vibration frequency, are FFS:**

The measurement accuracy of the UE test environments defined in TS 38.508-1 [5] subclause 4.1, Test environments shall be

- Temperature  $\pm 4$  degrees.

The above values shall apply unless the test environment is otherwise controlled and the specification for the control of the test environment specifies the uncertainty for the parameter.

## F.1.2 Measurement of transmitter

**Table F.1.2-1: Maximum Test System Uncertainty (MTSU) for transmitter tests**

| Sub clause   | Maximum Test System Uncertainty  | Derivation of MTSU                               |
|--|--|--|
| 6.2.1.1 UE maximum output power (EIRP)                                     | <p>PC3<br/>Minimum peak EIRP, Max EIRP<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 5.08</math> dB (FR2a, NTC testing)<br/><math>\pm 5.28</math> dB (FR2b, NTC testing)<br/>TBD (FR2c, NTC testing)<br/><math>\pm 5.35</math> dB (FR2a, ETC testing)<br/><math>\pm 5.55</math> dB (FR2b, ETC testing)<br/>TBD (FR2c, ETC testing)<br/><u>PC1</u><br/>Minimum peak EIRP, Max EIRP<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 5.33</math> dB (FR2a, NTC testing)<br/><math>\pm 5.40</math> dB (FR2b, NTC testing)<br/><math>\pm 5.60</math> dB (FR2a, ETC testing)<br/><math>\pm 5.67</math> dB (FR2b, ETC testing)</p> <p>PC5<br/>Minimum peak EIRP, Max EIRP<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 5.33</math> dB (FR2a, NTC testing)<br/><math>\pm 5.60</math> dB (FR2a, ETC testing)</p> | MTSU = 1.00 x MU (from Table B.3-1 in TR 38.903) |
| 6.2.1.1 UE maximum output power (TRP)                                      | <p><u>PC3</u><br/>Max TRP<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 4.61</math> dB (FR2a, NTC testing)<br/><math>\pm 4.81</math> dB (FR2b, NTC testing)<br/>TBD (FR2c, NTC testing)<br/><math>\pm 4.85</math> dB (FR2a, ETC testing)<br/><math>\pm 5.07</math> dB (FR2b, ETC testing)<br/>TBD (FR2c, ETC testing)<br/><u>PC1</u><br/>Max TRP<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 4.64</math> dB (FR2a, NTC testing)<br/><math>\pm 4.78</math> dB (FR2b, NTC testing)<br/><math>\pm 4.90</math> dB (FR2a, ETC testing)<br/><math>\pm 5.04</math> dB (FR2b, ETC testing)</p> <p>PC5<br/>Max TRP<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 4.64</math> dB (FR2a, NTC testing)<br/><math>\pm 4.90</math> dB (FR2a, ETC testing)</p>  | MTSU = 1.00 x MU (from Table B.3-2 in TR 38.903) |
| 6.2.1.1_1 UE maximum output power – EIRP (Rel-16 and forward)              | <u>Same as 6.2.1.1</u>   |  |
| 6.2.1.2 UE maximum output power (Spherical coverage)                       | <p>PC3<br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 4.78</math> dB (FR2a)<br/><math>\pm 5.38</math> dB (FR2b)<br/>TBD (FR2c)<br/><u>PC1</u><br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 4.69</math> dB (FR2a)<br/><math>\pm 4.84</math> dB (FR2b)</p>   | MTSU = 1.00 x MU (from Table B.3-3 in TR 38.903) |
| 6.2.1.2_1 UE maximum output power – Spherical coverage (Rel16 and forward) | <u>Same as 6.2.1.2</u>   |  |



|   |   |  |
|---|---|--|
| 6.2.2 UE maximum output power reduction                           | <u>PC3</u><br>Max Device size $\leq 30$ cm<br>$\pm 5.11$ dB (FR2a, NTC testing)<br>$\pm 5.29$ dB (FR2b, NTC testing)<br>$\pm 5.38$ dB (FR2a, ETC testing)<br>$\pm 5.56$ dB (FR2b, ETC testing)<br><br>PC1<br>Max Device size $\leq 30$ cm<br>$\pm 5.33$ dB (FR2a, NTC testing)<br>$\pm 5.50$ dB (FR2b, NTC testing)<br>$\pm 5.60$ dB (FR2a, ETC testing)<br>$\pm 5.77$ dB (FR2b, ETC testing) | MTSU = 1.00 x MU (from Table B.4-1 in TR 38.903) |
| 6.2.2_1 UE maximum output power reduction enhancements            | Same as 6.2.2 for FR2a, FR2b<br><u>PC3</u><br>Max Device size $\leq 30$ cm<br>TBD (FR2c, NTC testing)<br>TBD (FR2c, ETC testing)  | MTSU = 1.00 x MU (from Table B.4-1 in TR 38.903) |
| 6.2.3 UE maximum output power with additional requirements        | Same as 6.2.2   |  |
| 6.2.4 Configured transmitted power                                | TBD   |  |
| 6.2.4_1 Configured transmitted power with Power Boost             | Same as 6.2.1.1   |  |
| 6.2A.1.1.1 UE maximum output power - EIRP and TRP for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400$ MHz<br>Same as 6.2.1<br><br>Maximum aggregated BW $> 400$ MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.2A.1.1.2 UE maximum output power - EIRP and TRP for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400$ MHz<br>Same as 6.2.1<br><br>Maximum aggregated BW $> 400$ MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.2A.1.1.3 UE maximum output power - EIRP and TRP for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400$ MHz<br>Same as 6.2.1<br><br>Maximum aggregated BW $> 400$ MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.2A.1.1.4 UE maximum output power - EIRP and TRP for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.2A.1.1.5 UE maximum output power - EIRP and TRP for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |

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| 6.2A.1.1.6 UE maximum output power - EIRP and TRP for CA (7UL CA) | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.1.1.7 UE maximum output power - EIRP and TRP for CA (8UL CA) | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.1.2.1 Spherical coverage for CA (2UL CA)                     | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.1.2</u><br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                |  |
| 6.2A.1.2.2 Spherical coverage for CA (3UL CA)                     | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.1.2</u><br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                |  |
| 6.2A.1.2.3 Spherical coverage for CA (4UL CA)                     | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.1.2</u><br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                |  |
| 6.2A.1.2.4 Spherical coverage for CA (5UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.1.2.5 Spherical coverage for CA (6UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.1.2.6 Spherical coverage for CA (7UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.1.2.7 Spherical coverage for CA (8UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.2.1 UE maximum output power reduction for CA (2UL CA)        | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.2</u><br><br><u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD | MTSU = 1.00 x MU (from Table B.4-1 in TR 38.903) |
| 6.2A.2.2 UE maximum output power reduction for CA (3UL CA)        | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.2</u><br><br><u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |

|   |  |  |
|---|--|--|
| 6.2A.2.3 UE maximum output power reduction for CA (4UL CA)              | <u>Intra-band contiguous CA</u><br><u>Maximum aggregated BW <math>\leq</math> 400MHz</u><br><u>Same as 6.2.2</u><br><br><u>Maximum aggregated BW <math>&gt;</math> 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u>   |  |
| 6.2A.2.4 UE maximum output power reduction for CA (5UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.2.5 UE maximum output power reduction for CA (6UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.2.6 UE maximum output power reduction for CA (7UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2A.2.7 UE maximum output power reduction for CA (8UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>  |  |
| 6.2D.2 UE maximum output power reduction for UL MIMO                    | Same as 6.2.2  |  |
| 6.2D.3 UE maximum output power with additional requirements for UL MIMO | Same as 6.2.3  |  |
| 6.3.1 Minimum output power  | <u>PC1</u><br>Minimum peak EIRP, Max EIRP<br>Max Device size $\leq$ 30 cm<br>5.66 dB (FR2a, NTC testing)<br>5.96 dB (FR2b, NTC testing)<br><u>5.92 dB (FR2a, ETC testing)</u><br><u>6.22 dB (FR2b, ETC testing)</u><br><br><u>PC3</u><br>Minimum peak EIRP, Max EIRP<br>Max Device size $\leq$ 30 cm<br>$\pm$ 6.15 dB (FR2a & FR2b, NTC testing)<br>TBD (FR2c, NTC testing)<br>$\pm$ 6.41 dB (FR2a & FR2b, ETC testing)<br>TBD (FR2c, ETC testing) | MTSU = 1.00 x MU (from Table B.7-1 in TR 38.903) |
| 6.3.2 Transmit OFF power  | PC3:<br>Max Device size $\leq$ 30 cm<br>$\pm$ 5.67 dB (FR2a)<br><br>PC1:<br>Max Device size $\leq$ 30 cm<br>$\pm$ 5.67 dB (FR2a)   | MTSU = 1.00 x MU (from Table B.8-1 in TR 38.903) |
| 6.3.3.2 General ON/OFF time mask  | ON power:<br>Same as 6.2.1.1 (EIRP) for the respective power class<br>OFF power:<br>Same as 6.3.1 for the respective power class   |  |

|   |  |   |
|---|--|---|
| 6.3.3.4 PRACH time mask                             | PC3:<br>PRACH power:<br>TBD<br>OFF power:<br>Max Device size $\leq 30$ cm<br>$\pm 6.15$ dB (FR2a & FR2b, NTC testing)<br>$\pm 6.41$ dB (FR2a & FR2b, ETC testing)  |   |
| 6.3.3.6 SRS time mask                               | TBD  |   |
| 6.3.4.2 Absolute power tolerance                    | PC3<br>Max Device size $\leq 30$ cm<br>$\pm 8.05$ dB (FR2a & FR2b, NTC testing)<br>$\pm 8.42$ dB (FR2a & FR2b, ETC testing)  | MTSU = $\text{SQRT}(\text{UL Meas Uncer}^2 + \text{DL Meas Uncer}^2)$<br>UL Meas Uncer: Same as 6.3.1<br>DL Meas Uncer: Same as 7.3.2 |
| 6.3.4.3 Relative power tolerance                    | PC3<br>Max Device size $\leq 30$ cm<br>$[\pm 1.7 \text{ dB}]$ (FR2a)<br>$[\pm 1.7 \text{ dB}]$ (FR2b)  | MTSU = $1.00 \times \text{MU}$ (from Table B.9a.2.2-2 in TR 38.903)   |
| 6.3.4.4 Aggregate power tolerance                   | PC3<br>Max Device size $\leq 30$ cm<br>$\pm 1.4$ dB (FR2a)<br>$\pm 1.4$ dB (FR2b)  | MTSU = $1.00 \times \text{MU}$ (from Table B.9a.3.2-2 in TR 38.903)   |
| 6.3A.1.1 Minimum output power for CA (2UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.1.2 Minimum output power for CA (3UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.1.3 Minimum output power for CA (4UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.1.4 Minimum output power for CA (5UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.1.5 Minimum output power for CA (6UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.1.6 Minimum output power for CA (7UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.1.7 Minimum output power for CA (8UL CA)       | For UL CA aggregated BW $\leq 800$ MHz:<br>Same as 6.3.1 for each CC<br>For UL CA aggregated BW $> 800$ MHz:<br>TBD  |   |
| 6.3A.3.1.1 General ON/OFF time mask for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400\text{MHz}$<br>Same as 6.3.3<br><br>Maximum aggregated BW $> 400\text{MHz}$<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |   |

|   |   |  |
|---|---|--|
| 6.3A.3.1.2 General ON/OFF time mask for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                |  |
| 6.3A.3.1.3 General ON/OFF time mask for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                |  |
| 6.3A.3.1.4 General ON/OFF time mask for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.3.1.5 General ON/OFF time mask for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.3.1.6 General ON/OFF time mask for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.3.1.7 General ON/OFF time mask for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.2.1 Absolute power tolerance for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.2 Absolute power tolerance for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.3 Absolute power tolerance for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |

|   |   |  |
|---|---|--|
| 6.3A.4.2.4 Absolute power tolerance for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.5 Absolute power tolerance for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.6 Absolute power tolerance for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.7 Absolute power tolerance for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.3.1 Relative power tolerance for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>TBD<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                          |  |
| 6.3A.4.3.2 Relative power tolerance for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>TBD<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                          |  |

|  |   |  |
|--|---|--|
| 6.3A.4.3.3 Relative power tolerance for CA (4UL CA)  | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>TBD<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                          |  |
| 6.3A.4.3.4 Relative power tolerance for CA (5UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.3.5 Relative power tolerance for CA (6UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.3.6 Relative power tolerance for CA (7UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.3.7 Relative power tolerance for CA (8UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.1 Aggregate power tolerance for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.4 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.4.2 Aggregate power tolerance for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.4 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.4.3 Aggregate power tolerance for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.4 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.4.4 Aggregate power tolerance for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.5 Aggregate power tolerance for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.6 Aggregate power tolerance for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.7 Aggregate power tolerance for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |

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| 6.3D.3.1 General ON/OFF time mask for UL MIMO               | PC3:<br><u>OFF Power</u><br>Max Device size $\leq 30\text{cm}$<br>$\pm 6.15\text{ dB}$ (FR2a)<br>$\pm 6.15\text{ dB}$ (FR2b)<br><br><u>ON Power</u><br>Quiet Zone size $\leq 30\text{cm}$<br>TBD (FR2a)<br>TBD (FR2b)   | <u>OFF Power</u><br>MTSU = $1.00 \times \text{MU}$ (from Table B.8-2-4 in TR 38.903)<br><br><u>ON Power</u><br>TBD |
| 6.3D.3.4 SRS time mask for UL MIMO                          | PC3:<br><u>OFF Power</u><br>Max Device size $\leq 30\text{cm}$<br>$\pm 6.15\text{ dB}$ (FR2a)<br>$\pm 6.15\text{ dB}$ (FR2b)<br><br><u>ON Power</u><br>Quiet Zone size $\leq 30\text{cm}$<br>TBD (FR2a)<br>TBD (FR2b)   | <u>OFF Power</u><br>MTSU = $1.00 \times \text{MU}$ (from Table B.8-2-4 in TR 38.903)<br><br><u>ON Power</u><br>TBD |
| 6.4.1 Frequency error                                       | $\pm 0.01\text{ ppm}$ (NTC & ETC testing)   | MTSU = $1.00 \times \text{MU}$ (from B.10.1 and B.10.2 in TR 38.903)   |
| 6.4.2.1 Error vector magnitude                              | PUSCH, PC3, FR2a:<br>As defined in Table F.1.2-2.<br><br>PUSCH, PC3, FR2b:<br>As defined in Table F.1.2-3.<br><br>PUSCH, PC1, FR2a:<br>$\pm 2.48\text{ [%CBW]}$ (BW 50MHz)<br>$\pm 3.50\text{ [%CBW]}$ (BW 100MHz)<br>$\pm 4.95\text{ [%CBW]}$ (BW 200MHz)<br>$\pm 7.00\text{ [%CBW]}$ (BW 400MHz)<br><br>Otherwise:<br>TBD |  |
| 6.4.2.1_1 Error vector magnitude with Power Boost           | Same as 6.4.2.1 for PUSCH and PUCCH.  |  |
| 6.4.2.2 Carrier leakage                                     | <u>PC3</u><br>Max Device size $\leq 30\text{ cm}$<br><br>$\pm 5.44\text{ dB}$ (FR2a)<br>$\pm 5.57\text{ dB}$ (FR2b)<br><br>uplink absolute power measurement uncertainty: $6.15\text{ dB}$ (FR2a & FR2b, NTC testing)<br>uplink relative power measurement uncertainty: $1.4\text{ dB}$ (FR2a & FR2b, NTC testing)          | MTSU = $1.00 \times \text{MU}$ (from Table B.11-1 in TR 38.903)  |
| 6.4.2.3 In-band emissions                                   | TBD   |  |
| 6.4.2.4 EVM equalizer spectrum flatness                     | TBD   |  |
| 6.4.2.5 EVM equalizer spectrum flatness for BPSK modulation | TBD   |  |



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| 6.4A.1.1 Frequency error for CA (2UL CA)          | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.4.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.4A.1.2 Frequency error for CA (3UL CA)          | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.4.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.4A.1.3 Frequency error for CA (4UL CA)          | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.4.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.4A.1.4 Frequency error for CA (5UL CA)          | <u>Intra-band contiguous CA</u><br>TBD   |  |
| 6.4A.1.5 Frequency error for CA (6UL CA)          | <u>Intra-band contiguous CA</u><br>TBD   |  |
| 6.4A.1.6 Frequency error for CA (7UL CA)          | <u>Intra-band contiguous CA</u><br>TBD   |  |
| 6.4A.1.7 Frequency error for CA (8UL CA)          | <u>Intra-band contiguous CA</u><br>TBD   |  |
| 6.4A.2.1.1 Error Vector magnitude for CA (2UL CA) | TBD  |  |
| 6.4A.2.1.2 Error Vector magnitude for CA (3UL CA) | TBD  |  |
| 6.4A.2.1.3 Error Vector magnitude for CA (4UL CA) | TBD  |  |
| 6.4A.2.1.4 Error Vector magnitude for CA (5UL CA) | TBD  |  |
| 6.4A.2.1.5 Error Vector magnitude for CA (6UL CA) | TBD  |  |
| 6.4A.2.1.6 Error Vector magnitude for CA (7UL CA) | TBD  |  |
| 6.4A.2.1.7 Error Vector magnitude for CA (8UL CA) | TBD  |  |
| 6.4A.2.2.1 Carrier leakage for CA (2UL CA)        | <u>TBD</u>   |  |
| 6.4A.2.2.2 Carrier leakage for CA (3UL CA)        | <u>TBD</u>   |  |
| 6.4A.2.2.3 Carrier leakage for CA (4UL CA)        | <u>TBD</u>   |  |
| 6.4A.2.2.4 Carrier leakage for CA (5UL CA)        | <u>TBD</u>   |  |
| 6.4A.2.2.5 Carrier leakage for CA (6UL CA)        | <u>TBD</u>   |  |
| 6.4A.2.2.6 Carrier leakage for CA (7UL CA)        | <u>TBD</u>   |  |

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| 6.4A.2.2.7 Carrier leakage for CA (8UL CA)        | <u>TBD</u>  |   |
| 6.4A.2.3.1 In-band emissions for CA (2UL CA)      | <u>TBD</u>  |   |
| 6.4A.2.3.2 In-band emissions for CA (3UL CA)      | <u>TBD</u>  |   |
| 6.4A.2.3.3 In-band emissions for CA (4UL CA)      | <u>TBD</u>  |   |
| 6.4A.2.3.4 In-band emissions for CA (5UL CA)      | <u>TBD</u>  |   |
| 6.4A.2.3.5 In-band emissions for CA (6UL CA)      | <u>TBD</u>  |   |
| 6.4A.2.3.6 In-band emissions for CA (7UL CA)      | <u>TBD</u>  |   |
| 6.4A.2.3.7 In-band emissions for CA (8UL CA)      | <u>TBD</u>  |   |
| 6.5.1 Occupied bandwidth                          | <p>Max Device size <math>\leq</math> 30cm</p> <p>PC3 and PC1:<br/>FR2a:<br/><math>\pm 0.4</math> [%CBW] (BW 50MHz)<br/><math>\pm 0.4</math> [%CBW] (BW 100MHz)<br/><math>\pm 1.2</math> [%CBW] (BW 200MHz)<br/><math>\pm 1.2</math> [%CBW] (BW 400MHz)</p> <p>FR2b:<br/><math>\pm 0.4</math> [%CBW] (BW 50MHz)<br/><math>\pm 0.4</math> [%CBW] (BW 100MHz)<br/><math>\pm 1.3</math> [%CBW] (BW 200MHz)<br/><math>\pm 1.3</math> [%CBW] (BW 400MHz)</p> <p>FR2c:<br/>TBD</p> |   |
| 6.5.2.1 Spectrum Emission Mask                    | <p>PC3<br/>Max Device size <math>\leq</math> 30 cm<br/><math>\pm 5.13</math> dB (FR2a)<br/><math>\pm 5.51</math> dB (FR2b)<br/>TBD (FR2c)</p> <p>PC1<br/>Max Device size <math>\leq</math> 30 cm<br/><math>\pm 6.32</math> dB (FR2a)<br/><math>\pm</math>FFS (FR2b)</p>   | MTSU = 1.00 x MU (from Table B.16-1 in TR 38.903) |
| 6.5.2.1_1 Spectrum Emission Mask with Power Boost | Same as 6.5.2.1   |   |

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| 6.5.2.3 Adjacent Channel Leakage Ratio                    | <p>PC3<br/>Max Device size <math>\leq 30\text{cm}</math></p> <p>FR2a, NTC &amp; ETC testing:<br/> <math>\pm 5.63\text{ dB}</math> (<math>\text{BW} \leq 50\text{MHz}</math>)<br/> <math>\pm 6.09\text{ dB}</math> (<math>50\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math>)<br/> <math>\pm 6.09\text{ dB}</math> (<math>100\text{MHz} &lt; \text{BW} \leq 200\text{MHz}</math>)<br/> <math>\pm 6.09\text{ dB}</math> (<math>200\text{MHz} &lt; \text{BW} \leq 400\text{MHz}</math>)</p> <p>FR2b, NTC &amp; ETC testing:<br/> <math>\pm 6.09\text{ dB}</math> (<math>\text{BW} \leq 50\text{MHz}</math>)<br/> <math>\pm 6.09\text{ dB}</math> (<math>50\text{MHz} &lt; \text{BW} \leq 100\text{MHz}</math>)<br/> <math>\pm 6.09\text{ dB}</math> (<math>100\text{MHz} &lt; \text{BW} \leq 200\text{MHz}</math>)<br/> <math>\pm 6.09\text{ dB}</math> (<math>200\text{MHz} &lt; \text{BW} \leq 400\text{MHz}</math>)</p> <p>FR2c, NTC &amp; ETC testing:<br/> TBD</p> <p>PC1<br/>Max Device size <math>\leq 30\text{cm}</math></p> <p>FR2a, NTC &amp; ETC testing:<br/> <math>\pm 6.04\text{ dB}</math> (<math>\text{BW} \leq 400\text{MHz}</math>)</p> <p>FR2b, NTC &amp; ETC testing:<br/> <math>\pm 6.04\text{ dB}</math> (<math>\text{BW} \leq 400\text{MHz}</math>)</p> | MTSU = $1.00 \times \text{MU}$ (from Table B.17-1B in TR 38.903) |
| 6.5.3.1 Transmitter Spurious emissions                    | <p>Max Device size <math>\leq 30\text{ cm}</math><br/> Maximum in-band BW <math>\leq 400\text{MHz}</math></p> <p>PC3:<br/> <math>\pm 5.29\text{ dB}</math> (<math>6\text{GHz} \leq f &lt; 12.75\text{GHz}</math>)<br/> <math>\pm 5.25\text{ dB}</math> (<math>12.75\text{GHz} \leq f &lt; 23.45\text{GHz}</math>)<br/> <math>\pm 5.41\text{ dB}</math> (<math>23.45\text{GHz} \leq f &lt; 40.8\text{GHz}</math>)<br/> <math>\pm 7.42\text{ dB}</math> (<math>40.8\text{GHz} \leq f &lt; 66\text{GHz}</math>)<br/> <math>\pm 7.72\text{ dB}</math> (<math>66\text{GHz} \leq f \leq 80\text{GHz}</math>)</p> <p>PC1:<br/> <math>\pm 5.28\text{ dB}</math> (<math>6\text{GHz} \leq f &lt; 12.75\text{GHz}</math>)<br/> <math>\pm 5.91\text{ dB}</math> (<math>12.75\text{GHz} \leq f &lt; 23.45\text{GHz}</math>)<br/> <math>\pm 6.07\text{ dB}</math> (<math>23.45\text{GHz} \leq f &lt; 40.8\text{GHz}</math>)<br/> <math>\pm 8.09\text{ dB}</math> (<math>40.8\text{GHz} \leq f &lt; 66\text{GHz}</math>)<br/> <math>\pm 7.71\text{ dB}</math> (<math>66\text{GHz} \leq f \leq 80\text{GHz}</math>)</p>  | MTSU = $1.00 \times \text{MU}$ (from Table B.18-1 in TR 38.903)  |
| 6.5.3.1_1 Transmitter Spurious emissions with Power Boost | Same as 6.5.3.1  |  |

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| 6.5.3.2 Spurious emission band UE co-existence                    | <p>Max Device size <math>\leq 30</math> cm<br/>Maximum in-band BW <math>\leq 400</math> MHz</p> <p>PC3:<br/>Protected band n260, n261, n257:<br/><math>\pm 6.00</math> dB</p> <p>Protected frequency <math>23.6 \text{ GHz} \leq f \leq 24.0 \text{ GHz}</math>: <math>\pm 6.00</math> dB</p> <p>Protected frequency <math>57 \text{ GHz} \leq f \leq 66 \text{ GHz}</math>: <math>\pm 8.01</math> dB</p> <p>Protected frequency <math>36 \text{ GHz} \leq f \leq 37 \text{ GHz}</math>: <math>\pm 6.00</math> dB</p> <p>PC1:<br/>Protected band n257, n260, n261: <math>\pm 7.32</math> dB<br/>Protected frequency <math>23.6 \text{ GHz} \leq f \leq 24.0 \text{ GHz}</math>: <math>\pm 7.32</math> dB<br/>Protected frequency <math>57 \text{ GHz} \leq f \leq 66 \text{ GHz}</math>: <math>\pm 8.00</math> dB</p>   | MTSU = 1.00 x MU (from Table B.18-1a in TR 38.903) |
| 6.5.3.2_1 Spurious emission band UE co-existence with Power Boost | Same as 6.5.3.2   |  |
| 6.5.3.3 Additional Spurious emission                              | <p>Max Device size <math>\leq 30</math> cm<br/>Maximum in-band BW <math>\leq 400</math> MHz</p> <p>PC3:<br/><math>\pm 5.29</math> dB (<math>6 \text{ GHz} \leq f \leq 12.75 \text{ GHz}</math>), NS_202<br/><math>\pm 5.84</math> dB (<math>12.75 \text{ GHz} &lt; f \leq 23.45 \text{ GHz}</math>), NS_202<br/><math>\pm 6.00</math> dB (<math>23.45 \text{ GHz} &lt; f &lt; 40.8 \text{ GHz}</math>), NS_202, NS_203<br/><math>\pm 8.01</math> dB (<math>40.8 \text{ GHz} \leq f \leq 2\text{nd harmonic of the upper frequency edge of the UL operating band}</math>), NS_202</p> <p>PC1:<br/><math>\pm 5.28</math> dB (<math>6 \text{ GHz} \leq f \leq 12.75 \text{ GHz}</math>), NS_202<br/><math>\pm 7.16</math> dB (<math>12.75 \text{ GHz} &lt; f \leq 23.45 \text{ GHz}</math>), NS_202<br/><math>\pm 7.32</math> dB (<math>23.45 \text{ GHz} &lt; f &lt; 40.8 \text{ GHz}</math>), NS_202, NS_203<br/><math>\pm 9.34</math> dB (<math>40.8 \text{ GHz} \leq f \leq 2\text{nd harmonic of the upper frequency edge of the UL operating band}</math>), NS_202</p> | MTSU = 1.00 x MU (from Table B.18-1b in TR 38.903) |
| 6.5.3.3_1 Additional spurious emissions with Power Boost          | Same as 6.5.3.3   |  |

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| 6.5A.1.1 Occupied bandwidth for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Max Device size $\leq$ 30cm<br><br>PC3:<br>FR2a:<br>TBD<br><br>FR2b:<br>TBD<br><br>FR2c:<br>TBD<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.1.2 Occupied bandwidth for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5A.1.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.5A.1.3 Occupied bandwidth for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5A.1.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.5A.1.4 Occupied bandwidth for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5A.1.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.5A.1.5 Occupied bandwidth for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5A.1.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |

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| 6.5A.1.6 Occupied bandwidth for CA (7UL CA)       | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5A.1.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.1.7 Occupied bandwidth for CA (8UL CA)       | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5A.1.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.2.1.1 Spectrum Emission Mask for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.5A.2.1.2 Spectrum Emission Mask for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.5A.2.1.3 Spectrum Emission Mask for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD  |  |
| 6.5A.2.1.4 Spectrum Emission Mask for CA (5UL CA) | TBD   |  |
| 6.5A.2.1.5 Spectrum Emission Mask for CA (6UL CA) | TBD   |  |
| 6.5A.2.1.6 Spectrum Emission Mask for CA (7UL CA) | TBD   |  |
| 6.5A.2.1.7 Spectrum Emission Mask for CA (8UL CA) | TBD   |  |

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| 6.5A.2.2.1 Adjacent channel leakage ratio for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD | MTSU = 1.00 x MU (from Table B.17-1B in TR 38.309) |
| 6.5A.2.2.2 Adjacent channel leakage ratio for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.2.2.3 Adjacent channel leakage ratio for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.2.2.4 Adjacent channel leakage ratio for CA (5UL CA) | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.2.2.5 Adjacent channel leakage ratio for CA (6UL CA) | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.2.2.6 Adjacent channel leakage ratio for CA (7UL CA) | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.2.2.7 Adjacent channel leakage ratio for CA (8UL CA) | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.3.1.1 Transmitter Spurious emissions for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |

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| 6.5A.3.1.2 Transmitter Spurious emissions for CA (3UL CA)         | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.3.1.3 Transmitter Spurious emissions for CA (4UL CA)         | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.3.1.4 Transmitter Spurious emissions for CA (5UL CA)         | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.3.1.5 Transmitter Spurious emissions for CA (6UL CA)         | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.3.1.6 Transmitter Spurious emissions for CA (7UL CA)         | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.3.1.7 Transmitter Spurious emissions for CA (8UL CA)         | Intra-band contiguous CA<br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |  |
| 6.5A.3.2.1 Spurious emission band UE co-existence for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.2<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.3.2.2 Spurious emission band UE co-existence for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.2<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |



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| 6.5A.3.2.3 Spurious emission band UE co-existence for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.2<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.5A.3.2.4 Spurious emission band UE co-existence for CA (5UL CA) | TBD  |  |
| 6.5A.3.2.5 Spurious emission band UE co-existence for CA (6UL CA) | TBD  |  |
| 6.5A.3.2.6 Spurious emission band UE co-existence for CA (7UL CA) | TBD  |  |
| 6.5A.3.2.7 Spurious emission band UE co-existence for CA (8UL CA) | TBD  |  |
| 6.5A.3.3.1 Additional spurious emissions for CA (2UL CA)          | Intra-band contiguous CA<br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br>Intra-band non-contiguous, Inter-band CA<br>TBD               |  |
| 6.5A.3.3.2 Additional spurious emissions for CA (3UL CA)          | Intra-band contiguous CA<br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br>Intra-band non-contiguous, Inter-band CA<br>TBD               |  |
| 6.5A.3.3.3 Additional spurious emissions for CA (4UL CA)          | Intra-band contiguous CA<br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br>Intra-band non-contiguous, Inter-band CA<br>TBD               |  |
| 6.5A.3.3.4 Additional spurious emissions for CA (5UL CA)          | TBD  |  |
| 6.5A.3.3.5 Additional spurious emissions for CA (6UL CA)          | TBD  |  |
| 6.5A.3.3.6 Additional spurious emissions for CA (7UL CA)          | TBD  |  |
| 6.5A.3.3.7 Additional spurious emissions for CA (8UL CA)          | TBD  |  |
| 6.5D.2.1 Spectrum Emission Mask for UL MIMO                       | Same as 6.5.2.1  |  |
| 6.5D.2.2 Adjacent channel leakage ratio for UL MIMO               | Same as 6.5.2.3  |  |

|   |  |  |
|---|--|--|
| 6.6.1 Beam correspondence – EIRP                          | PC3<br>Max Device size ≤ 30 cm<br>2.67 dB (FR2a, NTC testing)<br>3.80 dB (FR2b, NTC testing) | MTSU = 1.00 x MU (from Table B.18a.2-2 in TR 38.309) |
| 6.6.2 Enhanced Beam correspondence - EIRP                 | Same as 6.6.1  |  |
| NOTE 1: FR2a, FR2b and FR2c are specified in Table 5.1-2. |  |  |

**Table F.1.2-2: EVM Measurement Uncertainty (MU) for PUSCH, PC3, FR2a (23.45GHz ≤ f ≤ 32.125GHz)**

| Test ID | Modulation           | RB alloc.  | 50MHz<br>z | 100MHz | 200MHz | 400MHz |
|---------|----------------------|------------|------------|--------|--------|--------|
| 1       | DFT-s-OFDM PI/2 BPSK | Inner_Full | 2.78%      | 3.85%  | 5.44%  | 7.69%  |
| 2       | DFT-s-OFDM PI/2 BPSK | Outer_Full | 3.10%      | 4.16%  | 5.88%  | 8.99%  |
| 3       | DFT-s-OFDM QPSK      | Inner_Full | 2.78%      | 3.85%  | 5.44%  | 7.69%  |
| 4       | DFT-s-OFDM QPSK      | Outer_Full | 3.10%      | 4.16%  | 5.88%  | 8.99%  |
| 5       | DFT-s-OFDM 16 QAM    | Inner_Full | 3.31%      | 4.50%  | 6.36%  | 11.21% |
| 6       | DFT-s-OFDM 16 QAM    | Outer_Full | 3.60%      | 4.73%  | 6.68%  | 11.21% |
| 7       | DFT-s-OFDM 64 QAM    | Inner_Full | 4.26%      | 5.96%  | 8.41%  | 15.84% |
| 8       | DFT-s-OFDM 64 QAM    | Outer_Full | 5.01%      | 7.08%  | 9.99%  | 15.84% |
| 9       | CP-OFDM QPSK         | Inner_Full | 3.60%      | 4.73%  | 6.68%  | 11.89% |
| 10      | CP-OFDM QPSK         | Outer_Full | 3.71%      | 4.99%  | 7.07%  | 11.89% |
| 11      | CP-OFDM 16 QAM       | Inner_Full | 4.26%      | 5.96%  | 8.41%  | 15.84% |
| 12      | CP-OFDM 16 QAM       | Outer_Full | 4.26%      | 5.96%  | 8.41%  | 15.84% |
| 13      | CP-OFDM 64 QAM       | Inner_Full | 6.31%      | 8.91%  | 12.59% | 21.13% |
| 14      | CP-OFDM 64 QAM       | Outer_Full | 6.31%      | 8.91%  | 12.59% | 21.13% |

**Table F.1.2-3: EVM Measurement Uncertainty (MU) for PUSCH, PC3, FR2b (32.125GHz < f ≤ 40.8GHz)**

| Test ID | Modulation           | RB alloc.  | 50MHz  | 100MHz | 200MHz | 400MHz |
|---------|----------------------|------------|--------|--------|--------|--------|
| 1       | DFT-s-OFDM PI/2 BPSK | Inner_Full | 3.56%  | 4.83%  | 6.91%  | 9.65%  |
| 2       | DFT-s-OFDM PI/2 BPSK | Outer_Full | 4.15%  | 5.69%  | 8.11%  | 12.50% |
| 3       | DFT-s-OFDM QPSK      | Inner_Full | 3.56%  | 4.83%  | 6.91%  | 9.65%  |
| 4       | DFT-s-OFDM QPSK      | Outer_Full | 4.15%  | 5.69%  | 8.11%  | 12.50% |
| 5       | DFT-s-OFDM 16 QAM    | Inner_Full | 4.54%  | 6.26%  | 8.91%  | 18.06% |
| 6       | DFT-s-OFDM 16 QAM    | Outer_Full | 5.09%  | 7.19%  | 10.15% | 18.06% |
| 7       | DFT-s-OFDM 64 QAM    | Inner_Full | 6.78%  | 9.58%  | 13.54% | 25.50% |
| 8       | DFT-s-OFDM 64 QAM    | Outer_Full | 8.06%  | 11.38% | 16.09% | 25.50% |
| 9       | CP-OFDM QPSK         | Inner_Full | 5.09%  | 7.19%  | 10.15% | 19.13% |
| 10      | CP-OFDM QPSK         | Outer_Full | 5.39%  | 7.61%  | 10.75% | 19.13% |
| 11      | CP-OFDM 16 QAM       | Inner_Full | 6.78%  | 9.58%  | 13.54% | 25.50% |
| 12      | CP-OFDM 16 QAM       | Outer_Full | 6.78%  | 9.58%  | 13.54% | 25.50% |
| 13      | CP-OFDM 64 QAM       | Inner_Full | 10.14% | 14.33% | 20.25% | 34.01% |
| 14      | CP-OFDM 64 QAM       | Outer_Full | 10.14% | 14.33% | 20.25% | 34.01% |

## F.1.3 Measurement of receiver

**Table F.1.3-1: Maximum Test System Uncertainty (MTSU) for receiver tests**

| Sub clause   | Maximum Test System Uncertainty   | Derivation of MTSU                                |
|--|---|---|
| 7.3.2 Reference sensitivity power level                    | <p><u>PC3</u><br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 5.36</math> dB (FR2a, FR2b, NTC testing)<br/>TBD (FR2c NTC testing)<br/><math>\pm 5.61</math> dB (FR2a, FR2b, ETC testing)<br/>TBD (FR2c ETC testing)</p> <p><u>PC1</u><br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 5.58</math> dB (FR2a, FR2b, NTC testing)<br/><math>\pm 5.83</math> dB (FR2a, FR2b, ETC testing)</p> <p><u>PC5</u><br/>Max Device size <math>\leq 30</math> cm<br/><math>\pm 5.58</math> dB (FR2a, NTC testing)<br/><math>\pm 5.83</math> dB (FR2a, ETC testing)</p> | MTSU = 1.00 x MU (from Table B.19-1 in TR 38.903) |
| 7.3.4 EIS spherical coverage                               | <p><u>PC3</u><br/><math>\pm 5.07</math> dB (Max Device size <math>\leq 30</math> cm, FR2a, FR2b)<br/>TBD (Max Device size <math>\leq 30</math> cm, FR2c)</p> <p><u>PC1</u><br/><math>\pm 5.07</math> dB (Max Device size <math>\leq 30</math> cm, FR2a, FR2b)</p> <p><u>PC5</u><br/><math>\pm 5.07</math> dB (Max Device size <math>\leq 30</math> cm, FR2a)</p>  | MTSU = 1.00 x MU (from Table B.19-2 in TR 38.903) |
| 7.3A.2.1 Reference sensitivity power level for CA (2DL CA) | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq 400</math>MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW <math>&gt; 400</math>MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p>   |   |
| 7.3A.2.2 Reference sensitivity power level for CA (3DL CA) | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq 400</math>MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW <math>&gt; 400</math>MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p>   |   |
| 7.3A.2.3 Reference sensitivity power level for CA (4DL CA) | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq 400</math>MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW <math>&gt; 400</math>MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p>   |   |

|  |  |  |
|--|--|--|
| 7.3A.2.4 Reference sensitivity power level for CA (5DL CA) | <p>Intra-band contiguous CA<br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p>Intra-band non-contiguous, Inter-band CA<br/>TBD</p> |  |
| 7.3A.2.5 Reference sensitivity power level for CA (6DL CA) | <p>Intra-band contiguous CA<br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p>Intra-band non-contiguous, Inter-band CA<br/>TBD</p> |  |
| 7.3A.2.6 Reference sensitivity power level for CA (7DL CA) | <p>Intra-band contiguous CA<br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p>Intra-band non-contiguous, Inter-band CA<br/>TBD</p> |  |
| 7.3A.2.7 Reference sensitivity power level for CA (8DL CA) | <p>Intra-band contiguous CA<br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 7.3.2 for each component carrier</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p>Intra-band non-contiguous, Inter-band CA<br/>TBD</p> |  |
| 7.3A.3.1 EIS spherical coverage for CA (2DL CA)            | <u>TBD</u>   |  |
| 7.3A.3.2 EIS spherical coverage for CA (3DL CA)            | <u>TBD</u>   |  |
| 7.3A.3.3 EIS spherical coverage for CA (4DL CA)            | <u>TBD</u>   |  |
| 7.3A.3.4 EIS spherical coverage for CA (5DL CA)            | <u>TBD</u>   |  |
| 7.3A.3.5 EIS spherical coverage for CA (6DL CA)            | <u>TBD</u>   |  |
| 7.3A.3.6 EIS spherical coverage for CA (7DL CA)            | <u>TBD</u>   |  |
| 7.3A.3.7 EIS spherical coverage for CA (8DL CA)            | <u>TBD</u>   |  |
| 7.4 Maximum input level                                    | TBD  |  |
| 7.4A.1 Maximum input level for CA (2DL CA)                 | TBD  |  |
| 7.4A.2 Maximum input level for CA (3DL CA)                 | TBD  |  |
| 7.4A.3 Maximum input level for CA (4DL CA)                 | TBD  |  |
| 7.4A.4 Maximum input level for CA (5DL CA)                 | TBD  |  |
| 7.4A.5 Maximum input level for CA (6DL CA)                 | TBD  |  |

|   |   |   |
|---|---|---|
| 7.4A.6 Maximum input level for CA (7DL CA)                | TBD   |   |
| 7.4A.7 Maximum input level for CA ((DL CA)                | TBD   |   |
| 7.5 Adjacent channel selectivity                          | PC3<br>$\pm 8.08$ dB (Max Device size $\leq 30$ cm, FR2a, FR2b)<br>TBD (Max Device size $\leq 30$ cm, FR2c)<br><br>PC1<br>$\pm 8.31$ dB (Max Device size $\leq 30$ cm, FR2a, FR2b)  | MTSU = 1.00 x MU (from Table B.21-1 in TR 38.903) |
| 7.5A.1 Adjacent channel selectivity for CA (2UL CA)       | <u>TBD</u>  |   |
| 7.5A.2 Adjacent channel selectivity for CA (3UL CA)       | <u>TBD</u>  |   |
| 7.5A.3 Adjacent channel selectivity for CA (4UL CA)       | <u>TBD</u>  |   |
| 7.5A.4 Adjacent channel selectivity for CA (5UL CA)       | <u>TBD</u>  |   |
| 7.5A.5 Adjacent channel selectivity for CA (6UL CA)       | <u>TBD</u>  |   |
| 7.5A.6 Adjacent channel selectivity for CA (7UL CA)       | <u>TBD</u>  |   |
| 7.5A.7 Adjacent channel selectivity for CA (8UL CA)       | <u>TBD</u>  |   |
| 7.6.2 In-band blocking                                    | Same as 7.5   |   |
| 7.6A.2.1 In-band blocking for CA (2UL CA)                 | TBD   |   |
| 7.6A.2.2 In-band blocking for CA (3UL CA)                 | TBD   |   |
| 7.6A.2.3 In-band blocking for CA (4UL CA)                 | TBD   |   |
| 7.6A.2.4 In-band blocking for CA (5UL CA)                 | TBD   |   |
| 7.6A.2.5 In-band blocking for CA (6UL CA)                 | TBD   |   |
| 7.6A.2.6 In-band blocking for CA (7UL CA)                 | TBD   |   |
| 7.6A.2.7 In-band blocking for CA (8UL CA)                 | TBD   |   |
| 7.9 Spurious emissions                                    | Max Device size $\leq 30$ cm<br>Maximum in-band BW $\leq 400$ MHz<br><br>PC3:<br>For Band n257, n258, n260, n261:<br>$\pm 5.64$ dB ( $6\text{GHz} \leq f < 12.75\text{GHz}$ )<br>$\pm 5.60$ dB ( $12.75\text{GHz} \leq f < 23.45\text{GHz}$ )<br>$\pm 6.11$ dB ( $23.45\text{GHz} \leq f < 40.8\text{GHz}$ )<br>$\pm 7.65$ dB ( $40.8\text{GHz} \leq f < 66\text{GHz}$ )<br>$\pm 7.95$ dB ( $66\text{GHz} \leq f \leq 80\text{GHz}$ )<br><br>PC1:<br>For Band n257, n258, n260, n261:<br>$\pm 5.63$ dB ( $6\text{GHz} \leq f < 12.75\text{GHz}$ )<br>$\pm 5.59$ dB ( $12.75\text{GHz} \leq f < 23.45\text{GHz}$ )<br>$\pm 6.10$ dB ( $23.45\text{GHz} \leq f < 40.8\text{GHz}$ )<br>$\pm 7.64$ dB ( $40.8\text{GHz} \leq f < 66\text{GHz}$ )<br>$\pm 7.95$ dB ( $66\text{GHz} \leq f \leq 80\text{GHz}$ ) | MTSU = 1.00 x MU (from Table B.25-1 in TR 38.903) |
| NOTE 1: FR2a, FR2b and FR2c are specified in Table 5.1-2. |   |   |

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## F.2 Interpretation of measurement results (normative)

The actual measurement uncertainty of the Test System for the measurement of each parameter shall be included in the test report.

The recorded value for the Test System uncertainty shall be, for each measurement, equal to or lower than the appropriate figure in clause F.1 of the present document.

If the Test System using one of the permitted test methods defined in TR38.903 [20] for a test is known to have a measurement uncertainty greater than that specified in clause F.1, it is still permitted to use this apparatus provided that an adjustment is made value as follows:

Any additional uncertainty in the Test System over and above that specified in clause F.1 shall be used to tighten the Test Requirement, making the test harder to pass. For some tests, for example receiver tests, this may require modification of stimulus signals. This procedure will ensure that a Test System not compliant with clause F.1 does not increase the chance of passing a device under test where that device would otherwise have failed the test if a Test System compliant with clause F.1 had been used.

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## F.3 Test Tolerance and Derivation of Test Requirements (informative)

### F.3.1 Measurement of test environments

TBD

### F.3.2 Measurement of transmitter

Editor's note: This clause is incomplete. The following aspects are either missing or not yet determined:

- Influence of noise is subtracted from MTSU before calculating the TT for lower limit Tx test cases.

**Table F.3.2-1: Derivation of Test Requirements (Transmitter tests)**



| Sub clause  | Test Tolerance (TT)  | Formula for test requirement   |
|---|--|--|
| 6.2.1.1 UE maximum output power (EIRP)                        | <p><u>PC3</u><br/> Minimum peak EIRP<br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 2.99 dB (FR2a, NTC)<br/> 2.99 dB (FR2b, NTC)<br/> TBD (FR2c, NTC)<br/> 3.15 dB (FR2a, ETC)<br/> 3.15 dB (FR2b, ETC)<br/> TBD (FR2c, ETC)</p> <p><u>PC1</u><br/> Minimum peak EIRP<br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 3.12 dB (FR2a, NTC)<br/> 3.12 dB (FR2b, NTC)<br/> 3.28 dB (FR2a, ETC)<br/> 3.28 dB (FR2b, ETC)</p> <p><u>PC5</u><br/> Minimum peak EIRP<br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 3.12 dB (FR2a, NTC)<br/> Max EIRP<br/> 0 dB</p> | <p><u>PC3</u><br/> Minimum peak EIRP<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.1)</math> (FR2a)<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.3)</math> (FR2b)</p> <p><u>PC1</u><br/> Minimum peak EIRP<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.13)</math> (FR2a)<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.20)</math> (FR2b)</p> <p><u>PC5</u><br/> Minimum peak EIRP<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.13)</math> (FR2a)</p> |
| 6.2.1.1 UE maximum output power (TRP)                         | <p><u>PC3</u><br/> Max TRP<br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 2.77 dB (FR2a, NTC)<br/> 2.89 dB (FR2b, NTC)<br/> TBD (FR2c, NTC)<br/> 2.91 dB (FR2a, ETC)<br/> 3.04 dB (FR2b, ETC)<br/> TBD (FR2c, ETC)</p> <p><u>PC1</u><br/> Max TRP<br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 2.78 dB (FR2a, NTC)<br/> 2.87 dB (FR2b, NTC)<br/> 2.94 dB (FR2a, ETC)<br/> 3.03 dB (FR2b, ETC)</p> <p><u>PC5</u><br/> Max TRP<br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 2.78 dB (FR2a, NTC)<br/> 2.94 dB (FR2a, ETC)</p>                              | <p>Max TRP<br/> <math>TT = 0.60 \times MTSU_{IFF}</math></p>   |
| 6.2.1.1_1 UE maximum output power – EIRP (Rel-16 and forward) | <u>Same as 6.2.1.1</u>   |  |
| 6.2.1.2 UE maximum output power (Spherical coverage)          | <p><u>PC1</u><br/> IFF (Max Device size <math>\leq</math> 30 cm)<br/> 2.69 dB (FR2a)<br/> 2.69 dB (FR2b)</p> <p><u>PC2</u><br/> TBD</p> <p><u>PC3</u></p>  | <p><u>PC3</u><br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.3)</math> (FR2a)<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.9)</math> (FR2b)</p> <p><u>PC1</u><br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.20)</math> (FR2a)<br/> <math>TT = 0.60 \times (MTSU_{IFF} - 0.35)</math> (FR2b)</p> <p><u>PC5</u></p>  |

|  |   |  |
|--|---|--|
|  | <p>IFF (Max Device size <math>\leq 30</math> cm)<br/> 2.69 dB (FR2a)<br/> 2.69 dB (FR2b)<br/> TBD (FR2c)</p> <p><u>PC4</u><br/> TBD</p> <p>PC5<br/> IFF (Max Device size <math>\leq 30</math> cm)<br/> 2.69 dB (FR2a)</p> | <p><math>TT = 0.60 \times (MTSUIFF - 0.20)</math> (FR2a)</p> |
|--|---|--|

|  |   |  |
|--|---|--|
| 6.2.1.2_1 UE maximum output power – Spherical coverage (Rel16 and forward) | <u>Same as 6.2.1.2</u>  |  |
| 6.2.2 UE maximum output power reduction                                    | <p>PC3<br/>Minimum peak EIRP<br/>IFF (Max Device size <math>\leq 30</math> cm)<br/>3.24 dB (FR2a, NTC)<br/>3.24 dB (FR2b, NTC)<br/>3.41 dB (FR2a, ETC)<br/>3.41 dB (FR2b, ETC)</p> <p>PC1<br/>Minimum peak EIRP<br/>IFF (Max Device size <math>\leq 30</math> cm)<br/>3.38 dB (FR2a, NTC)<br/>3.38 dB (FR2b, NTC)<br/>3.56 dB (FR2a, ETC)<br/>3.56 dB (FR2b, ETC)</p> | <p>Minimum peak EIRP<br/>PC3<br/><math>TT = 0.65 \times (MTSU_{IFF} - 0.13)</math> (FR2a)<br/><math>TT = 0.65 \times (MTSU_{IFF} - 0.31)</math> (FR2b)</p> <p>PC1<br/><math>TT = 0.65 \times (MTSU_{IFF} - 0.13)</math> (FR2a)<br/><math>TT = 0.65 \times (MTSU_{IFF} - 0.3)</math> (FR2b)</p> |
| 6.2.2_1 UE maximum output power reduction enhancements                     | <p><u>Same as 6.2.2 for FR2a, FR2b</u></p> <p><u>PC3</u><br/><u>Minimum peak EIRP</u><br/><u>IFF (Max Device size <math>\leq 30</math> cm)</u><br/><u>TBD (FR2c, NTC)</u><br/><u>TBD (FR2c, ETC)</u></p>  |  |
| 6.2.3 UE maximum output power with additional requirements                 | Same as 6.2.2   |  |
| 6.2.4 Configured transmitted power   | TBD   |  |
| 6.2.4_1 Configured transmitted power with Power Boost                      | <u>Same as 6.2.1.1</u>  |  |
| 6.2A.1.1.1 UE maximum output power - EIRP and TRP for CA (2UL CA)          | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq 400</math>MHz<br/>Same as 6.2.1</p> <p>Maximum aggregated BW <math>&gt; 400</math>MHz<br/>TBD</p> <p><u>Intra-band non-contiguous</u><br/>TBD</p>   |  |
| 6.2A.1.1.2 UE maximum output power - EIRP and TRP for CA (3UL CA)          | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq 400</math>MHz<br/>Same as 6.2.1</p> <p>Maximum aggregated BW <math>&gt; 400</math>MHz<br/>TBD</p> <p><u>Intra-band non-contiguous</u><br/>TBD</p>   |  |
| 6.2A.1.1.3 UE maximum output power - EIRP and TRP for CA (4UL CA)          | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq 400</math>MHz<br/>Same as 6.2.1</p> <p>Maximum aggregated BW <math>&gt; 400</math>MHz<br/>TBD</p> <p><u>Intra-band non-contiguous</u><br/>TBD</p>   |  |

|   |   |  |
|---|---|--|
| 6.2A.1.1.4 UE maximum output power - EIRP and TRP for CA (5UL CA) | <u>Intra-band contiguous CA, Intra-band non-contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.1.5 UE maximum output power - EIRP and TRP for CA (6UL CA) | <u>Intra-band contiguous CA, Intra-band non-contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.1.6 UE maximum output power - EIRP and TRP for CA (7UL CA) | <u>Intra-band contiguous CA, Intra-band non-contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.1.7 UE maximum output power - EIRP and TRP for CA (8UL CA) | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.2.1 Spherical coverage for CA (2UL CA)                     | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.1.2</u><br><br>Maximum aggregated BW > 400MHz<br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |  |
| 6.2A.1.2.2 Spherical coverage for CA (3UL CA)                     | Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.1.2</u><br><br>Maximum aggregated BW > 400MHz<br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u>                                    |  |
| 6.2A.1.2.3 Spherical coverage for CA (4UL CA)                     | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.1.2</u><br><br>Maximum aggregated BW > 400MHz<br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |  |
| 6.2A.1.2.4 Spherical coverage for CA (5UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.2.5 Spherical coverage for CA (6UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.2.6 Spherical coverage for CA (7UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.1.2.7 Spherical coverage for CA (8UL CA)                     | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.2.1 UE maximum output power reduction for CA (2UL CA)        | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.2</u><br><br>Maximum aggregated BW > 400MHz<br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u>   |  |
| 6.2A.2.2 UE maximum output power reduction for CA (3UL CA)        | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br><u>Same as 6.2.2</u><br><br>Maximum aggregated BW > 400MHz  |  |

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|  | <u>TBD</u><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |  |
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| 6.2A.2.3 UE maximum output power reduction for CA (4UL CA)              | <u>Intra-band contiguous CA</u><br><u>Maximum aggregated BW ≤ 400MHz</u><br><u>Same as 6.2.2</u><br><br><u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u>   |  |
| 6.2A.2.4 UE maximum output power reduction for CA (5UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.2.5 UE maximum output power reduction for CA (6UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.2.6 UE maximum output power reduction for CA (7UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2A.2.7 UE maximum output power reduction for CA (8UL CA)              | <u>Intra-band contiguous CA</u><br><u>TBD</u>   |  |
| 6.2D.2 UE maximum output power reduction for UL MIMO                    | Same as 6.2.2   |  |
| 6.2D.3 UE maximum output power with additional requirements for UL MIMO | Same as 6.2.3   |  |
| 6.3.1 Minimum output power  | <u>PC3</u><br>Minimum EIRP<br>IFF (Max Device size ≤ 30 cm)<br>NTC<br>4.21 dB (FR2a 50 MHz)<br>2.52 dB (FR2a 100 MHz)<br>0.66 dB (FR2a 200 MHz)<br>0 dB (FR2a 400 MHz)<br><br>1.17 dB (FR2b 50 MHz)<br>0 dB (FR2b 100 MHz)<br>0 dB (FR2b 200 MHz)<br>0 dB (FR2b 400 MHz)<br><br>TBD (FR2c)<br><br>ETC<br>4.37 dB (FR2a 50 MHz)<br>2.68 dB (FR2a 100 MHz)<br>0.82 dB (FR2a 200 MHz)<br>0 dB (FR2a 400 MHz)<br><br>1.33 dB (FR2b 50 MHz)<br>0 dB (FR2b 100 MHz)<br>0 dB (FR2b 200 MHz)<br>0 dB (FR2b 400 MHz)<br><br>TBD (FR2c)<br><u>PC1</u><br>Minimum EIRP<br>IFF (Max Device size ≤ 30 cm)<br>NTC<br>3.79 dB (FR2a ≤ 400 MHz)<br>4.09 dB (FR2b ≤ 400 MHz) | Minimum EIRP<br><u>PC3</u><br>$TT = \max(R, \Delta SNR_{mr} + 0.65 \times (MTSU_{IFF} - 1.0)) - R$<br><br><u>PC1</u><br>$TT = \Delta SNR_{mr} + 0.65 \times (MTSU_{IFF} - \Delta SNR_{mr})$<br><br>R: Relaxation needed to limit influence of TE noise to 1 dB (specified in clause 6.3.1.5)<br><br>$\Delta SNR_{mr}$ : Systematic offset due to noise when measuring at minimum requirement level (-13 dBm for PC3, 4dBm for PC1)<br><br>$\Delta SNR_{mr}$ for PC3:<br>FR2a 50 MHz: $\Delta SNR_{mr} = 0.86$ dB<br>FR2a 100 MHz: $\Delta SNR_{mr} = 1.57$ dB<br>FR2a 200 MHz: $\Delta SNR_{mr} = 2.71$ dB<br>FR2a 400 MHz: $\Delta SNR_{mr} = 4.35$ dB<br><br>FR2b 50 MHz: $\Delta SNR_{mr} = 2.32$ dB<br>FR2b 100 MHz: $\Delta SNR_{mr} = 3.82$ dB<br>FR2b 200 MHz: $\Delta SNR_{mr} = 5.82$ dB<br>FR2b 400 MHz: $\Delta SNR_{mr} = 8.21$ dB<br><br>$\Delta SNR_{mr}$ for PC1:<br>FR2a: $\Delta SNR_{mr} = 0.3$ dB<br>FR2b: $\Delta SNR_{mr} = 0.6$ dB |

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|  | ETC<br>3.95 dB (FR2a <=400 MHz)<br>4.25 dB (FR2b <=400 MHz) |  |
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| 6.3.2 Transmit OFF power                            | 0 dB   |  |
| 6.3.3.2 General ON/OFF time mask                    | PC3:<br><u>ON Power</u><br>Same as 6.2.1.1 (EIRP)<br><u>OFF Power</u><br>0 dB  | <u>ON Power:</u><br>Same as 6.2.1.1 (EIRP)<br><u>OFF Power:</u><br>Same as 6.3.1   |
| 6.3.3.4 PRACH time mask                             | PC3:<br><u>OFF Power</u><br>Max Device size $\leq 30\text{cm}$<br>0 dB<br><br><u>ON Power</u><br>Max Device size $\leq 30\text{cm}$<br>TBD (FR2a)<br>TBD (FR2b)TBD | <u>ON Power</u><br>TBD   |
| 6.3.4.2 Absolute power tolerance                    | <u>PC3</u><br>Max Device size $\leq 30\text{ cm}$<br>$\pm 8.05\text{ dB}$ (FR2a & FR2b, NTC testing)<br>$\pm 8.42\text{ dB}$ (FR2a & FR2b, ETC testing)            | TT = MTSU  |
| 6.3.4.3 Relative power tolerance                    | <u>PC3</u><br>IFF (Max Device size $\leq 30\text{ cm}$ )<br>[0.46 dB] (FR2a)<br>[0.46 dB] (FR2b)   | PC3<br>TT = $0.65 \times (\text{MTSU}_{\text{IFF}} - 1.0)$ (FR2a)<br>TT = $0.65 \times (\text{MTSU}_{\text{IFF}} - 1.0)$ (FR2b)<br>(assuming a power step $\Delta P = 1\text{ dB}$ ) |
| 6.3.4.4 Aggregate power tolerance                   | <u>PC3</u><br>IFF (Max Device size $\leq 30\text{ cm}$ )<br>0.26 dB (FR2a)<br>0.26 dB (FR2b)   | PC3<br>TT = $0.65 \times (\text{MTSU}_{\text{IFF}} - 1.0)$ (FR2a)<br>TT = $0.65 \times (\text{MTSU}_{\text{IFF}} - 1.0)$ (FR2b)<br>(assuming a power step $\Delta P = 1\text{ dB}$ ) |
| 6.3A.1.1 Minimum output power for CA (2UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.1.2 Minimum output power for CA (3UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.1.3 Minimum output power for CA (4UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.1.4 Minimum output power for CA (5UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.1.5 Minimum output power for CA (6UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.1.6 Minimum output power for CA (7UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.1.7 Minimum output power for CA (8UL CA)       | For UL CA aggregated BW $\leq 800\text{ MHz}$ :<br>Same as 6.3.1<br>For UL CA aggregated BW $> 800\text{ MHz}$ :<br>TBD  |  |
| 6.3A.3.1.1 General ON/OFF time mask for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400\text{MHz}$<br>Same as 6.3.3<br><br>Maximum aggregated BW $> 400\text{MHz}$<br>TBD               |  |



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|  | <u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
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| 6.3A.3.1.2 General ON/OFF time mask for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD            |                        |
| 6.3A.3.1.3 General ON/OFF time mask for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD            |                        |
| 6.3A.3.1.4 General ON/OFF time mask for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |                        |
| 6.3A.3.1.5 General ON/OFF time mask for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |                        |
| 6.3A.3.1.6 General ON/OFF time mask for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |                        |
| 6.3A.3.1.7 General ON/OFF time mask for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |                        |
| 6.3D.3.1 General ON/OFF time mask for UL MIMO       | PC3:<br><u>OFF Power</u><br>Max Device size $\leq$ 30cm<br>0 dB<br><br><u>ON Power</u><br>Max Device size $\leq$ 30cm<br>TBD (FR2a)<br>TBD (FR2b)   | <u>ON Power</u><br>TBD |
| 6.3D.3.4 SRS time mask for UL MIMO                  | PC3:<br><u>OFF Power</u><br>Max Device size $\leq$ 30cm<br>0 dB<br><br><u>ON Power</u><br>Max Device size $\leq$ 30cm<br>TBD (FR2a)<br>TBD (FR2b)   | <u>ON Power</u><br>TBD |
| 6.3A.4.2.1 Absolute power tolerance for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |                        |
| 6.3A.4.2.2 Absolute power tolerance for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |                        |

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| 6.3A.4.2.3 Absolute power tolerance for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.4 Absolute power tolerance for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.5 Absolute power tolerance for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.6 Absolute power tolerance for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.2.7 Absolute power tolerance for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.2 for each CC.<br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
| 6.3A.4.3.1 Relative power tolerance for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>TBD<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                      |  |
| 6.3A.4.3.2 Relative power tolerance for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>TBD<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                      |  |
| 6.3A.4.3.3 Relative power tolerance for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz  |  |

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|  | <p>TBD</p> <p>Maximum aggregated BW &gt; 400MHz</p> <p>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u></p> <p>TBD</p> |  |
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| 6.3A.4.3.4 Relative power tolerance for CA (5UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.3.5 Relative power tolerance for CA (6UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.3.6 Relative power tolerance for CA (7UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.3.7 Relative power tolerance for CA (8UL CA)  | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.1 Aggregate power tolerance for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.4 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                           |  |
| 6.3A.4.4.2 Aggregate power tolerance for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.4 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                           |  |
| 6.3A.4.4.3 Aggregate power tolerance for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.3.4.4 for each CC.<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD                           |  |
| 6.3A.4.4.4 Aggregate power tolerance for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.5 Aggregate power tolerance for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.6 Aggregate power tolerance for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.3A.4.4.7 Aggregate power tolerance for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>TBD  |  |
| 6.4.1 Frequency error                                | 0.005 ppm (NTC & ETC testing)   | TT = 0.5 x MTSU  |
| 6.4.2.1 Error vector magnitude                       | PUSCH, PC3, FR2a:<br>As defined in Table 6.4.2.1.5-2.<br><br>PUSCH, PC3, FR2b:<br>As defined in Table 6.4.2.1.5-3.<br><br><u>PUSCH, PC3, FR2a:</u><br><u>As defined in Table 6.4.2.1.5-4.</u><br><br><u>PUSCH, PC3, FR2b:</u><br><u>TBD</u> | Minimum requirement + TT<br><br>EVM_meas_Increase = $\sqrt{\text{Minimum requirement}^2 + \text{MTSU}^2}$ - Minimum requirement; it is the increase of measured EVM due to test equipment uncertainty.<br><br>EVM_meas_Increase_Relative = EVM_meas_Increase / Minimum requirement [%]<br><br>If (EVM_meas_Increase_Relative < 7.5%) |

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|  |  | TT = 0%<br>Else if (7.5% ≤<br>EVM_meas_Increase_Relative ≤<br>50%)<br>TT = EVM_meas_Increase<br>Else<br>Skip the test as not testable. |
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| 6.4.2.1_1 Error vector magnitude with Power Boost           | Same as 6.4.2.1 for PUSCH and PUCCH.   |                               |
| 6.4.2.2 Carrier leakage                                     | IFF (Max Device size $\leq 30$ cm)<br>FR2a:<br>$\pm 3.54$ dB (BW $\leq 400$ MHz)<br><br>FR2b:<br>$\pm 3.62$ dB (BW $\leq 400$ MHz)   | $TT = 0.65 \times MTSU_{IFF}$ |
| 6.4.2.3 In-band emissions                                   | TBD  |                               |
| 6.4.2.4 EVM equalizer spectrum flatness                     | TBD  |                               |
| 6.4.2.5 EVM equalizer spectrum flatness for BPSK modulation | TBD  |                               |
| 6.4A.1.1 Frequency error for CA (2UL CA)                    | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400$ MHz<br>Same as 6.4.1<br><br>Maximum aggregated BW $> 400$ MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |                               |
| 6.4A.1.2 Frequency error for CA (3UL CA)                    | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400$ MHz<br>Same as 6.4.1<br><br>Maximum aggregated BW $> 400$ MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |                               |
| 6.4A.1.3 Frequency error for CA (4UL CA)                    | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq 400$ MHz<br>Same as 6.4.1<br><br>Maximum aggregated BW $> 400$ MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |                               |
| 6.4A.1.4 Frequency error for CA (5UL CA)                    | <u>Intra-band contiguous CA</u><br>TBD   |                               |
| 6.4A.1.5 Frequency error for CA (6UL CA)                    | <u>Intra-band contiguous CA</u><br>TBD   |                               |
| 6.4A.1.6 Frequency error for CA (7UL CA)                    | <u>Intra-band contiguous CA</u><br>TBD   |                               |
| 6.4A.1.7 Frequency error for CA (8UL CA)                    | <u>Intra-band contiguous CA</u><br>TBD   |                               |
| 6.4A.2.1.1 Error Vector magnitude for CA (2UL CA)           | <u>TBD</u>   |                               |
| 6.4A.2.1.2 Error Vector magnitude for CA (3UL CA)           | <u>TBD</u>   |                               |
| 6.4A.2.1.3 Error Vector magnitude for CA (4UL CA)           | <u>TBD</u>   |                               |
| 6.4A.2.1.4 Error Vector magnitude for CA (5UL CA)           | <u>TBD</u>   |                               |
| 6.4A.2.1.5 Error Vector magnitude for CA (6UL CA)           | <u>TBD</u>   |                               |
| 6.4A.2.1.6 Error Vector magnitude for CA (7UL CA)           | <u>TBD</u>   |                               |

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| 6.4A.2.1.7 Error Vector magnitude for CA (8UL CA) | <u>TBD</u>  |  |
| 6.4A.2.2.1 Carrier leakage for CA (2UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.2.2 Carrier leakage for CA (3UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.2.3 Carrier leakage for CA (4UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.2.4 Carrier leakage for CA (5UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.2.5 Carrier leakage for CA (6UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.2.6 Carrier leakage for CA (7UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.2.7 Carrier leakage for CA (8UL CA)        | <u>TBD</u>  |  |
| 6.4A.2.3.1 In-band emissions for CA (2UL CA)      | <u>TBD</u>  |  |
| 6.4A.2.3.2 In-band emissions for CA (3UL CA)      | <u>TBD</u>  |  |
| 6.4A.2.3.3 In-band emissions for CA (4UL CA)      | <u>TBD</u>  |  |
| 6.4A.2.3.4 In-band emissions for CA (5UL CA)      | <u>TBD</u>  |  |
| 6.4A.2.3.5 In-band emissions for CA (6UL CA)      | <u>TBD</u>  |  |
| 6.4A.2.3.6 In-band emissions for CA (7UL CA)      | <u>TBD</u>  |  |
| 6.4A.2.3.7 In-band emissions for CA (8UL CA)      | <u>TBD</u>  |  |
| 6.5.1 Occupied bandwidth                          | 0 kHz   | Minimum requirement + TT   |
| 6.5.2.1 Spectrum Emission Mask                    | PC3<br>IFF (Max Device size $\leq$ 30 cm)<br>3.33 dB (FR2a)<br>3.58 dB (FR2b)<br>TBD (FR2c)<br><br>PC1<br>IFF (Max Device size $\leq$ 30 cm)<br>4.11 dB (FR2a)<br>FFS dB (FR2b)   | $TT = 0.65 \times MTSU_{IFF}$  |
| 6.5.2.1_1 Spectrum Emission Mask with Power Boost | Same as 6.5.2.1   |  |
| 6.5.2.3 Adjacent Channel Leakage Ratio            | <u>Absolute requirement</u><br>0 dB<br><br><u>Relative requirement</u><br>PC3<br>IFF (Max Device size $\leq$ 30 cm)<br>FR2a:<br>$\pm 4.66$ dB (BW $\leq$ 50MHz)<br>$\pm 4.96$ dB (50MHz < BW $\leq$ 100MHz)<br>$\pm 4.96$ dB (100MHz < BW $\leq$ 200MHz)<br>$\pm 4.96$ dB (200MHz < BW $\leq$ 400MHz)<br><br>FR2b:<br>$\pm 4.96$ dB (BW $\leq$ 50MHz)<br>$\pm 4.96$ dB (50MHz < BW $\leq$ 100MHz)<br>$\pm 4.96$ dB (100MHz < BW $\leq$ 200MHz)<br>$\pm 4.96$ dB (200MHz < BW $\leq$ 400MHz) | PC3<br>$TT = \max(R, \Delta SNR_{mr} + 0.65 \times (MTSU_{IFF} - 1.0)) - R + TT$ due to metric change<br><br>TT due to metric change : 1.0 dB<br>R: Relaxation needed to limit influence of TE noise to 1 dB (specified in clause 6.5.2.3.5)<br>$\Delta SNR_{mr}$ : Systematic offset due to noise when measuring ACP at minimum requirement level<br><br>PC1<br>$TT = \max(R, \Delta SNR_{mr} + 0.65 \times (MTSU_{IFF} - 0.95)) - R + TT$ due to metric change |



|  |   |  |
|--|---|--|
|  | PC1<br>IFF (Max Device size $\leq 30$ cm)<br>FR2a:<br>$\pm 5.26$ dB (BW $\leq 400$ MHz)<br><br>FR2b:<br>$\pm 5.26$ dB (BW $\leq 400$ MHz) |  |
|--|---|--|

|   |   |                          |
|---|---|--------------------------|
| 6.5.3.1 Transmitter Spurious emissions                            | 0 dB  | Minimum requirement + TT |
| 6.5.3.1_1 Transmitter Spurious emissions with Power Boost         | Same as 6.5.3.1   |                          |
| 6.5.3.2 Spurious emission band UE co-existence                    | 0 dB  | Minimum requirement + TT |
| 6.5.3.2_1 Spurious emission band UE co-existence with Power Boost | Same as 6.5.3.2   |                          |
| 6.5.3.3 Additional spurious emission                              | 0 dB  | Minimum requirement + TT |
| 6.5.3.3_1 Additional spurious emissions with Power Boost          | Same as 6.5.3.3   |                          |
| 6.5A.1.1 Occupied bandwidth for CA (2UL CA)                       | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 6.5.1</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p>   |                          |
| 6.5A.1.2 Occupied bandwidth for CA (3UL CA)                       | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 6.5.1</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p>   |                          |
| 6.5A.1.3 Occupied bandwidth for CA (4UL CA)                       | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 6.5.1</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p>   |                          |
| 6.5A.1.4 Occupied bandwidth for CA (5UL CA)                       | TBD   |                          |
| 6.5A.1.5 Occupied bandwidth for CA (6UL CA)                       | TBD   |                          |
| 6.5A.1.6 Occupied bandwidth for CA (7UL CA)                       | TBD   |                          |
| 6.5A.1.7 Occupied bandwidth for CA (8UL CA)                       | TBD   |                          |
| 6.5A.2.1.1 Spectrum Emission Mask for CA (2UL CA)                 | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 6.5.2.1</p> <p>Maximum aggregated BW &gt; 400MHz<br/>TBD</p> <p><u>Intra-band non-contiguous, Inter-band CA</u><br/>TBD</p> |                          |
| 6.5A.2.1.2 Spectrum Emission Mask for CA (3UL CA)                 | <p><u>Intra-band contiguous CA</u><br/>Maximum aggregated BW <math>\leq</math> 400MHz<br/>Same as 6.5.2.1</p>   |                          |

|  |   |  |
|--|---|--|
|  | Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |  |
|--|---|--|

|   |  |   |
|---|--|---|
| 6.5A.2.1.3 Spectrum Emission Mask for CA (4UL CA)         | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |   |
| 6.5A.2.1.4 Spectrum Emission Mask for CA (5UL CA)         | TBD  |   |
| 6.5A.2.1.5 Spectrum Emission Mask for CA (6UL CA)         | TBD  |   |
| 6.5A.2.1.6 Spectrum Emission Mask for CA (7UL CA)         | TBD  |   |
| 6.5A.2.1.7 Spectrum Emission Mask for CA (8UL CA)         | TBD  |   |
| 6.5A.2.2.1 Adjacent channel leakage ratio for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD | $TT = 0.65 \times MTSU_{IFF} + TT$ due to metric change<br><br>TT due to metric change : 1.0 dB |
| 6.5A.2.2.2 Adjacent channel leakage ratio for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD | $TT = 0.65 \times MTSU_{IFF} + TT$ due to metric change<br><br>TT due to metric change : 1.0 dB |
| 6.5A.2.2.3 Adjacent channel leakage ratio for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.2.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD | $TT = 0.65 \times MTSU_{IFF} + TT$ due to metric change<br><br>TT due to metric change : 1.0 dB |
| 6.5A.2.2.4 Adjacent channel leakage ratio for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD   |
| 6.5A.2.2.5 Adjacent channel leakage ratio for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD   |
| 6.5A.2.2.6 Adjacent channel leakage ratio for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD   |
| 6.5A.2.2.7 Adjacent channel                               | <u>Intra-band contiguous CA</u>  | TBD   |

|   |   |     |
|---|---|-----|
| leakage ratio for CA (8UL CA)                                     | 400 MHz < aggregated BW ≤ TBD MHz<br><br>Intra-band non-contiguous CA TBD   |     |
| 6.5A.3.1.1 Transmitter Spurious emissions for CA (2UL CA)         | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW ≤ 400MHz<br>Same as 6.5.3.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.1.2 Transmitter Spurious emissions for CA (3UL CA)         | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW ≤ 400MHz<br>Same as 6.5.3.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.1.3 Transmitter Spurious emissions for CA (4UL CA)         | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW ≤ 400MHz<br>Same as 6.5.3.1<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.1.4 Transmitter Spurious emissions for CA (5UL CA)         | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW ≤ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.1.5 Transmitter Spurious emissions for CA (6UL CA)         | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW ≤ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.1.6 Transmitter Spurious emissions for CA (7UL CA)         | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW ≤ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.1.7 Transmitter Spurious emissions for CA (8UL CA)         | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW ≤ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.2.1 Spurious emission band UE co-existence for CA (2UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW ≤ 400MHz<br>Same as 6.5.3.2<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.2.2 Spurious emission band UE co-existence for CA (3UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW ≤ 400MHz<br>Same as 6.5.3.2<br><br>Maximum aggregated BW > 400MHz  |     |

|  |   |  |
|--|---|--|
|  | TBD   |  |
|  | <u>Intra-band non-contiguous, Inter-band CA</u> |  |
|  | TBD   |  |

|   |  |     |
|---|--|-----|
| 6.5A.3.2.3 Spurious emission band UE co-existence for CA (4UL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.2<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.2.4 Spurious emission band UE co-existence for CA (5UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.2.5 Spurious emission band UE co-existence for CA (6UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.2.6 Spurious emission band UE co-existence for CA (7UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.2.7 Spurious emission band UE co-existence for CA (8UL CA) | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.3.1 Additional spurious emissions for CA (2UL CA)          | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.3.2 Additional spurious emissions for CA (3UL CA)          | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.3.3 Additional spurious emissions for CA (4UL CA)          | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 6.5.3.3<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD |     |
| 6.5A.3.3.4 Additional spurious emissions for CA (5UL CA)          | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |
| 6.5A.3.3.5 Additional spurious emissions for CA (6UL CA)          | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW $\leq$ TBD MHz<br><br>Intra-band non-contiguous CA TBD  | TBD |

|   |   |  |
|---|---|--|
| 6.5A.3.3.6 Additional spurious emissions for CA (7UL CA)  | <u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW ≤ TBD MHz  | TBD  |
| 6.5A.3.3.7 Additional spurious emissions for CA (8UL CA)  | <u>Intra-band non-contiguous CA</u> TBD<br><u>Intra-band contiguous CA</u><br>400 MHz < aggregated BW ≤ TBD MHz | TBD  |
| 6.5D.2.1 Spectrum Emission Mask for UL MIMO               | <u>Same as 6.5.2.1</u>  |  |
| 6.5D.2.2 Adjacent channel leakage ratio for UL MIMO       | <u>Same as 6.5.2.3</u>  |  |
| 6.6.1 Beam correspondence - EIRP                          | PC3<br>1.26 dB (FR2a, FR2b)   | PC3<br><br>$TT = 0.60 \times (MTSU_{IFF} - \Delta SNR_{mr})$<br><br>$\Delta SNR_{mr}$ : Systematic offset due to noise when measuring at minimum requirement level |
| 6.6.2 Enhanced Beam correspondence - EIRP                 | Same as 6.6.1   |  |
| NOTE 1: FR2a, FR2b and FR2c are specified in Table 5.1-2. |   |  |



### F.3.3 Measurement of receiver

**Table F.3.3-1: Derivation of Test Requirements (Receiver tests)**

| Sub clause   | Test Tolerance (TT)   | Formula for test requirement  |
|--|---|-------------------------------|
| 7.3.2 Reference sensitivity power level                    | <u>PC3</u><br>IFF (Max Device size $\leq$ 30 cm)<br>2.41 dB (FR2a, FR2b, NTC)<br>2.52 dB (FR2a, FR2b, ETC)<br>TBD (FR2c, NTC)<br>TBD (FR2c, ETC)<br><br><u>PC1</u><br>IFF (Max Device size $\leq$ 30 cm)<br>2.51 dB (FR2a, FR2b, NTC)<br>2.62 dB (FR2a, FR2b, ETC)<br><br>PC5<br>IFF (Max Device size $\leq$ 30 cm)<br>2.51 dB (FR2a, NTC)<br>2.62 dB (FR2a, ETC) | $TT = 0.45 \times MTSU_{IFF}$ |
| 7.3.4 EIS spherical coverage                               | <u>PC3</u><br>IFF (Max Device size $\leq$ 30 cm, FR2a, FR2b)<br>2.28 dB<br>IFF (Max Device size $\leq$ 30 cm, FR2c)<br>TBD<br><br><u>PC1</u><br>IFF (Max Device size $\leq$ 30 cm, FR2a, FR2b)<br>2.28 dB<br><br>PC5<br>IFF (Max Device size $\leq$ 30 cm, FR2a)<br>2.28 dB   | $TT = 0.45 \times MTSU_{IFF}$ |
| 7.3A.2.1 Reference sensitivity power level for CA (2DL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 7.3.2 for each component carrier<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD   |                               |
| 7.3A.2.2 Reference sensitivity power level for CA (3DL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 7.3.2 for each component carrier<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD   |                               |
| 7.3A.2.3 Reference sensitivity power level for CA (4DL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 7.3.2 for each component carrier<br><br>Maximum aggregated BW > 400MHz<br>TBD<br><br><u>Intra-band non-contiguous, Inter-band CA</u><br>TBD   |                               |
| 7.3A.2.4 Reference sensitivity power level for CA (5DL CA) | <u>Intra-band contiguous CA</u><br>Maximum aggregated BW $\leq$ 400MHz<br>Same as 7.3.2 for each component carrier  |                               |

|  |   |  |
|--|---|--|
|  | <u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |  |
|--|---|--|

|  |  |   |
|--|--|---|
| 7.3A.2.5 Reference sensitivity power level for CA (6DL CA) | <u>Intra-band contiguous CA</u><br><u>Maximum aggregated BW <math>\leq</math> 400MHz</u><br><u>Same as 7.3.2 for each component carrier</u><br><br><u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |   |
| 7.3A.2.6 Reference sensitivity power level for CA (7DL CA) | <u>Intra-band contiguous CA</u><br><u>Maximum aggregated BW <math>\leq</math> 400MHz</u><br><u>Same as 7.3.2 for each component carrier</u><br><br><u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |   |
| 7.3A.2.7 Reference sensitivity power level for CA (8DL CA) | <u>Intra-band contiguous CA</u><br><u>Maximum aggregated BW <math>\leq</math> 400MHz</u><br><u>Same as 7.3.2 for each component carrier</u><br><br><u>Maximum aggregated BW &gt; 400MHz</u><br><u>TBD</u><br><br><u>Intra-band non-contiguous, Inter-band CA</u><br><u>TBD</u> |   |
| 7.3A.3.1 EIS spherical coverage for CA (2DL CA)            | <u>TBD</u>   |   |
| 7.3A.3.2 EIS spherical coverage for CA (3DL CA)            | <u>TBD</u>   |   |
| 7.3A.3.3 EIS spherical coverage for CA (4DL CA)            | <u>TBD</u>   |   |
| 7.3A.3.4 EIS spherical coverage for CA (5DL CA)            | <u>TBD</u>   |   |
| 7.3A.3.5 EIS spherical coverage for CA (6DL CA)            | <u>TBD</u>   |   |
| 7.3A.3.6 EIS spherical coverage for CA (7DL CA)            | <u>TBD</u>   |   |
| 7.3A.3.7 EIS spherical coverage for CA (8DL CA)            | <u>TBD</u>   |   |
| 7.4 Maximum input level                                    | <u>TBD</u>   |   |
| 7.4A.1 Maximum input level for CA (2DL CA)                 | TBD  |   |
| 7.4A.2 Maximum input level for CA (3DL CA)                 | TBD  |   |
| 7.4A.3 Maximum input level for CA (4DL CA)                 | TBD  |   |
| 7.4A.4 Maximum input level for CA (5DL CA)                 | TBD  |   |
| 7.4A.5 Maximum input level for CA (6DL CA)                 | TBD  |   |
| 7.4A.6 Maximum input level for CA (7DL CA)                 | TBD  |   |
| 7.4A.7 Maximum input level for CA ((DL CA)                 | TBD  |   |
| 7.5 Adjacent channel selectivity                           | <u>0 dB</u>  | Wanted signal power + TT<br><br>T-put limit unchanged |
| 7.5A.1 Adjacent channel selectivity for CA (2UL CA)        | <u>TBD</u>   |   |

|   |             |   |
|---|-------------|---|
| 7.5A.2 Adjacent channel selectivity for CA (3UL CA)       | <u>TBD</u>  |   |
| 7.5A.3 Adjacent channel selectivity for CA (4UL CA)       | <u>TBD</u>  |   |
| 7.5A.4 Adjacent channel selectivity for CA (5UL CA)       | <u>TBD</u>  |   |
| 7.5A.5 Adjacent channel selectivity for CA (6UL CA)       | <u>TBD</u>  |   |
| 7.5A.6 Adjacent channel selectivity for CA (7UL CA)       | <u>TBD</u>  |   |
| 7.5A.7 Adjacent channel selectivity for CA (8UL CA)       | <u>TBD</u>  |   |
| 7.6.2 In-band blocking                                    | <u>0 dB</u> | Wanted signal power + TT<br>T-put limit unchanged |
| 7.6A.2.1 In-band blocking for CA (2UL CA)                 | TBD         |   |
| 7.6A.2.2 In-band blocking for CA (3UL CA)                 | TBD         |   |
| 7.6A.2.3 In-band blocking for CA (4UL CA)                 | TBD         |   |
| 7.6A.2.4 In-band blocking for CA (5UL CA)                 | TBD         |   |
| 7.6A.2.5 In-band blocking for CA (6UL CA)                 | TBD         |   |
| 7.6A.2.6 In-band blocking for CA (7UL CA)                 | TBD         |   |
| 7.6A.2.7 In-band blocking for CA (8UL CA)                 | TBD         |   |
| 7.9 Spurious emissions                                    | <u>0 dB</u> | Minimum requirement + TT<br>T-put limit unchanged |
| NOTE 1: FR2a, FR2b and FR2c are specified in Table 5.1-2. |             |   |

## F.4 Uplink power window

### F.4.1 Introduction

A number of Tx and Rx Test cases set the UE uplink power to be within a defined window to ensure the test is carried out in the intended conditions. This clause gives the method for calculating the uplink power window used in Tx test cases and Rx Test cases.

### F.4.2 Setting the power window above a requirement

The method used to derive the uplink power window for NR FR2 is defined in TS 38.521-3 [14] clause F.4.2.2.

### F.4.3 Setting the power window below a requirement

The method used to derive the uplink power window for NR FR2 is defined in TS 38.521-3 [14] clause F.4.3.2.

### F.4.4 Setting the power window centred on a target value

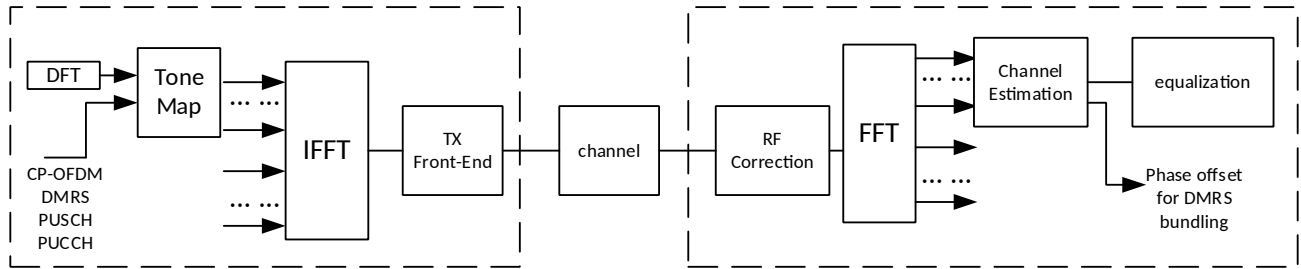
The method used to derive the uplink power window for NR FR2 is defined in TS 38.521-3 [14] clause F.4.4.2.

---

## F.8 Phase offset measurement for DMRS bundling

### F.8.1 Measurement point

The measurement point for phase offset measurement is defined in Figure F.8.1-1.



**Figure F.8.1-1: Measurement point for phase offset for DMRS bundling**

### F.8.2 Symbols used

Phase offset is determined based on DMRS REs (3 DMRS symbols per slot) with the option to use data symbols.

### F.8.3 Modified test signal

[editor notes: updates based on LS reply from RAN5]

### F.8.4 Phase offset measurement

The phase offset measurement is based on the phase response of the Tx chain  $\tilde{\phi}(t, f)$  as derived based on Annex F.4.

The phase difference  $\Delta \tilde{\varphi}(f)$  for each subcarrier between a reference timeslot  $t_{\text{ref}}$  and the measurement timeslot  $t_m$  is then calculated as defined below:

$$\Delta \tilde{\varphi}(f) = \tilde{\varphi}(t_m, f) - \tilde{\varphi}(t_{\text{ref}}, f)$$

The phase offset between the reference and measurement timeslots are then calculated as the maximum over the results for all subcarriers as shown below:

$$PhaseOffset = \max_f(\Delta \tilde{\varphi}(f))$$

---

## Annex G (normative): Uplink Physical Channels

### G.0 Uplink Signal Levels

Please refer to Annex G.0 in TS 38.521-1 [13].

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## G.1 General

Please refer to Annex G.1 in TS 38.521-1 [13].

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## G.2 Set-up

Please refer to Annex G.2 in TS 38.521-1 [13].

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## G.3 Connection

Please refer to Annex G.3 in TS 38.521-1 [13].

### G.3.0 Measurement of Transmitter Characteristics

Please refer to Annex G.3.0 in TS 38.521-1 [13].

### G.3.1 Measurement of Receiver Characteristics

Please refer to Annex G.3.1 in TS 38.521-1 [13].

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# Annex H (normative): Statistical Testing

Editor's Note: Further investigate the technical details behind this statistical method to ensure that this is applicable for FR2 radiated test cases.

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## H.1 General

This annex specifies mapping throughput to error ratio, pass fail limits and pass fail decision rules that are needed for measuring average throughput for a duration sufficient to achieve statistical significance for testing receiver characteristics.

---

## H.2 Statistical testing of receiver characteristics

### H.2.1 General

The test of receiver characteristics is twofold.

1. A signal or a combination of signals is offered to the RX port(s) of the receiver.
2. The ability of the receiver to demodulate /decode this signal is verified by measuring the throughput.

In (2) is the statistical aspect of the test and is treated here.

The minimum requirement for all receiver tests is >95% of the maximum throughput.

All receiver tests are performed in static propagation conditions. No fading conditions are applied.

### H.2.2 Mapping throughput to error ratio

- a) The measured information bit throughput  $R$  is defined as the sum (in kilobits) of the information bit payloads successfully received during the test interval, divided by the duration of the test interval (in seconds).
- b) In measurement practice the UE indicates successfully received information bit payload by signalling an ACK to the SS.  
If payload is received, but damaged and cannot be decoded, the UE signals a NACK.
- c) Only the ACK and NACK signals, not the data bits received, are accessible to the SS.  
The number of bits is known in the SS from knowledge of what payload was sent.
- d) For the reference measurement channel, applied for testing, the number of bits is different in different slots, however in a radio frame it is fixed during one test.
- e) The time in the measurement interval is composed of successfully received slots (ACK), unsuccessfully received slots (NACK) and no reception at all (DTX-slots).
- f) DTX-slots may occur regularly according the applicable reference measurement channel (regDTX).  
In real live networks this is the time when other UEs are served. In TDD these are the UL and special slots. regDTX vary from test to test but are fixed within the test.



- g) Additional DTX-slots occur statistically when the UE is not responding ACK or NACK where it should.  
(statDTX)

This may happen when the UE was not expecting data or decided that the data were not intended for it.

The pass / fail decision is done by observing the:

- number of NACKs
- number of ACKs and
- number of statDTXs (regDTX is implicitly known to the SS)

The ratio  $(\text{NACK} + \text{statDTX}) / (\text{NACK} + \text{statDTX} + \text{ACK})$  is the Error Ratio (ER). Taking into account the time consumed by the ACK, NACK, and DTX-TTIs (regular and statistical), ER can be mapped unambiguously to throughput for any single reference measurement channel test.

## H.2.3 Design of the test

The test is defined by the following design principles (see clause H.x, Theory....):

1. The early decision concept is applied.
2. A second limit is introduced: Bad DUT factor  $M > 1$
3. To decide the test pass:

Supplier risk is applied based on the Bad DUT quality

To decide the test fail

Customer Risk is applied based on the specified DUT quality

The test is defined by the following parameters:

1. Limit ER = 0.05 (Throughput limit = 95%)
2. Bad DUT factor  $M = 1.5$  (selectivity)
3. Confidence level CL = 95% (for specified DUT and Bad DUT-quality)

# H.2.4 Numerical definition of the pass fail limits

Table H.2.4-1: pass fail limits

| ne | ns <sub>p</sub> | ns <sub>r</sub> | ne | ns <sub>p</sub> | ns <sub>r</sub> | ne  | ns <sub>p</sub> | ns <sub>r</sub> | ne  | ns <sub>p</sub> | ns <sub>r</sub> |
|----|-----------------|-----------------|----|-----------------|-----------------|-----|-----------------|-----------------|-----|-----------------|-----------------|
| 0  | 67              | NA              | 39 | 763             | 500             | 78  | 136<br>6        | 1148            | 117 | 1951            | 1828            |
| 1  | 95              | NA              | 40 | 778             | 516             | 79  | 138<br>1        | 1166            | 118 | 1965            | 1845            |
| 2  | 11<br>9         | NA              | 41 | 794             | 532             | 80  | 139<br>6        | 1183            | 119 | 1980            | 1863            |
| 3  | 14<br>1         | NA              | 42 | 810             | 548             | 81  | 141<br>2        | 1200            | 120 | 1995            | 1881            |
| 4  | 16<br>2         | NA              | 43 | 826             | 564             | 82  | 142<br>7        | 1217            | 121 | 2010            | 1899            |
| 5  | 18<br>3         | NA              | 44 | 842             | 580             | 83  | 144<br>2        | 1234            | 122 | 2025            | 1916            |
| 6  | 20<br>2         | NA              | 45 | 858             | 596             | 84  | 145<br>7        | 1252            | 123 | 2039            | 1934            |
| 7  | 22<br>2         | NA              | 46 | 873             | 612             | 85  | 147<br>2        | 1269            | 124 | 2054            | 1952            |
| 8  | 24<br>1         | NA              | 47 | 889             | 629             | 86  | 148<br>7        | 1286            | 125 | 2069            | 1969            |
| 9  | 25<br>9         | NA              | 48 | 905             | 645             | 87  | 150<br>2        | 1303            | 126 | 2084            | 1987            |
| 10 | 27<br>8         | 76              | 49 | 920             | 661             | 88  | 151<br>7        | 1321            | 127 | 2099            | 2005            |
| 11 | 29<br>6         | 88              | 50 | 936             | 678             | 89  | 153<br>2        | 1338            | 128 | 2113            | 2023            |
| 12 | 31<br>4         | 100             | 51 | 952             | 694             | 90  | 154<br>7        | 1355            | 129 | 2128            | 2040            |
| 13 | 33<br>2         | 113             | 52 | 967             | 711             | 91  | 156<br>2        | 1373            | 130 | 2143            | 2058            |
| 14 | 34<br>9         | 126             | 53 | 983             | 727             | 92  | 157<br>7        | 1390            | 131 | 2158            | 2076            |
| 15 | 36<br>7         | 140             | 54 | 998             | 744             | 93  | 159<br>2        | 1407            | 132 | 2172            | 2094            |
| 16 | 38<br>4         | 153             | 55 | 1014            | 760             | 94  | 160<br>7        | 1425            | 133 | 2187            | 2111            |
| 17 | 40<br>1         | 167             | 56 | 1029            | 777             | 95  | 162<br>3        | 1442            | 134 | 2202            | 2129            |
| 18 | 41<br>8         | 181             | 57 | 1045            | 793             | 96  | 163<br>7        | 1459            | 135 | 2217            | 2147            |
| 19 | 43<br>5         | 195             | 58 | 1060            | 810             | 97  | 165<br>2        | 1477            | 136 | 2231            | 2165            |
| 20 | 45<br>2         | 209             | 59 | 1076            | 827             | 98  | 166<br>7        | 1494            | 137 | 2246            | 2183            |
| 21 | 46<br>9         | 224             | 60 | 1091            | 844             | 99  | 168<br>2        | 1512            | 138 | 2261            | 2201            |
| 22 | 48<br>6         | 238             | 61 | 1106            | 860             | 100 | 169<br>7        | 1529            | 139 | 2275            | 2218            |
| 23 | 50<br>3         | 253             | 62 | 1122            | 877             | 101 | 171<br>2        | 1547            | 140 | 2290            | 2236            |
| 24 | 51<br>9         | 268             | 63 | 1137            | 894             | 102 | 172<br>7        | 1564            | 141 | 2305            | 2254            |
| 25 | 53<br>6         | 283             | 64 | 1153            | 911             | 103 | 174<br>2        | 1582            | 142 | 2320            | 2272            |
| 26 | 55<br>2         | 298             | 65 | 1168            | 928             | 104 | 175<br>7        | 1599            | 143 | 2334            | 2290            |
| 27 | 56<br>9         | 313             | 66 | 1183            | 944             | 105 | 177<br>2        | 1617            | 144 | 2349            | 2308            |
| 28 | 58<br>5         | 328             | 67 | 1199            | 961             | 106 | 178<br>7        | 1634            | 145 | 2364            | 2326            |
| 29 | 60<br>2         | 343             | 68 | 1214            | 978             | 107 | 180<br>2        | 1652            | 146 | 2378            | 2344            |
| 30 | 61              | 359             | 69 | 1229            | 995             | 108 | 181             | 1669            | 147 | 2393            | 2361            |

|    |         |     |    |      |      |     |          |      |                    |      |      |
|----|---------|-----|----|------|------|-----|----------|------|--------------------|------|------|
|    | 8       |     |    |      |      |     | 7        |      |                    |      |      |
| 31 | 63<br>4 | 374 | 70 | 1244 | 1012 | 109 | 183<br>2 | 1687 | 148                | 2408 | 2379 |
| 32 | 65<br>0 | 389 | 71 | 1260 | 1029 | 110 | 184<br>7 | 1704 | 149                | 2422 | 2397 |
| 33 | 66<br>7 | 405 | 72 | 1275 | 1046 | 111 | 186<br>1 | 1722 | 150                | 2437 | 2415 |
| 34 | 68<br>3 | 421 | 73 | 1290 | 1063 | 112 | 187<br>6 | 1740 | 151                | 2452 | 2433 |
| 35 | 69<br>9 | 436 | 74 | 1305 | 1080 | 113 | 189<br>1 | 1757 | 152                | 2466 | 2451 |
| 36 | 71<br>5 | 452 | 75 | 1321 | 1097 | 114 | 190<br>6 | 1775 | 153*)              | NA   | 2469 |
| 37 | 73<br>1 | 468 | 76 | 1336 | 1114 | 115 | 192<br>1 | 1793 |                    |      |      |
| 38 | 74<br>7 | 484 | 77 | 1351 | 1131 | 116 | 193<br>6 | 1810 | *) note 2 in H.2.5 |      |      |

NOTE 1: The first column is the number of errors (ne = number of NACK + statDTX)

NOTE 2: The second column is the number of samples for the pass limit (ns<sub>p</sub>, ns=Number of Samples= number of NACK + statDTX + ACK)

NOTE 3: The third column is the number of samples for the fail limit (ns<sub>f</sub>)

## H.2.5 Pass fail decision rules

The pass fail decision rules apply for a single test, comprising one component in the test vector. The overall Pass /Fail conditions are defined in clause H.2.6 and H.2A.6

Having observed 0 errors, pass the test at 67+ samples, otherwise continue

Having observed 1 error, pass the test at 95+ otherwise continue

Having observed 2 errors, pass the test at 119+ samples, fail the test at 2- samples, otherwise continue

Etc. etc.

Having observed 151 errors, pass the test at 2452+ samples, fail the test at 2433- samples, otherwise continue

Having observed 152 errors, pass the test at 2466+ samples, fail the test at 2451- samples.

Where x+ means: x or more, x- means x or less

NOTE 1: an ideal DUT passes after 67 samples. The maximum test time is 2466 samples.

NOTE 2: It is allowed to deviate from the early decision concept by postponing the decision (pass/fail or continue). Postponing the decision to or beyond the end of Table H.2.4-1 requires a pass fail decision against the test limit: pass the DUT for ER<0.0618, otherwise fail.

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# Annex I:Void

## Annex J (normative):

### Test applicability per permitted test method

This annex describes, per test requirement, the permitted test methodologies as a function of DUT antenna configuration.

**Table J-1: Test metric applicability per permitted test method**

| Test Metric  | No DUT antenna configuration declaration | DUT antenna configuration declaration  |  |   |
|--|--|--|--|---|
|  |  | Configuration 1<br>(one antenna panel with $D \leq 5$ cm active at any one time) | Configuration 2<br>(More than one antenna panel $D \leq 5$ cm without phase coherency between panels active at any one time) | Configuration 3<br>(Any phase coherent antenna panel of any size) |
| EIRP, TRP  | IFF, Enhanced IFF, DFF+IFF (Note 1)      | DFF, DFF simplification, IFF, Enhanced IFF, DFF+IFF (Note 2), NTF                | DFF, DFF simplification, IFF, Enhanced IFF, DFF+IFF (Note 2), NTF  | IFF, Enhanced IFF, DFF+IFF (Note 1)                               |
| EIS, Frequency Error, EVM, Carrier Leakage, In-Band Emission, EVM SF, OBW  | IFF, Enhanced IFF, DFF+IFF (Note 1)      | DFF, DFF simplification, IFF, Enhanced IFF, DFF+IFF (Note 2)                     | DFF, DFF simplification, IFF, Enhanced IFF, DFF+IFF (Note 2)   | IFF, Enhanced IFF, DFF+IFF (Note 1)                               |
| NOTE: D = DUT radiating aperture declared by UE vendor.<br>Note 1: Only the IFF probe(s) are applicable<br>Note 2: Either DFF or IFF probe(s) are applicable |  |  |  |   |

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## Annex K (normative): EIRP, TRP, and EIS measurement procedures

Annex K defines the EIRP, TRP, and EIS measurement procedures which includes Tx and Rx beam peak direction search, spherical coverage procedures and TRP procedures for the permitted testing methodologies defined in [5].

The default value for BEAM\_SELECT\_WAIT\_TIME = 3 sec for all applicable Tx and Rx test cases. The BEAM\_SELECT\_WAIT\_TIME represents a default minimum wait time period required to complete beam selection process at a single position before start of measurement. For a particular EUT, if it is known/determined that a lower wait time than default value is enough to complete beam selection process, then such a lower value may be used by the Test system to achieve test time optimization.

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### K.1 Direct far field (DFF)

#### K.1.1 TX beam peak direction search

This Tx beam peak search procedure applies to DUTs with and without support of *beamCorrespondenceWithoutUL-BeamSweeping*. The TX beam peak direction is found with a 3D EIRP scan (separately for each orthogonal downlink polarization). The TX beam peak direction search grid points for this single grid approach are defined in Annex M.2.1. Alternatively, a coarse and fine grid approach could be used according to the definition in Annex M.2.2.

The beam peak searches shall be performed for every test frequency range by default unless the device manufacturer explicitly declares that the beam peak at the mid test frequency range is applicable for the remaining (low, high) test frequency ranges. Beam peak search results cannot be re-used across different bands that do not overlap. Beam peak search results can be re-used from bands that completely contain the target bands if explicitly declared with a declaration.

A beam peak search shall be performed for every intra-band contiguous combination and CA BW class by default unless the device manufacturer explicitly declares that the beam peak for a reference (frequency band, CBW) or (frequency band combination, CA BW class) is applicable for a group of other intra-band contiguous combinations and CA BW classes.

The beam peak searches shall be performed for every modulation by default unless the device manufacturer explicitly declares that the beam peak at the QPSK modulation is applicable for the remaining 16QAM and 64QAM modulations.

The beam peak searches shall be performed for every waveform by default unless the device manufacturer explicitly declares that the beam peak from one waveform is applicable for the other waveform.

The beam peak searches shall be performed separately for NTC (Normal), ETC (TL), and ETC (TH).

The beam peak search results from single carrier can be re-used for UL MIMO testing.

The measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-7 [3] to mount the DUT inside the QZ.
- 2) Position the DUT in DUT Orientation 1 from Tables N.2-1 through N.2-7 [3].
- 3) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\theta$  polarization to form the TX beam towards the measurement antenna. Allow at least BEAM\_SELECT\_WAIT\_TIME for the UE TX beam selection to complete.

- 4) Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200 msec starting from the first TPC Command in this step for the UE to reach  $P_{UMAX}$  level. Allow at least BEAM\_SELECT\_WAIT\_TIME for the UE Tx beam selection to complete.
- 5) Through its beam correspondence procedure, DUT refines its TX beam toward that direction depending on DUT's beam correspondence capability which shall match OEM declaration:
  - If the DUT's beam correspondence capability *beamCorrespondenceWithoutUL-BeamSweeping* is supported, then DUT autonomously chooses the corresponding TX beam for PUSCH transmission using downlink reference signals to transmit in the direction of the incoming DL signal, which is based on beam correspondence without relying on UL beam sweeping;
  - If the DUT's beam correspondence capability *beamCorrespondenceWithoutUL-BeamSweeping* is not present, then DUT chooses the TX beam for PUSCH transmission which is based on beam correspondence with relying on both DL measurements on downlink reference signals and network-assisted uplink beam sweeping (NOTE 3).
- 6) SS activates the UE Beamlock Function (UBF) by performing the procedure as specified in TS 38.508-1 [10] clause 4.9.2 using condition Tx only.
- 7) Measure the mean power  $P_{meas}(Pol_{Meas}=\theta, Pol_{Link}=\theta)$  of the modulated signal arriving at the power measurement equipment (such as a spectrum analyser, power meter, or gNB emulator).
- 8) Calculate EIRP ( $Pol_{Meas}=\theta, Pol_{Link}=\theta$ ) by adding the composite loss of the entire transmission path for utilized signal path,  $L_{EIRP,\theta}$ , and frequency to the measured power  $P_{meas}(Pol_{Meas}=\theta, Pol_{Link}=\theta)$ .
- 9) Measure the mean power  $P_{meas}(Pol_{Meas}=\phi, Pol_{Link}=\theta)$  of the modulated signal arriving at the power measurement equipment.
- 10) Calculate EIRP ( $Pol_{Meas}=\phi, Pol_{Link}=\theta$ ) by adding the composite losses of the entire transmission path for utilized signal path,  $L_{EIRP,\phi}$ , and frequency to the measured power  $P_{meas}(Pol_{Meas}=\phi, Pol_{Link}=\theta)$ .
- 11) Calculate total EIRP( $Pol_{Link}=\theta$ ) = EIRP( $Pol_{Meas}=\theta, Pol_{Link}=\theta$ ) + EIRP( $Pol_{Meas}=\phi, Pol_{Link}=\theta$ ).
- 12) SS deactivates the UE Beamlock Function (UBF) by performing the procedure as specified in TS 38.508-1 [10] clause 4.9.3.
- 13) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $Pol_{Link}=\phi$  polarization to form the TX beam towards the measurement antenna. Allow at least BEAM\_SELECT\_WAIT\_TIME for the UE TX beam selection to complete.
- 14) Repeat steps 4 through 12 and get the result of total EIRP( $Pol_{Link}=\phi$ ) = EIRP( $Pol_{Meas}=\theta, Pol_{Link}=\phi$ ) + EIRP( $Pol_{Meas}=\phi, Pol_{Link}=\phi$ )
- 15) Advance to the next grid point and repeat steps 3 through 14 until measurements within zenith range  $0^\circ \leq \theta \leq 90^\circ$  have been completed
- 16) After the measurements within zenith range  $0^\circ \leq \theta \leq 90^\circ$  have been completed and
  - a) if the re-positioning concept is applied to the TX test cases, position the device in DUT Orientation 2 (either Options 1 or 2) from Tables N.2-1 through N.2-7 [3] for the Alignment Option selected in Step 1. For the TX beam peak search in the second hemisphere, perform steps 3 through 15 for the range of zenith angles  $90^\circ > \theta \geq 0^\circ$ .
  - b) if the re-positioning concept is not applied to the TX test cases, continue steps 3 through 15 for the range of zenith angles  $90^\circ < \theta \leq 180^\circ$

If the beam correspondence capability *beamCorrespondenceWithoutUL-BeamSweeping* is not present, the above step 5) can be further clarified as following sub-steps:



- 5.1) DUT uses downlink reference signals to select proper RX beam and uses autonomous beam correspondence to select the TX beam.
- 5.2) SS configures M=8 SRS resources to DUT, with the field *spatialRelationInfo* omitted and the field *usage* set as 'beamManagement'. In case DUT supports less than 8 SRS resources, SS configures the number of SRS resources according to the maximum number of SRS resources indicated by UE capability signalling. Additionally, for codebook based PUSCH transmission, SS configures a semi-persistent SRS resource set with the field *usage* as 'codebook'.
- 5.3) Based on the TX beam autonomously selected by DUT, DUT chooses TX beams to transmit SRS-resources configured by SS.
- 5.4) Based on measurement of the received *beamManagement* SRS, SS chooses the best SRS beam and, if needed, updates the spatial relation information between the semi-persistent *codebook* SRS resources and the SS selected *beamManagement* SRS resource in the activation MAC CE of the semi-persistent SRS resource. The SS indicates in the SRS Resource Indicator (SRI) field in the scheduling grant for PUSCH, if present, the SRS resource within the semi-persistent SRS resource set whose spatial relation is linked to the best detected SRS beam.
- 5.5) DUT transmits PUSCH corresponding to the SRS resource indicated by the SRI.

The TX beam peak direction is where the maximum total component of  $\text{EIRP}(\text{Pol}_{\text{Link}}=\theta)$  or  $\text{EIRP}(\text{Pol}_{\text{Link}}=\phi)$  is found. Whenever this TX beam peak direction is used, if the UE does not support *beamCorrespondenceWithoutUL-BeamSweeping*, the side conditions for SSB-based and CSI-RS based L1-RSRP measurements are applied as per Table 6.6.1.3.3.1.1-1 and Table 6.6.1.3.3.1.1-2 respectively just before setting TX beam peak direction.

NOTE 1: Void.

NOTE 2: VOID.

NOTE 3:

In order to allow the UE to carry out its Rel 15 beam correspondence procedure, the side conditions for SSB based and CSI-RS based L1-RSRP measurements are configured as per Table 6.6.1.3.3.1.1-1 and Table 6.6.1.3.3.1.1-2 respectively.

For Release 16 and forward UEs: unless otherwise stated within the test case, the following side conditions are applied for the enhanced beam correspondence procedure, depending on the UE capability

- a. If *beamCorrespondenceWithoutUL-BeamSweeping* is NOT supported and *beamCorrespondenceSSB-based-r16* is supported: use side conditions defined in Table 6.6.1.3.3.1.1-1
- b. If *beamCorrespondenceWithoutUL-BeamSweeping* is NOT supported, and *beamCorrespondenceCSI-RS-based-r16* is supported: use side conditions defined in Table 6.6.2.3.3-1
- c. If *beamCorrespondenceWithoutUL-BeamSweeping* is NOT supported and *beamCorrespondenceSSB-based-r16* and *beamCorrespondenceCSI-RS-based-r16* are supported: use side conditions defined in Table 6.6.1.3.3.1.1-1.
- d. If *beamCorrespondenceWithoutUL-BeamSweeping* is NOT supported and *beamCorrespondenceSSB-based-r16* and *beamCorrespondenceCSI-RS-based-r16* are NOT supported: use side conditions defined in Table 6.6.1.3.3.1.1-1 and Table 6.6.1.3.3.1.1-2.
- e. If *beamCorrespondenceWithoutUL-BeamSweeping* is supported and *beamCorrespondenceSSB-based-r16* is supported: use side conditions defined in Table 6.6.1.3.3.1.1-1
- f. If *beamCorrespondenceWithoutUL-BeamSweeping* is supported, and *beamCorrespondenceCSI-RS-based-r16* is supported: use side conditions defined in Table 6.6.2.3.3-1

- g. If *beamCorrespondenceWithoutUL-BeamSweeping* is supported and *beamCorrespondenceSSB-based-r16* and *beamCorrespondenceCSI-RS-based-r16* are supported: use side conditions defined in Table 6.6.1.3.3.1.1-1.
- h. If *beamCorrespondenceWithoutUL-BeamSweeping* is supported and *beamCorrespondenceSSB-based-r16* and *beamCorrespondenceCSI-RS-based-r16* are NOT supported: use side conditions defined in Table 6.6.1.3.3.1.1-1 and Table 6.6.1.3.3.1.1-2.

## K.1.2 RX beam peak direction search

Editor's note: The following aspects are either missing or not yet determined:

- The Rx beam peak direction search for intra-band DL CA configurations with frequency separations larger than 800 MHz is currently FFS.

The RX beam peak direction is found with a 3D EIS scan (separately for each orthogonal downlink polarization). The RX beam peak direction search grid points for this single grid approach are defined in Annex M.2.1. Alternatively, a coarse and fine grid approach could be used according to the definition in Annex M.2.4.

The beam peak searches shall be performed for every test frequency range by default unless the device manufacturer explicitly declares that the beam peak at the mid test frequency range is applicable for the remaining (low, high) test frequency ranges. Beam peak search results cannot be re-used across different bands that do not overlap. Beam peak search results can be re-used from bands that completely contain the target bands if explicitly declared with a declaration.

A beam peak search shall be performed for every intra-band contiguous combination and CA BW class by default unless the device manufacturer explicitly declares that the beam peak for a reference (frequency band, CBW) or (frequency band combination, CA BW class) is applicable for a group of other intra-band contiguous combinations and CA BW classes.

The beam peak searches shall be performed for every modulation by default unless the device manufacturer explicitly declares that the beam peak at the QPSK modulation is applicable for the remaining 16QAM and 64QAM modulations.

The beam peak searches shall be performed separately for NTC (Normal), ETC (TL), and ETC (TH).

The single carrier measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-7 [3] to mount the DUT inside the QZ.
- 2) Position the DUT in DUT Orientation 1 from Tables N.2-1 through N.2-7 [3].
- 3) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\theta$  polarization to form the RX beam towards the DUT. Allow at least BEAM\_SELECT\_WAIT\_TIME for the UE RX beam selection to complete.
- 4) Determine  $\text{EIS}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}}=\theta)$  for  $\theta$ -polarization, i.e., by sweeping the power level for the  $\theta$ -polarization, at which the throughput exceeds the requirements for the specified reference measurement channel. The downlink power step size shall be no more than 0.2 dB when the RF power level is near the sensitivity level (coarse and fine searches are not precluded as long as the fine search is using the 0.2dB step size near the sensitivity level).
- 5) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\phi$  polarization to form the RX beam towards the DUT. Allow at least BEAM\_SELECT\_WAIT\_TIME for the UE RX beam selection to complete.
- 6) Determine  $\text{EIS}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}}=\phi)$  for  $\phi$ -polarization, i.e., by sweeping the power level for the  $\phi$ -polarization, at which the throughput exceeds the requirements for the specified reference measurement channel. The downlink power step size shall be no more than 0.2 dB when the RF power level is near the sensitivity level

(coarse and fine searches are not precluded as long as the fine search is using the 0.2dB step size near the sensitivity level).

- 7) Advance to the next grid point and repeat steps 3 through 6 until measurements within zenith range  $0^\circ \leq \theta \leq 90^\circ$  have been completed
- 8) After the measurements within zenith range  $0^\circ \leq \theta \leq 90^\circ$  have been completed and
  - a) if the re-positioning concept is applied to the RX test cases, position the device in DUT Orientation 2 (either Options 1 or 2) from Tables N.2-1 through N.2-7 [3] for the Alignment Option selected in Step 1. For the RX beam peak search in the second hemisphere, perform steps 3 through 6 for the range of zenith angles  $90^\circ > \theta \geq 0^\circ$ .
  - b) If the re-positioning concept is not applied to the RX test cases, continue steps 3 through 6 for the range of zenith angles  $90^\circ < \theta \leq 180^\circ$
- 9) Calculate the resulting “averaged EIS” as:

$$\text{averaged EIS} = 2 * [1/\text{EIS}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}}=\theta) + 1/\text{EIS}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}}=\phi)]^{-1}$$

The RX beam peak direction is where the minimum “averaged EIS” is found.

Alternatively, the RX beam peak direction for single carrier could be determined following the procedure described in Annex K.1.11.

For intra-band DL CA configurations with a frequency separation up to 800 MHz, if for single carrier test the Rx beam peak direction has been found for any frequency within the CA bandwidth, such direction shall be used. Otherwise, the single carrier measurement procedure is performed only on the PCC and the RX beam peak direction for the DL CA configuration is the direction of the PCC Rx beam peak direction.

For intra-band DL CA configurations with a frequency separation up to 800 MHz, if UE vendor provides a Beam Peak Search Declaration with respect to test frequency range for single CC for a given band, see 38.508-2 [4] table A.4.3.9-5, such declaration will also apply to PCC in DL CA configurations for that band.

For intra-band DL CA configurations with a frequency separation larger than 800 MHz the beam peak direction search procedure is FFS.

## K.1.3 Peak EIRP measurement procedure

This section describes EIRP measurement procedure for a chosen  $\text{Pol}_{\text{Link}}$  of  $\theta$  or  $\phi$

The TX beam peak direction is where the maximum total component of EIRP is found, including the respective polarization of the measurement antenna used to form the TX beam, according to K.1.1.

The measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-7 [3] to mount the DUT inside the QZ.
- 2) If the re-positioning concept is not applied to the TX test cases, position the device in DUT Orientation 1. If the re-positioning concept is applied to the TX test cases,
  - a) position the device in DUT Orientation 1 from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $0^\circ \leq \theta \leq 90^\circ$  for the alignment option selected in step 1
  - b) position the device in DUT Orientation 2 (either Options 1 or 2) from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $90^\circ < \theta \leq 180^\circ$  for DUT Orientation 1 for the alignment option selected in step 1.

- 3) Connect the SS (System Simulator) with the DUT through the measurement antenna with polarization reference  $\text{Pol}_{\text{Link}}$  to form the TX beam towards the TX beam peak direction and respective polarization. Allow at least  $\text{BEAM\_SELECT\_WAIT\_TIME}$  for the UE TX beam selection to complete.
- 4) SS activates the UE Beamlock Function (UBF) by performing the procedure as specified in TS 38.508-1 [10] clause 4.9.2 using condition Tx only.
- 5) Measure the mean power  $P_{\text{meas}}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}})$  of the modulated signal arriving at the power measurement equipment (such as a spectrum analyser, power meter, or gNB emulator).
- 6) Calculate  $\text{EIRP}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}})$  by adding the composite loss of the entire transmission path for utilized signal path,  $L_{\text{EIRP},\theta}$ , and frequency to the measured power  $P_{\text{meas}}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}})$ .
- 7) Measure the mean power  $P_{\text{meas}}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}})$  of the modulated signal arriving at the power measurement equipment.
- 8) Calculate  $\text{EIRP}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}})$  by adding the composite losses of the entire transmission path for utilized signal path,  $L_{\text{EIRP},\phi}$  and frequency to the measured power  $P_{\text{meas}}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}})$ .
- 9) Calculate the resulting “total  $\text{EIRP}(\text{Pol}_{\text{Link}})$ ”, for the chosen  $\text{Pol}_{\text{Link}}$  of  $\theta$  or  $\phi$  as follows:
 
$$\text{total EIRP}(\text{Pol}_{\text{Link}}) = \text{EIRP}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}}) + \text{EIRP}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}})$$
- 10) SS deactivates the UE Beamlock Function (UBF) by performing the procedure as specified in TS 38.508-1 [10] clause 4.9.3.

## K.1.4 Peak EIS measurement procedure

This section describes EIS measurement procedure. The RX beam peak direction is where the minimum EIS is found according to K.1.2.

The measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-7 [3] to mount the DUT inside the QZ.
- 2) If the re-positioning concept is not applied to the RX test cases, position the device in DUT Orientation 1. If the re-positioning concept is applied to the RX test cases
  - a) position the device in DUT Orientation 1 from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $0^\circ \leq \theta \leq 90^\circ$  for the alignment option selected in step 1
  - b) position the device in DUT Orientation 2 (either Options 1 or 2) from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $90^\circ < \theta \leq 180^\circ$  for DUT Orientation 1 for the alignment option selected in step 1.
- 3) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\theta$  polarization to form the RX beam towards the RX beam peak direction. Allow at least  $\text{BEAM\_SELECT\_WAIT\_TIME}$  for the UE RX beam selection to complete.
- 4) Determine  $\text{EIS}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}}=\theta)$  for  $\theta$ -polarization, i.e., the power level for the  $\theta$ -polarization at which the throughput exceeds the requirements for the specified reference measurement channel. The downlink power step size shall be no more than 0.2 dB when the RF power level is near the sensitivity level.
- 5) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\phi$  polarization to form the RX beam towards the RX beam peak direction. Allow at least  $\text{BEAM\_SELECT\_WAIT\_TIME}$  for the UE RX beam selection to complete.

- 6) Determine  $EIS(Pol_{Meas}=\phi, Pol_{Link}=\phi)$  for  $\phi$ -polarization, i.e., the power level for the  $\phi$ -polarization at which the throughput exceeds the requirements for the specified reference measurement channel. The downlink power step size shall be no more than 0.2 dB when the RF power level is near the sensitivity level.
- 7) Calculate the resulting averaged EIS as:

$$EIS = 2*[1/EIS(Pol_{Meas}=\theta, Pol_{Link}=\theta) + 1/EIS(Pol_{Meas}=\phi, Pol_{Link}=\phi)]^{-1}$$

## K.1.5 EIRP spherical coverage

The EIRP results from the TX beam peak search procedures of K.1.1, using the minimum number of grid points as described in Annex M.2.1 can be re-used for EIRP spherical coverage.

In case a coarse beam peak grid is used for TX beam peak search, using the minimum number of grid points defined in Annex M.3.1.1, the EIRP results can be re-used for EIRP spherical coverage.

### K.1.5.0 Tx Spherical Coverage Method

In case a separate test is performed for EIRP spherical coverage, the procedure as per K.1.1 should be followed using the minimum number of grid points defined in Annex M.3.1.1 for spherical coverage.

The  $EIRP_{target-CDF}$  is then obtained from the Cumulative Distribution Function (CDF) computed using  $\max(EIRP(Pol_{Link}=\theta), EIRP(Pol_{Link}=\phi))$  for all grid points. When using constant step size measurement grids, a theta-dependent correction shall be applied, i.e., the PDF probability contribution for each measurement point is scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1, to account for the denser grid point distribution near the poles. In case of Clenshaw-Curtis weights, when just a single measurement at the poles is performed, the PDF probability contributions need to be scaled by  $M*W(\theta)/W(\theta=90^\circ)$  to account for the M longitudes at those two grid points. When using constant density grids, these corrections are not needed.

### K.1.5.1 Tx Fast Spherical Coverage Method

#### K.1.5.1.1 Introduction

The Fast Spherical Coverage Method is a test method providing an optimized test time for Tx spherical coverage measurements. This method is applicable to constant density and constant step size grid type. Instead of measuring all grid points as per Annex M, as required by the test procedure defined in Annex K.1.5, this method requires only a reduced number of grid points to be measured.

#### K.1.5.1.2 Description

To use this method, apply the following steps

- 1) During the EIRP Spherical coverage measurements, calculate the EIRP result for the grid point as  $EIRP_{spherical} = \max(EIRP(Pol_{Link}=\theta), EIRP(Pol_{Link}=\phi))$  starting with  $N_{grid, meas, PASS} = 0$ . If the  $EIRP_{spherical}$  value is above the Min EIRP spherical coverage limit increase  $N_{grid, meas, PASS}$  by 1.
- 2) Calculate the percentage of total grid points measured thus far above the EIRP spherical coverage requirement limit  $N_{grid, meas, PASS}$  compared to the total number of grid points on the measurement grid  $N_{grid, total}$ .
- 3) If the percentage calculated in step 2) is equal to or higher than (100 - n<sup>th</sup> percentile for EIRP spherical coverage) %, pass the device, otherwise continue to step 4. If all grid points have been measured, calculate the CDF for all grid points and pass the UE if the derived %-tile EIRP in measurement distribution exceeds the requirement. Otherwise fail the UE.

- 4) Advance to the next grid point and repeat the steps until measurements within zenith range  $0^\circ \leq \theta \leq [90]^\circ$  have been completed

NOTE 1: For test systems where the device repositioning approach outlined in Annex N is applied, the grid points of up to a zenith of  $[90]^\circ$  are allowed to be measured in the first hemisphere before the device needs to be placed in the second orientation.

### K.1.5.1.3 Measurement uncertainties

Same as when test procedure described in clause K.1.5.0 is used.

## K.1.6 EIS spherical coverage

The EIS results from the RX beam peak search procedures of K.1.2, using the minimum number of grid points as described in Annex M.2.2 can be re-used for EIS spherical coverage.

In case a coarse beam peak grid is used for RX beam peak search with an EIS metric, using the minimum number of grid points defined in Annex M.3.2.1, the EIS results can be re-used for EIS spherical coverage.

### K.1.6.0 Rx Spherical Coverage Method

In case a separate test is performed for spherical coverage, the procedure K.1.2 should be followed using the minimum number of grid points defined in Annex M.3.2.1 for spherical coverage.

The  $EIS_{\text{target-CDF}}$  is then obtained from the Cumulative Distribution Function (CDF) computed using averaged EIS for all grid points. When using constant step size measurement grids, a theta-dependent correction shall be applied, i.e., the PDF probability contribution for each measurement point is scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1, to account for the denser grid point distribution near the poles. In case of Clenshaw-Curtis weights, when just a single measurement at the poles is performed, the PDF probability contributions need to be scaled by  $M \cdot W(\theta)/W(\theta=90^\circ)$  to account for the M longitudes at those two grid points. When using constant density grids, these corrections are not needed.

### K.1.6.1 Rx Fast Spherical Coverage Method

#### K.1.6.1.1 Introduction

Same as Annex K.1.5.1.2 except that this sub-clause is applicable to Rx measurements in Annex K.1.6.

#### K.1.6.1.2 Description

To use this method, apply the following steps

- 1) During the EIS Spherical coverage measurements, calculate the averaged EIS as:  $EIS = 2 \cdot [1/EIS(\text{Pol}_{\text{Meas}} = \theta \text{ Pol}_{\text{Link}} = \theta) + 1/EIS(\text{Pol}_{\text{Meas}} = \phi \text{ Pol}_{\text{Link}} = \phi)]^{-1}$  at each grid point starting with  $N_{\text{grid, meas, PASS}} = 0$ . If the EIS value is below the EIS spherical coverage limit increase  $N_{\text{grid, meas, PASS}}$  by 1.
- 2) Calculate the percentage of total grid points measured thus far above the EIS spherical coverage requirement limit  $N_{\text{grid, meas, PASS}}$  compared to the total number of grid points on the measurement grid  $N_{\text{grid, total}}$ .
- 3) If the percentage calculated in step 2) is equal to or higher than  $(100 - n^{\text{th}} \text{ percentile for EIS spherical coverage}) \%$ , pass the device, otherwise continue to step 4. If all grid points have been measured, calculate the CDF for all grid points and pass the UE if the derived %-tile EIS in measurement distribution exceeds the requirement. Otherwise fail the UE.

- 4) Advance to the next grid point and repeat the steps until measurements within zenith range  $0^\circ \leq \theta \leq 90^\circ$  have been completed.

NOTE 1: Same as NOTE 1 in Annex K.1.5.1.2.

### K.1.6.1.3 Measurement uncertainties

Same as when test procedure described in clause K.1.6.0 is used.

## K.1.7 TRP measurement procedure

The minimum number of measurement points for TRP measurement grid is outlined in Annex M.4.

The measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-7 [3] to mount the DUT inside the QZ.
- 2) If the re-positioning concept is not applied to the TX test cases, position the device in DUT Orientation 1. If the re-positioning concept is applied to the TX test cases
  - a) position the device in DUT Orientation 1 from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $0^\circ \leq \theta \leq 90^\circ$  for the alignment option selected in step 1
  - b) Position de device in DUT Orientation 2 (either Options 1 or 2) from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $90^\circ < \theta \leq 180^\circ$  for DUT Orientation 1 for the alignment option selected in step 1.
- 3) Connect the SS with the DUT through the measurement antenna with desired polarization reference  $\text{Pol}_{\text{Link}}$  to form the TX beam towards the desired TX beam direction and respective polarization. Allow at least BEAM\_SELECT\_WAIT\_TIME for the UE TX beam selection to complete.
- 4) SS activates the UE Beamlock Function (UBF) by performing the procedure as specified in TS 38.508-1 [10] clause 4.9.2 using condition Tx only.
- 5) For each measurement grid point, measure  $P_{\text{meas}}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}})$  and  $P_{\text{meas}}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}})$ . The angle between the measurement antenna and the DUT ( $\theta_{\text{Meas}}, \phi_{\text{Meas}}$ ) is achieved by rotating the measurement antenna and the DUT (based on system architecture).
- 6) Calculate  $\text{EIRP}(\text{Pol}_{\text{Meas}}=\theta, \text{Pol}_{\text{Link}})$  and  $\text{EIRP}(\text{Pol}_{\text{Meas}}=\phi, \text{Pol}_{\text{Link}})$  by adding the composite loss of the entire transmission path for utilized signal paths,  $L_{\text{EIRP},\theta}$ ,  $L_{\text{EIRP},\phi}$  and frequency to the respective measured powers  $P_{\text{meas}}$ .
- 7) The TRP value for the uniform measurement grid is calculated using the TRP integration approaches outlined in Annex M.4.2. The TRP value for the constant density grid is calculated using the TRP integration formula in Annex M.4.3.
- 8) SS deactivates the UE Beamlock Function (UBF) by performing the procedure as specified in TS 38.508-1 [10] clause 4.9.3.

## K.1.8 Blocking measurement procedure

The RX beam peak direction is where the minimum EIS is found according to K.1.2.

The measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-7 to mount the DUT inside the QZ.

- 2) If the re-positioning concept is not applied to the RX test cases, position the device in DUT Orientation 1. If the re-positioning concept is applied to the RX test cases
  - a) position the device in DUT Orientation 1 from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $0^\circ \leq \theta \leq 90^\circ$  for the alignment option selected in step 1
  - b) position the device in DUT Orientation 2 (either Options 1 or 2) from Tables N.2-1 through N.2-7 [3] if the maximum beam peak direction is within zenith angular range  $90^\circ < \theta \leq 180^\circ$  for DUT Orientation 1 for the alignment option selected in step 1.
- 3) Establish a connection between the DUT and the SS with the downlink signal applied to the  $\theta$ -polarization of the measurement antenna
- 4) Position the UE so that the beam is formed towards the measurement antenna in the RX beam peak direction.
- 5) Apply a signal with the specified reference measurement channel on the  $\theta$ -polarization, setting the power level of the signal 3dB below the EIS level stated in the requirement.
- 6) Apply the blocking signal with the same polarization and coming from the same direction as the downlink signal. Set the power level of the blocking signal 3dB below the level stated in the requirement.
- 7) Measure the throughput of the downlink signal on the  $\theta$ -polarization.
- 8) Switch the downlink and blocking signal to the  $\phi$ -polarization of the measurement antenna.
- 9) Repeat steps 3 to 7 on the  $\phi$ -polarization.
- 10) Compare the results for both the  $\theta$ -polarization and  $\phi$ -polarization against the requirement. If both results meet the requirements, pass the UE.

## K.1.9 Beam Correspondence tolerance procedure

This beam correspondence tolerance procedure applies to the DUT with beam correspondence capability *beamCorrespondenceWithoutUL-BeamSweeping* not present (which shall match OEM declaration), such that DUT relies on uplink beam sweeping to fulfil the minimum peak EIRP and spherical coverage requirements.

The measurement procedure includes the following steps for each of the points in the grid:

- 1) Follow the test procedures specified in subclause K.1.5 with uplink beam sweeping disabled, obtain total  $EIRP_1(Pol_{Link}=\theta)$  and total  $EIRP_1(Pol_{Link}=\phi)$ .  $EIRP_1$  is calculated by  $EIRP_1 = \text{maximum}(EIRP_1(Pol_{Link}=\theta), EIRP_1(Pol_{Link}=\phi))$ .
- 2) Follow the test procedures specified in subclause K.1.5, with uplink beam sweeping enabled (SS does not configure the *spatialRelationInfo* to DUT) during DUT TX beam refinement, obtain total  $EIRP_2(Pol_{Link}=\theta)$  and total  $EIRP_2(Pol_{Link}=\phi)$ .  $EIRP_2$  is calculated by  $EIRP_2 = \text{maximum}(EIRP_2(Pol_{Link}=\theta), EIRP_2(Pol_{Link}=\phi))$ .
- 3) Calculate the  $\Delta EIRP_{BC} = EIRP_2 - EIRP_1$ .

The  $\Delta EIRP_{\text{target-CDF}}$  is then obtained from the Cumulative Distribution Function (CDF) computed using  $\Delta EIRP_{BC}$  for each of all top  $N^{\text{th}}$  percentile of the  $EIRP_2$  measurement points in the grid. When using constant step size measurement grids, a theta-dependent correction shall be applied, i.e., the PDF probability contribution for each measurement point is scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1.



NOTE:  $\Delta\text{EIRP}_{\text{BC}}$  is introduced for beam correspondence tolerance based on two EIRP measurements ( $\text{EIRP}_1$  and  $\text{EIRP}_2$ ).  $\text{EIRP}_1$  is the measured total EIRP based on the beam which DUT chooses autonomously (corresponding beam) to transmit in the direction of the incoming DL signal, which is based on beam correspondence without relying on UL beam sweeping.  $\text{EIRP}_2$  is the measured total EIRP based on the beam yielding highest EIRP in a given direction, which is based on beam correspondence with relying on UL beam sweeping.  $\Delta\text{EIRP}_{\text{BC}}$  shall be calculated over the link angles spanning a subset of the spherical coverage grid points which are corresponding to the top  $N^{\text{th}}$  percentile of the  $\text{EIRP}_2$  measurement points in the grid, where the value of  $N$  is according to EIRP spherical coverage requirement of DUT's power class defined in TS 38.101-2 [3] clause 6.2.1, e.g.,  $N=50$  for power class 3 DUT.

## K.1.11 RSRP(B) based RX beam peak search

Editor's Note: This clause is incomplete. The following aspects are not determined.

- Feasibility and Applicability of this RSRP-B based Rx beam peak search is FFS
- Additional analysis of side conditions to be applied is FFS
- Analysis of MU impact is FFS
- Additional optimization of the method for use in scenarios such as Carrier Aggregation and EN-DC is still FFS

RSRP(B)-based RX beam peak search approach is applicable to find the beam peak, the beam peak search time can be reduced significantly.

### K.1.11.1 Test procedure

The RX beam peak direction is found with a 3D RSRP(B) scan (separately for each orthogonal downlink polarization). The RX beam peak direction is where the maximum total component of RSRP is found. The RX beam peak direction search grid points for this single grid approach are defined in Annex M,2.

The measurement procedure includes the following steps:

- 1) Select any of the three Alignment Options (1, 2, or 3) from Tables N.2-1 through N.2-3 [3] to mount the DUT inside the QZ.
- 2) Position the DUT in DUT Orientation 1 or 2 from Tables N.2-1 through N.2-3 [3].
- 3) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\theta$  polarization to form the RX beam towards the measurement antenna.
- 4) Adjust the DL power of the SS to obtain the NR DL signal level as per Table C.0-1 at the centre of QZ. Determine RSRP or RSRPBs (one per receiver branch) at  $\text{Pol}_{\text{Meas}}=\text{Pol}_{\text{Link}}=\theta$  condition reported by UE.
- 5) Connect the SS (System Simulator) with the DUT through the measurement antenna with  $\text{Pol}_{\text{Link}}=\phi$  polarization to form the RX beam towards the measurement antenna.
- 6) Set the same DL power as the one in step 4. Determine RSRP or RSRPBs (one per receiver branch) at  $\text{Pol}_{\text{Meas}}=\text{Pol}_{\text{Link}}=\phi$  condition reported by UE.
- 7) Advance to the next grid point and repeat steps 3 through 6 until measurements within the full 3D scan have been completed.
- 8) Data processing the linear sum of four reported RSRPBs. How to calculate the reported RSRPs is FFS.

To guarantee RSRP(B) accuracy, SNR side condition configuration can refer to the minimum SSB\_RP specified for beam correspondence defined in Table K.1.11-1 (from TS 38.101-2 [3] Table 6.6.4.3.1-1):

**Table K.1.11.1-1: Conditions for SSB based L1-RSRP measurements for beam correspondence**

| Angle of arrival   | NR operating bands | Minimum SSB_RP <sup>Note 2</sup> | SSB<br>Ês/lot |
|--|--------------------|----------------------------------|---------------|
|  |                    | dBm / SCS <sub>SSB</sub>         | dB            |
|  |                    | SCS <sub>SSB</sub> = 120 kHz     |               |
| All angles<br>Note 1   | n257               | -96.2                            | ≥6            |
|  | n258               | -96.2                            |               |
|  | n259               | -90.7                            |               |
|  | n260               | -91.9                            |               |
|  | n261               | -96.2                            |               |
|  | n262               | -88.5                            |               |
| NOTE 1: For UEs that support multiple FR2 bands, the Minimum SSB_RP values for all angles are increased by ΔMB <sub>S,n</sub> , the UE multi-band relaxation factor in dB specified in clause 6.2.1. |                    |                                  |               |
| NOTE 2: Values specified at the radiated requirements reference point to give minimum SSB Ês/lot, with no applied noise.   |                    |                                  |               |

## K.1.12 Enhanced test method for EIRP measurements

**Editor's Note:** This clause is incomplete. The following aspects are not determined.

- Applicability of this enhanced method is FFS
- Additional analysis of how this method can be used within existing tests is FFS
- Additional optimization of the method for use in scenarios such as Carrier Aggregation and EN-DC is still FFS

Transmitted Matrix Precoding Indicator (TPMI) is the basis of codebook based transmission enabling multi-port antenna transmission. TPMI method is identified as applicable method to enhance EIRP measurement, which is able to activate dual polarization transmission in EIRP measurement. The applicability of this method is defined in Clause K.1.12.1.

For FR2 UEs support the TPMI method, the precoding matrix  $W$  is given by Table K.1.12-1 (same as Table 6.3.1.5-1 in TS 38.211 [9]). 2Tx TPMI index 2-5 can force UE single-layer transmission using two antenna ports. Among them, only TPMI index 2 is selected for EIRP measurement.

**Table K.1.12-1-1: Precoding matrix  $W$  for single-layer transmission using two antenna ports**

| TPMI index | $W$ (ordered from left to right in increasing order of TPMI index) |   |   |  |   |  |   |   |
|------------|--|---|---|--|---|--|---|---|
| 0 – 5      | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$          | $\frac{1}{\sqrt{2}} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$ | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ j \end{bmatrix}$ | $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -j \end{bmatrix}$ | - | - |

The permitted test methods (i.e. DFF, IFF and NFFT) in [5] are all applicable for TPMI method with the additional procedure that the UE should be configured with TPMI index and working at single-layer transmission using two antenna ports, before performing EIRP-based test procedures in Clause 5.2.1.3 in TR38.810 [5].:

- Peak EIRP Measurement Procedure
- TRP Measurement Procedure
- TX Beam Peak direction search and EIRP Spherical Coverage

### K.1.12.1 Applicability of TPMI side condition method

TPMI is applicable for one layer transmission with multi-port antenna. In FR2, dual polarization can be regarded as dual antenna ports, so it is natural to activate dual polarization transmission with TPMI side condition in EIRP measurement procedure. However, for TPMI supporting dual antenna ports, the number of SRS ports (*nrofSRS-Ports*) is configured as 2 for both one layer transmission with ‘full power transmission’ and two layers transmission with regular UL MIMO, as specified in clause 6.1 of TS 38.101-2 [3]:

For a UE that supports 'UL full power transmission' and is configured to transmit a single layer with *nrofSRS-Ports* = 2, the requirements for UL MIMO operation apply only when it is configured for any of its declared full power modes in IE *FullPowerTransmission-r16* (as defined in TS 38.331[19]).

For a UE configured to transmit 2 layers, transmitter requirements for UL MIMO operation apply when the UE transmits on 2 ports on the same CDM group. The UE may use higher MPR values outside this limitation.

Thus, TPMI method is applicable for the following FR2 UEs:

- Rel-15 Coherent UE (UE capability *pusch-TransCoherence* = *fullCoherent* with network configuration *codebookSubset*= *FullyAndPartialAndNonCoherent*).
- Rel-16 and onwards Coherent UE (UE capability *pusch-TransCoherence* = *fullCoherent* with network configuration *codebookSubset*= *FullyAndPartialAndNonCoherent*).
- Rel-16 and onwards UE supporting UL full power transmission mode1 (UE capability *ul-FullPwrMode1-r16*= *supported* with network configuration *ul-FullPowerTransmission* = *fullpowerMode1*).

Other UEs are not applicable for TPMI based test method.

### K.1.12.2 TPMI side condition method Measurement uncertainties impact

TPMI side condition method has no impact on measurement uncertainties.

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## K.2 Direct far field (DFF) simplification

### K.2.1 TX beam peak direction search

Same measurement procedure as in clause K.1.1.

### K.2.2 RX beam peak direction search

Same measurement procedure as in clause K.1.2.

### K.2.3 Peak EIRP measurement procedure

Same measurement procedure as in clause K.1.3.

### K.2.4 Peak EIS measurement procedure

Same measurement procedure as in clause K.1.4.

## K.2.5 EIRP spherical coverage

Same measurement procedure as in clause K.1.5.

## K.2.6 EIS spherical coverage

Same measurement procedure as in clause K.1.6.

## K.2.7 TRP measurement procedure

Same measurement procedure as in clause K.1.7.

## K.2.8 Blocking measurement procedure

Same measurement procedure as in clause K.1.8.

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## K.3 Indirect far field (IFF)

### K.3.1 TX beam peak direction search

Same measurement procedure as in clause K.1.1.

### K.3.2 RX beam peak direction search

Same measurement procedure as in clause K.1.2.

### K.3.3 Peak EIRP measurement procedure

Same measurement procedure as in clause K.1.3.

### K.3.4 Peak EIS measurement procedure

Same measurement procedure as in clause K.1.4.

### K.3.5 EIRP spherical coverage

Same measurement procedure as in clause K.1.5.

### K.3.6 EIS spherical coverage

Same measurement procedure as in clause K.1.6.

### K.3.7 TRP measurement procedure

Same measurement procedure as in clause K.1.7.

## K.3.8 Blocking measurement procedure

Same measurement procedure as in clause K.1.8.

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## K.4 Near field to far field transform (NFTF)

### K.4.1 TX beam peak direction search

The TX beam peak direction is found with a 3D EIRP scan (separately for each orthogonal polarization) with a grid that is TBD. The TX beam peak direction is where the maximum total component of EIRP is found.

FFS

### K.4.2 RX beam peak direction search

Not applicable for NFTF method.

### K.4.3 Peak EIRP measurement procedure

- 1) Connect the SS (System Simulator) to the DUT through the measurement antenna with polarization reference  $\text{Pol}_{\text{Meas}}$  to form the TX beam towards the previously determined TX beam peak direction and respective polarization.
- 2) Lock the beam toward that direction for the entire duration of the test.
- 3) Perform a 3D pattern measurement (amplitude and phase) with the DUT sending a modulated signal.
- 4) Determine the EIRP for both polarization towards the TX beam peak direction by using a Near Field to Far Field transform.
- 5) Calculate total EIRP =  $\text{EIRP}_{\theta} + \text{EIRP}_{\phi}$

### K.4.4 Peak EIS measurement procedure

Not applicable for NFTF method.

### K.4.5 EIRP spherical coverage

Same measurement procedure as in clause K.1.5.

### K.4.6 EIS spherical coverage

Not applicable for NFTF method.

### K.4.7 TRP measurement procedure

The minimum number of measurement points for TRP measurement grid is outlined in Annex M.4.

The measurement procedure includes the following steps:

- 1) Connect the SS to the DUT through the measurement antenna with polarization reference  $\text{Pol}_{\text{Meas}}$  to form the TX beam towards the previously determined TX beam peak direction and respective polarization.
- 2) Lock the beam toward that direction for the entire duration of the test.
- 3) Perform a 3D pattern measurement (amplitude and phase) with the DUT sending a modulated signal.
- 4) For each measurement point on the grid, determine the EIRP for both polarization by using a Near Field to Far Field transform.
- 5) The TRP value for the constant step size measurement grids are calculated using the TRP integration approaches outlined in Annex M.4.2. The TRP value for the constant density grid is calculated using the TRP integration formula in Annex M.4.3.

## K.4.8 Blocking measurement procedure

Not applicable for NFFT method.

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## Annex L (normative): Void

## Annex M:(normative) Measurement grids

This appendix describes the assumptions and definition of the minimum number of measurement grid points for various grid types. Further details can be found in [5].

A total of three measurement grids are considered:

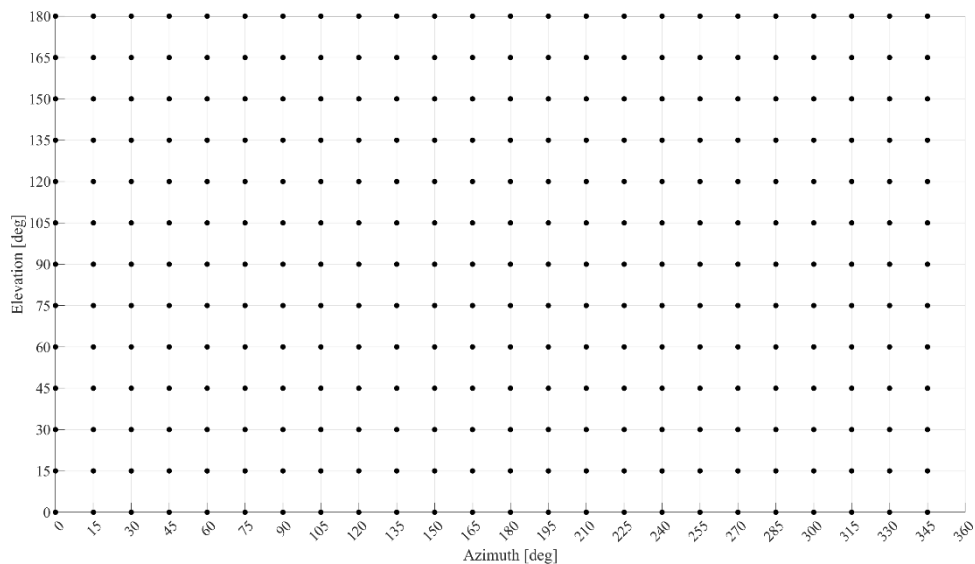
- Beam Peak Search Grid: using this grid, the TX and RX beam peak direction will be determined. 3D EIRP scans are used to determine the TX beam peak direction and 3D Throughput/RSRP/EIS scans for RX beam peak directions.
- Spherical Coverage Grid: using this grid, the CDF of the EIRP/EIS distribution in 3D is calculated to determine the spherical coverage performance.
- TRP Measurement Grid: using this grid, the total power radiated by the DUT in the TX beam peak direction is determined by integrating the EIRP measurements taken on the sampling grid.

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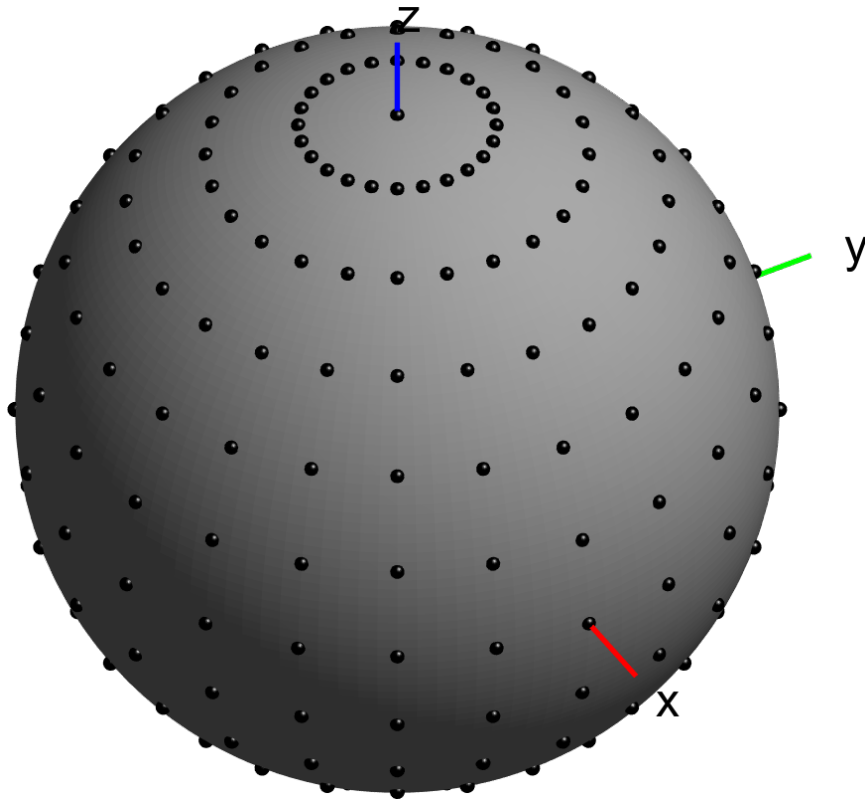
### M.1 Grid Types

Two different measurement grid types are considered:

- The constant step size grid type has the azimuth and elevation angles uniformly distributed as in the examples illustrated in Figures M.1-1 in 2D and M.1-2 in 3D.

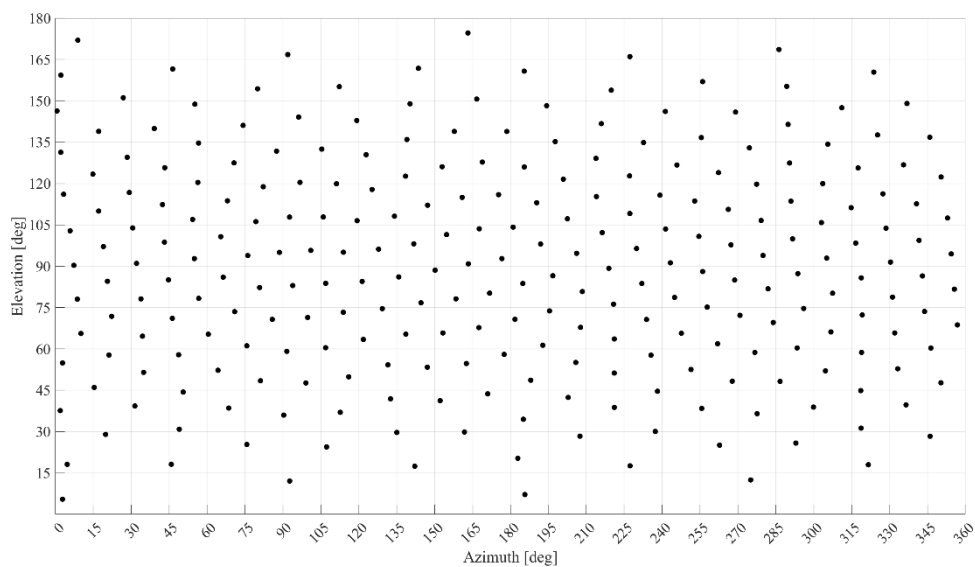


**Figure M.1-1: Distribution of measurement grid points in 2D for a constant step size grid with  $\Delta\theta=\Delta\phi=15^\circ$  (266 unique measurement points)**



**Figure M.1-2: Distribution of measurement grid points in 3D for a constant step size grid with  $\Delta\theta=\Delta\phi=15^\circ$  (266 unique measurement points)**

- Constant density grid types have measurement points that are evenly distributed on the surface of the sphere with a constant density as in the example illustrated in Figures M.1-3 in 2D and M.1-4 in 3D.



**Figure M.1-3: Distribution of measurement grid points in 2D for a constant density grid with 266 unique measurement points**



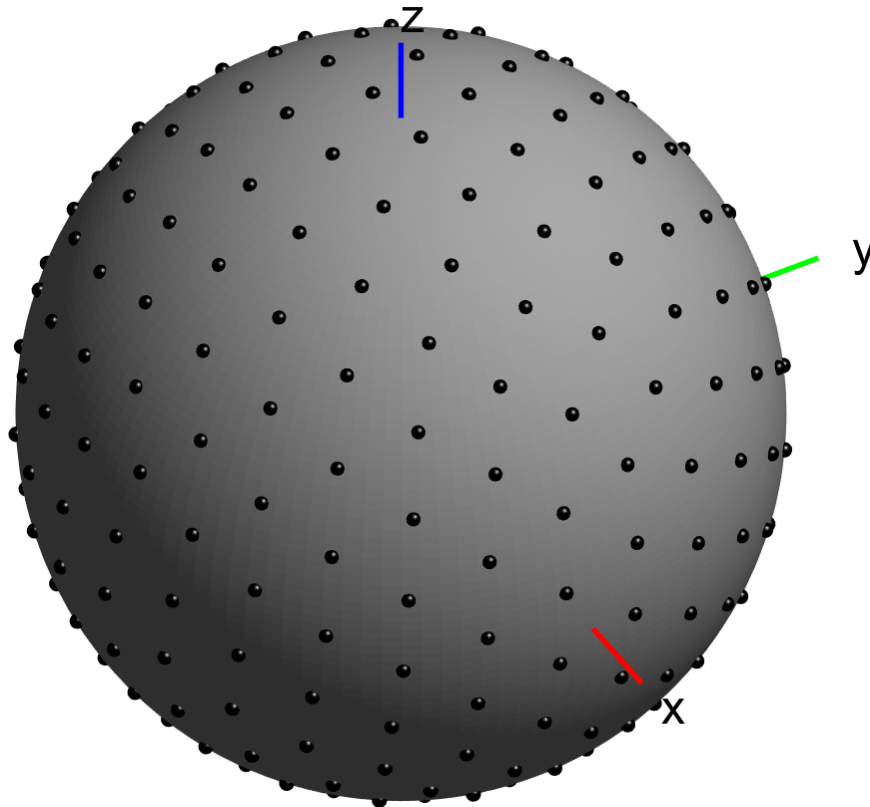


Figure M.1-4: Distribution of measurement grid points in 3D for a constant density grid type with 266 unique measurement points

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## M.2 Beam Peak Search Grid

Editor's note: Other implementations are not precluded as far as the respective analysis are presented and included in this TS

### M.2.1 UE Power classes

#### M.2.1.1 Power class 1 devices

In order to make a reasonable trade-off with measurement uncertainties, it is recommended to use for beam peak search the following measurement grids leading to a systematic error of "Beam Peak Search" of 0.7 dB:

- Constant density grid (using the charged particle implementation) with at least 3000 grid points.
- Constant step size grid with at least 4902 grid points, corresponding to an angular step size of 3.6°.

For better measurement uncertainties, finer measurement grids as shown in Table M.2.1.1-1 may be used. Choice of grids among these 2 types of grids is up to test system implementation.

**Table M.2.1.1-1: Minimum number of unique grid points for sample systematic errors**

| Systematic Error of 'Beam Peak Search': Offset from Beam Peak at which CDF is 5% | Minimum Number of Unique Grid Points for Constant Step Size Grid | Minimum Number of Unique Grid Points for Constant Density Grid |
|--|--|--|
| 0.3dB  | 10226 (2.5° step size)   | 7000   |
| 0.4dB  | N/A  | 5000   |
| 0.5dB  | 7082 (3° step size)  | 4500   |
| 0.6dB  | N/A  | 3500   |
| 0.7dB  | 4902 (3.6° step size)  | 3000   |

### M.2.1.2 Power class 2 devices

TBD

### M.2.1.3 Power class 3 devices

In order to make a reasonable trade-off between measurement uncertainties, at least 800 (constant density grid with charged particle implementation) or 1106 (constant step size grid) measurement grid points shall be used for beam peak search procedures. For better measurement uncertainties, finer measurement grids as shown below may be used. Choice of grids among these 2 types of grids is up to test system implementation.

**Table M.2.1.3-1: Minimum number of unique grid points for sample systematic errors (non-sparse antenna arrays)**

| Systematic Error of 'Beam Peak Search': Offset from Beam Peak at which CDF is 5% | Minimum Number of Unique Grid Points for Constant Step Size Grid | Minimum Number of Unique Grid Points for Constant Density Grid (charged particle implementation) |
|--|--|--|
| 0.2dB  | 2522 (5° step size)  | 2000   |
| 0.3dB  | 1742 (6° step size)  | 1500   |
| 0.4dB  | N/A  | 1000   |
| 0.5dB  | 1106 (7.5° step size)  | 800  |

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 4$  and  $N \leq 2$  can utilize either of the following minimum number of grid points with the same systematic error of 'Beam Peak Search' of 0.5dB for beam peak search procedures:

- 310 (constant density grid with charged particle implementation) measurement grid points.
- 422 (constant step size grid with  $\Delta\theta=\Delta\phi=12.0^\circ$ ) measurement grid points.

### M.2.1.4 Power class 4 devices

TBD

### M.2.1.5 Power class 5 devices

The same measurement grids as in Clause M.2.1.1 apply.

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 6$  and  $N \leq 6$  can utilize either of the following minimum number of grid points with the same systematic error of 'Beam Peak Search' of 0.7dB for beam peak search procedures:

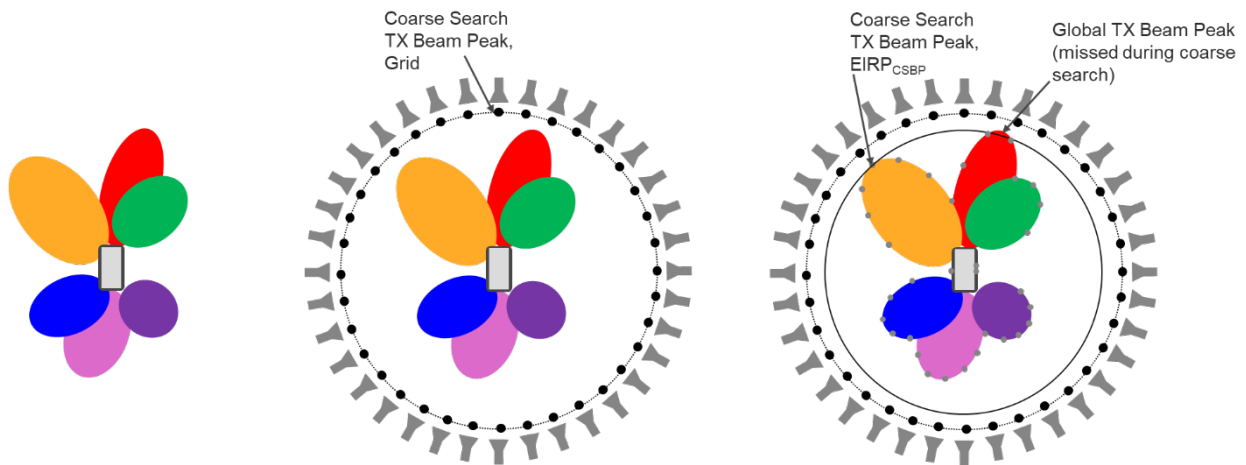
- Constant density grid (using the charged particle implementation) with at least 750 grid points.
- Constant step size grid with at least 1106 grid points, corresponding to an angular step size of  $7.5^\circ$ .

## M.2.2 Coarse and fine measurement grids

The baseline beam peak search is based on a single and fine beam peak search grid to determine the TX/RX beam peak of the DUT in any given direction. This means that even in sectors where poor EIRP/EIS performance is observed, a very fine grid is used to search for the TX/RX beam peak.

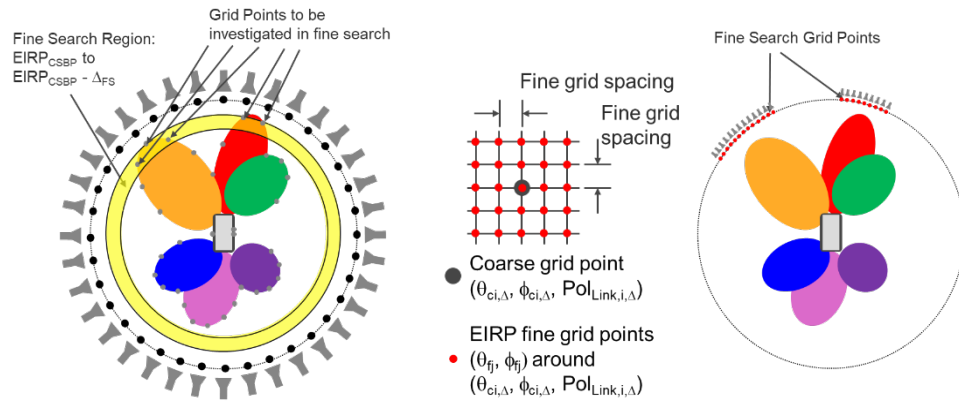
An optimized approach, based on an initial coarse search followed by a subsequent fine search could reduce the number of beam peak search grid points significantly. The basis for this approach is to use a coarse grid with fewer number of points than the ones described in section M.2.1 in the first stage to identify candidate regions that contain the global beam peak and search for the global beam peak with the fine grid in the second stage with a minimum number of points described in section M.2.1.

As an example, Figure M.2.2-1 illustrates the coarse and fine measurement grid approach applied to TX beam search; while this illustration is for EIRP, it can easily be extended to RX beam peak search using EIS or throughput metrics. For simplification purposes, 2D coarse and fine searches are illustrated but the concept can be extended to 3D easily. The UE is assumed to form a total of six beams in the 2D plane as illustrated on the left of Figure M.2.2-1. In the centre of Figure M.2.2-1, the 36 coarse beam peak search grid points in the 2D plane are illustrated. On the right, the grey circles on the respective antenna patterns illustrate the measured EIRP values towards each coarse grid point direction based on the respective beam steering directions. This illustration shows that the EIRP beam peak of the coarse search,  $EIRP_{CSBP}$ , is found to be the peak of the orange beam while the global TX beam peak (red beam) was not identified due to the coarse sampling of the grid points.



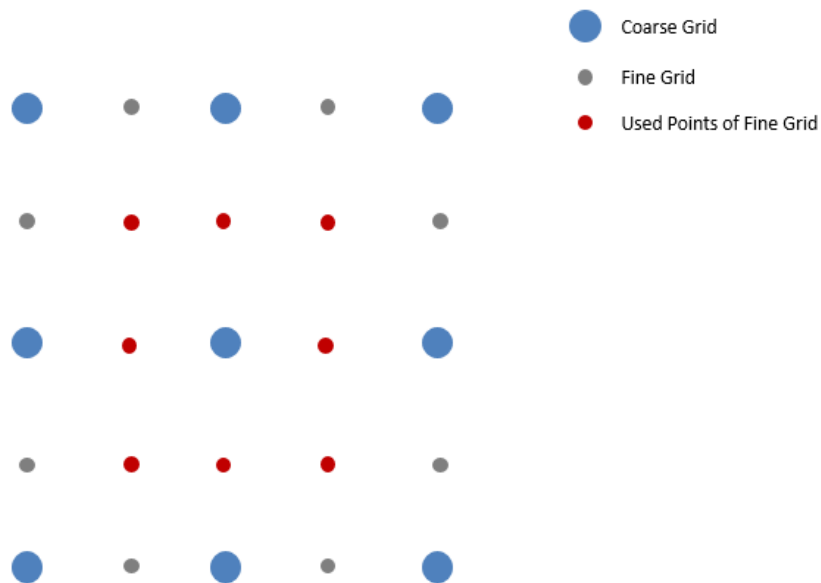
**Figure M.2.2-1: Illustration of the Coarse Search Approach for TX Beam Peak Search. Left: Antenna Pattern assumptions in 2D, Centre: Coarse beam peak search grid points/discrete antenna measurement positions, Right: TX beam EIRP measurements per grid point**

The proposed fine search approach is illustrated further in Figure M.2.2-2. A fine search region starting from the beam peak identified in the coarse search,  $EIRP_{CSBP}$ , over a range of  $\Delta_{FS}$  is used to identify the regions that need to be investigated more closely with the fine search algorithm. The fine search range  $\Delta_{FS}$  is a function of the angular spacing of the coarse beam peak search grid as well as the beam width of the reference antenna pattern considered for smartphone UEs.

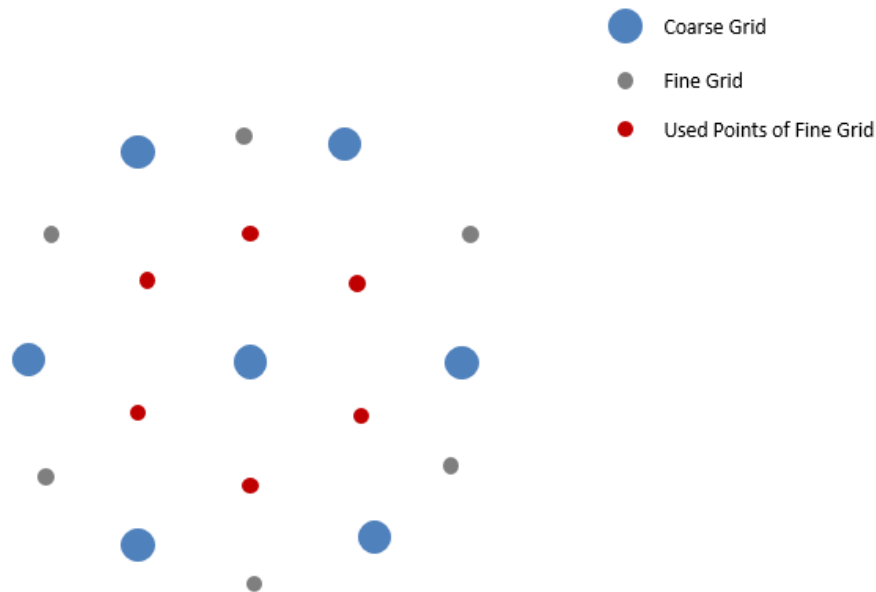


**Figure M.2.2-2: Illustration of the fine beam peak search grid. Left: identify the measurement grid points that yielded EIRP values within the fine search region, right: placement of fine beam peak search grid points**

Figure M.2.2-3 illustrates coarse and fine grids for constant step size measurement grids while Figure M.2.2-4 illustrates the same for constant density grid.



**Figure M.2.2-3: Illustration: Coarse & Fine Constant Step Size Grids**



**Figure M.2.2-4: Illustration: Coarse & Fine Constant Density Grids**

The metric using a coarse & fine grid approach for the TX beam peak search is EIRP for both grids. For RX beam peak search either EIS or Throughput could be used for coarse grids while only EIS for fine grid,

## M.3 Spherical Coverage Grid

*Editor's note: Other implementations are not precluded as far as the respective analysis are presented and included in this TS*

### M.3.1 EIRP spherical coverage

#### M.3.1.1 UE Power classes

##### M.3.1.1.1 Power class 1 devices

In order to make a reasonable trade-off with measurement uncertainties, it is recommended to use the following recommendation in terms of min. number of grid points, standard deviation, and mean error for spherical coverage grids:

- constant density grid (using the charged particle implementation) with at least 200 grid points: standard deviation (MU element 'Influence of spherical coverage grid') of 0.13dB and 0.04dB Mean Error
- constant step size grid with at least 266 grid points: standard deviation (MU element 'Influence of spherical coverage grid') of 0.12dB and 0.06dB Mean Error

For better measurement uncertainties, finer measurement grids as shown in Tables M.3.1.1.1-1 and M.3.1.1.1-2 may be used. Choice of grids among these 2 types of grids is up to test system implementation.

There is no need to have the Tx beam peak placed on a measurement grid point.

For constant step size measurement grids, the CDF analyses require the PDFs to be scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1.

**Table M.3.1.1.1-1: Statistical results of EIRP<sub>85%CDF</sub> for the 12x12 antenna array for constant step size measurement grids and the beam peak oriented in completely random orientations.**

| Step Size [°] | Number of unique grid points | Std. Dev [dB] | Mean Error  [dB] |
|---------------|------------------------------|---------------|------------------|
| 12            | 422                          | 0.10          | 0.03             |
| 15            | 266                          | 0.12          | 0.06             |
| 20            | 146                          | 0.23          | 0.05             |

**Table M.3.1.1.1-2: Statistical results of EIRP<sub>85%CDF</sub> for the 12x12 antenna array for constant density measurement grids and the beam peak oriented in completely random orientations.**

| Number of unique grid points | Std. Dev [dB] | Mean Error  [dB] |
|------------------------------|---------------|------------------|
| 150                          | 0.15          | 0.06             |
| 175                          | 0.13          | 0.04             |
| 200                          | 0.13          | 0.04             |

### M.3.1.1.2 Power class 2 devices

TBD

### M.3.1.1.3 Power class 3 devices

In order to make a reasonable trade-off between measurement uncertainties, at least 200 (constant density grid with charged particle implementation) or 266 (constant step size grid) measurement grid points shall be used for EIRP spherical coverage procedure. For better measurement uncertainties, finer measurement grids as shown below may be used. Choice of grids among these 2 types of grids is up to test system implementation.

There is no need to have the Tx beam peak placed on a measurement grid point.

For constant step size measurement grids, the CDF analyses require the PDFs to be scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1.

**Table M.3.1.1.3-1: Statistical results of EIRP<sub>50%CDF</sub> for the 8x2 antenna array for constant density measurement grids (with charged particle implementation) and the beam peak oriented in completely random orientations errors (non-sparse antenna arrays)**

| Number of unique grid points | STD [dB] | Mean Error  [dB] |
|------------------------------|----------|------------------|
| 200                          | 0.11     | 0.02             |
| 300                          | 0.08     | 0.01             |
| 400                          | 0.07     | 0.01             |
| 500                          | 0.06     | 0.01             |

**Table M.3.1.1.3-2: Statistical results of EIRP50%CDF for the 8x2 antenna array for constant step size measurement grids and the beam peak oriented in completely random orientations errors (non-sparse antenna arrays)**

| Step Size [°] | Number of unique grid points | STD [dB] | Mean Error  [dB] |
|---------------|------------------------------|----------|------------------|
| 9             | 762                          | 0.05     | 0.00             |
| 10            | 614                          | 0.06     | 0.00             |
| 12            | 422                          | 0.07     | 0.01             |
| 15            | 266                          | 0.12     | 0.01             |

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 4$  and  $N \leq 2$  can utilize either of the following minimum number of grid points for spherical coverage procedures:

- 180 (constant density grid with charged particle implementation) measurement grid points with std. deviation of 0.12dB.
- 266 (constant step size grid with  $\Delta\theta=\Delta\phi=15.0^\circ$ ) measurement grid points with std. deviation of 0.11dB.

#### M.3.1.1.4 Power class 4 devices

TBD

#### M.3.1.1.5 Power class 5 devices

The same measurement grids as in Clause M.3.1.1.1 apply.

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 6$  and  $N \leq 6$  can utilize either of the following minimum number of grid points for spherical coverage procedures:

- constant density grid (using the charged particle implementation) with at least 200 grid points: standard deviation (MU element ‘Influence of spherical coverage grid’) of 0.13dB.
- constant step size grid with at least 266 grid points: standard deviation (MU element ‘Influence of spherical coverage grid’) of 0.12dB.

## M.3.2 EIS spherical coverage

### M.3.2.1 UE Power classes

#### M.3.2.1.1 Power class 1 devices

In order to make a reasonable trade-off with measurement uncertainties, it is recommended to use the following recommendation in terms of min. number of grid points, standard deviation, and mean error for spherical coverage grids:

- constant density grid (using the charged particle implementation) with at least 200 grid points: standard deviation (MU element ‘Influence of spherical coverage grid’) of 0.13dB and 0.04dB Mean Error
- constant step size grid with at least 266 grid points: standard deviation (MU element ‘Influence of spherical coverage grid’) of 0.12dB and 0.06dB Mean Error

- the MU element ‘Systematic error related to EIS spherical coverage’ is the DL step size, i.e., 0.2dB.

Choice of grids among these 2 types of grids is up to test system implementation.

There is no need to have the Rx beam peak placed on a measurement grid point.

For constant step size measurement grids, the CCDF analyses require the PDFs to be scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1.

### M.3.2.1.2 Power class 2 devices

TBD

### M.3.2.1.3 Power class 3 devices

In order to make a reasonable trade-off between measurement uncertainties, at least 200 (constant density grid with charged particle implementation) or 266 (constant step size grid) measurement grid points shall be used for EIS spherical coverage procedure. For better measurement uncertainties, finer measurement grids as shown below may be used. Choice of grid(s) among these 2 types of grids is up to test system implementation.

There is no need to have the Rx beam peak placed on a measurement grid point.

For constant step size measurement grids, the CCDF analyses require the PDFs to be scaled by  $\sin(\theta)$  or the normalized Clenshaw-Curtis weights  $W(\theta)/W(90^\circ)$ , introduced in Section M.4.2.1.

**Table M.3.2.1.3-1: Statistical results of EIS50%CDF for the 8x2 antenna array for constant step size measurement grids and the beam peak oriented in completely random orientations errors (non-sparse antenna arrays)**

|               |                              | DL Power Step Size: infinitesimal |                  | DL Power Step Size: 0.1dB |                  | DL Power Step Size: 0.5dB |                  | DL Power Step Size: 1dB |                  |
|---------------|------------------------------|-----------------------------------|------------------|---------------------------|------------------|---------------------------|------------------|-------------------------|------------------|
| Step Size [°] | Number of unique grid points | STD [dB]                          | Mean Error  [dB] | STD [dB]                  | Mean Error  [dB] | STD [dB]                  | Mean Error  [dB] | STD [dB]                | Mean Error  [dB] |
| 6.0           | 1742                         | 0.03                              | 0.00             | 0.03                      | 0.10             | 0.03                      | 0.50             | 0.02                    | 1.02             |
| 9.0           | 762                          | 0.05                              | 0.00             | 0.05                      | 0.10             | 0.05                      | 0.50             | 0.04                    | 1.02             |
| 10.0          | 614                          | 0.06                              | 0.00             | 0.06                      | 0.10             | 0.06                      | 0.50             | 0.05                    | 1.02             |
| 12.0          | 422                          | 0.08                              | 0.01             | 0.07                      | 0.10             | 0.07                      | 0.50             | 0.07                    | 1.02             |
| 15.0          | 266                          | 0.12                              | 0.02             | 0.12                      | 0.10             | 0.11                      | 0.50             | 0.10                    | 1.02             |

**Table M.3.2.1.3-2: Statistical results of EIS50%CDF for the 8x2 antenna array for constant density measurement grids (with charged particle implementation) and the beam peak oriented in completely random orientations errors (non-sparse antenna arrays)**

|  | DL Power Step Size: infinitesimal | DL Power Step Size: 0.1dB | DL Power Step Size: 0.5dB | DL Power Step Size: 1dB |
|--|-----------------------------------|---------------------------|---------------------------|-------------------------|
|--|-----------------------------------|---------------------------|---------------------------|-------------------------|



| Number of unique grid points | STD [dB] | Mean Error  [dB] | STD [dB] | Mean Error  [dB] | STD [dB] | Mean Error  [dB] | STD [dB] | Mean Error  [dB] |
|------------------------------|----------|------------------|----------|------------------|----------|------------------|----------|------------------|
| 200                          | 0.10     | 0.02             | 0.10     | 0.10             | 0.10     | 0.50             | 0.09     | 1.01             |
| 300                          | 0.08     | 0.01             | 0.08     | 0.10             | 0.08     | 0.50             | 0.07     | 1.01             |
| 400                          | 0.06     | 0.01             | 0.06     | 0.10             | 0.06     | 0.50             | 0.05     | 1.01             |
| 500                          | 0.06     | 0.01             | 0.06     | 0.10             | 0.06     | 0.50             | 0.05     | 1.01             |

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 4$  and  $N \leq 2$  can utilize either of the following minimum number of grid points for spherical coverage procedures:

- 180 (constant density grid with charged particle implementation) measurement grid points with std. deviation of 0.12dB.
- 266 (constant step size grid with  $\Delta\theta=\Delta\phi=15.0^\circ$ ) measurement grid points with std. deviation of 0.11dB.

#### M.3.2.1.4 Power class 4 devices

TBD

#### M.3.2.1.5 Power class 5 devices

The same measurement grids as in Clause M.3.2.1.1 apply.

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 6$  and  $N \leq 6$  can utilize either of the following minimum number of grid points for spherical coverage procedures:

- constant density grid (using the charged particle implementation) with at least 200 grid points: standard deviation (MU element ‘Influence of spherical coverage grid’) of 0.13dB
- constant step size grid with at least 266 grid points: standard deviation (MU element ‘Influence of spherical coverage grid’) of 0.12dB.
- the MU element ‘Systematic error related to EIS spherical coverage’ is the DL step size, i.e., 0.2dB

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## M.4 TRP Measurement Grid

**Editor’s note: Other implementations are not precluded as far as the respective analysis are presented and included in this TS**

### M.4.1 UE Power Classes

#### M.4.1.1 Power class 1 devices

In order to make a reasonable trade-off between measurement uncertainties, at least the following number of points shall be included in the measurement grid for TRP measurements PC1 UEs based on the assumption that the standard deviation does not exceed 0.25dB. If the re-positioning concept is not applied to TRP test cases:

- 500 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.25 dB

- 25 latitudes and 48 longitudes (1106 unique grid points) for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.10dB with the allowance to skip and interpolate measurements at the pole at  $\theta=180^\circ$ , see Annex M.4.4
- 25 latitudes and 48 longitudes (1106 unique grid points) for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.07dB with the allowance to skip and interpolate measurements at the pole at  $\theta=180^\circ$ , see Annex M.4.4

If the re-positioning concept is applied to TRP test cases:

- 500 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.25 dB with the allowance to skip and interpolate measurements for  $\theta \geq 150^\circ$ , see Annex M.4.4
- 25 latitudes and 48 longitudes (1106 unique grid points) for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.09dB with the allowance to skip and interpolate measurements for  $\theta \geq 157.5^\circ$ , see Annex M.4.4
- 25 latitudes and 48 longitudes (1106 unique grid points) for constant step size grid – Clenshaw-Curtis weights integration approach, with standard deviation of 0.03dB with the allowance to skip and interpolate measurements for  $\theta \geq 157.5^\circ$ , see Annex M.4.4
- 21 latitudes and 40 longitudes (762 unique grid points) for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.24 dB with the allowance to skip and interpolate measurements for  $\theta \geq 153^\circ$ , see Annex M.4.4

### M.4.1.2 Power class 2 devices

TBD

### M.4.1.3 Power class 3 devices

In order to make a reasonable trade-off between measurement uncertainties, at least the following number of points should be included in the measurement grid for TRP measurements for non-sparse antenna arrays case. If the re-positioning concept is not applied to TRP test cases:

- 135 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.23 dB
- 12 latitudes and 19 longitudes for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.25dB with the allowance to skip and interpolate measurements at the pole at  $\theta=180^\circ$ .
- 12 latitudes and 19 longitudes for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.20 dB with the allowance to skip and interpolate measurements at the pole at  $\theta=180^\circ$ .

If the re-positioning concept is applied to TRP test cases:

- 135 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.23 dB with the allowance to skip and interpolate measurements for  $\theta \geq 165^\circ$ , see Annex M.4.4
- 150 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.25 dB with the allowance to skip and interpolate measurements for  $\theta \geq 150^\circ$ , see Annex M.4.4
- 12 latitudes and 19 longitudes for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.25dB with the allowance to skip and interpolate measurements the at pole at  $\theta=180^\circ$ , see Annex M.4.4
- 12 latitudes and 19 longitudes for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.20 dB with the allowance to skip and interpolate measurements the at pole at  $\theta=180^\circ$ , see Annex M.4.4

- 13 latitudes and 24 longitudes for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.21dB with the allowance to skip and interpolate measurements for  $\theta \geq 165^\circ$ , see Annex M.4.4
- 13 latitudes and 24 longitudes for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.15 dB with the allowance to skip and interpolate measurements for  $\theta \geq 165^\circ$ , see Annex M.4.4.

Choice of grid(s) among above 3 types of grids is up to test system implementation.

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 4$  and  $N \leq 2$  can utilize either of the following minimum number of grid points for TRP procedures without the repositioning approach:

- 50 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.14 dB.
- 80 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.23 dB with the allowance to skip and interpolate measurements for  $\theta \geq 165^\circ$ , see Annex M.4.4.
- 8 latitudes and 14 longitudes (84 unique number of grid points) for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.25dB with the allowance to skip and interpolate measurements at the pole at  $\theta = 180^\circ$ .
- 8 latitudes and 14 longitudes (84 unique number of grid points) for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.20 dB with the allowance to skip and interpolate measurements at the pole at  $\theta = 180^\circ$ .

Either of the following minimum number of grid points for TRP procedures apply if the re-positioning is applied:

- 50 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.14 dB with the allowance to skip and interpolate measurements for  $\theta \geq 150^\circ$ , see Annex M.4.4.
- 7 latitudes and 12 longitudes (62 unique number of grid points) for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.20 dB with the allowance to skip and interpolate measurements the at pole at  $\theta = 180^\circ$ , see Annex M.4.4.
- 8 latitudes and 14 longitudes (86 unique number of grid points) for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.25dB with the allowance to skip and interpolate measurements for  $\theta \geq 154.29^\circ$ , see Annex M.4.4.
- 8 latitudes and 14 longitudes (86 unique number of grid points) for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.09 dB with the allowance to skip and interpolate measurements for  $\theta \geq 128.58^\circ$ , see Annex M.4.4.

Choice of grid(s) among above 3 types of grids is up to test system implementation.

#### M.4.1.4 Power class 4 devices

TBD

#### M.4.1.5 Power class 5 devices

The same measurement grids as in Clause M.4.1.1 apply.

Based on an optional vendor declaration with respect to the antenna array configuration, devices with an  $M \times N$  ( $M \geq N$ ) configuration with  $M \leq 6$  and  $N \leq 6$  can utilize either of the following minimum number of grid points for TRP procedures without the repositioning approach:

- 150 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.13 dB
- 13 latitudes and 24 longitudes (266 unique grid points) for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.20dB with the allowance to skip and interpolate measurements at the pole at  $\theta=180^\circ$ , see Annex M.4.4.
- 13 latitudes and 24 longitudes (266 unique grid points) for constant step size grid – Clenshaw Curtis weights integration approach, with standard deviation of 0.15dB with the allowance to skip and interpolate measurements at the pole at  $\theta=180^\circ$ , see Annex M.4.4.

Either of the following minimum number of grid points for TRP procedures apply if the re-positioning is applied:

- 150 measurement grid points for constant density grid – Charged Particle implementation, with standard deviation of 0.13 dB with the allowance to skip and interpolate measurements for  $\theta \geq 150^\circ$ , see Annex M.4.4
- 13 latitudes and 24 longitudes (266 unique grid points) for constant step size grid – sin (theta) weights integration approach, with standard deviation of 0.19dB with the allowance to skip and interpolate measurements for  $\theta \geq 150^\circ$ , see Annex M.4.4
- 13 latitudes and 24 longitudes (266 unique grid points) for constant step size grid – Clenshaw-Curtis weights integration approach, with standard deviation of 0.04dB with the allowance to skip and interpolate measurements for  $\theta \geq 150^\circ$ , see Annex M.4.4.

## M.4.2 TRP Integration for Constant Step Size Grid Type

Different approaches to perform the TRP integration from the respective EIRP measurements are outlined in the next sub clauses for the constant step size grid type.

### M.4.2.1 TRP Integration using Weights

In many engineering disciplines, the integral of a function needs to be solved using numerical integration techniques, commonly referred to as “quadrature”. Here, the approximation of the integral of a function is usually stated as a weighted sum of function values at specified points within the domain of integration. The derivation from the closed surface TRP integral

$$TRP = \frac{1}{4\pi} \int_S EIRP(\theta, \phi) \sin\theta \, d\theta \, d\phi$$

to the classical discretized summation equation used for OTA

$$TRP \approx \frac{\pi}{2NM} \sum_{i=1}^{N-1} \sum_{j=0}^{M-1} [EIRP_\theta(\theta_i, \phi_j) + EIRP_\phi(\theta_i, \phi_j)] \sin\theta_i \Delta\theta \Delta\phi$$

The weights for this integral are based on the  $\sin\theta \cdot \Delta\theta$  weights. More accurate implementations are based on the Clenshaw-Curtis quadrature integral approximation based on an expansion of the integrand in terms of Chebyshev polynomials. This implementation does not ignore the measurement points at the poles ( $\theta=0^\circ$  and  $180^\circ$ ) where  $\sin\theta = 0$ . The discretized TRP can be expressed as

$$TRP \approx \frac{1}{2M} \sum_{i=0}^N \sum_{j=0}^{M-1} [EIRP_\theta(\theta_i, \phi_j) + EIRP_\phi(\theta_i, \phi_j)] \Delta\theta \Delta\phi$$

which the  $\sin\theta \cdot \Delta\theta$  weights replaced by a weight function  $W(\theta)$  and extends the sum over  $i$  to include the poles. There is no simple closed-form expression for the Clenshaw-Curtis weights; however, a numerical straightforward approach is available, i.e.,

$$W(\theta_i) = \frac{c_i}{N} - \sum_{j=1}^{\text{int}(\frac{N}{2})} \frac{b_j}{4j^2 - 1} \cos(2j\theta_i)$$

with

$$b_j = \begin{cases} 1, & 2j = N \\ 2, & \text{otherwise} \end{cases}$$

and

$$c_i = \begin{cases} 1, & i = 0 \vee N \\ 2, & \text{otherwise} \end{cases}$$

The Clenshaw-Curtis weights are compared to the classical  $\sin\theta \cdot \Delta\theta$  weights in Tables M.4.2.1-1 and M.4.2.1-2 for two different numbers of latitudes. The TRP measurement grid consists of  $N+1$  latitudes and  $M$  longitudes with

$$\theta_i = i \Delta\theta \text{ where } \Delta\theta = \frac{\pi}{N}$$

and

$$\phi_j = j \Delta\phi \text{ where } \Delta\phi = \frac{2\pi}{M}$$

**Table M.4.2.1-1: Samples and weights for the classical  $\sin\theta \cdot \Delta\theta$  weighting and Clenshaw-Curtis quadratures with 12 latitudes ( $\Delta\theta=16.4^\circ$ )**

| Classical $\sin\theta \cdot \Delta\theta$ |         | Clenshaw-Curtis |         |
|---|---------|-----------------|---------|
| $\theta$ [deg]                            | Weights | $\theta$ [deg]  | Weights |
| 0   | 0       | 0               | 0.008   |
| 16.4                                      | 0.08    | 16.4            | 0.079   |
| 32.7                                      | 0.154   | 32.7            | 0.155   |
| 49.1                                      | 0.216   | 49.1            | 0.216   |
| 65.5                                      | 0.26    | 65.5            | 0.26    |
| 81.8                                      | 0.283   | 81.8            | 0.283   |
| 98.2                                      | 0.283   | 98.2            | 0.283   |
| 114.6                                     | 0.26    | 114.6           | 0.26    |
| 130.9                                     | 0.216   | 130.9           | 0.216   |
| 147.3                                     | 0.154   | 147.3           | 0.155   |
| 163.6                                     | 0.08    | 163.6           | 0.079   |
| 180                                       | 0       | 180             | 0.008   |

**Table M.4.2.1-2: Samples and weights for the classical  $\sin \theta \cdot \Delta \theta$  weighting and Clenshaw-Curtis quadratures with 13 latitudes ( $\Delta \theta = 15^\circ$ )**

| Classical $\sin \theta \cdot \Delta \theta$ |         | Clenshaw-Curtis |         |
|---|---------|-----------------|---------|
| $\theta$ [deg]                              | Weights | $\theta$ [deg]  | Weights |
| 0   | 0       | 0               | 0.007   |
| 15  | 0.0678  | 15              | 0.0661  |
| 30  | 0.1309  | 30              | 0.1315  |
| 45  | 0.1851  | 45              | 0.1848  |
| 60  | 0.2267  | 60              | 0.227   |
| 75  | 0.2529  | 75              | 0.2527  |
| 90  | 0.2618  | 90              | 0.262   |
| 105   | 0.2529  | 105             | 0.2527  |
| 120   | 0.2267  | 120             | 0.227   |
| 135   | 0.1851  | 135             | 0.1848  |
| 150   | 0.1309  | 150             | 0.1315  |
| 165   | 0.0678  | 165             | 0.0661  |
| 180   | 0       | 180             | 0.007   |

### M.4.3 TRP Integration for Constant Density Grid Types

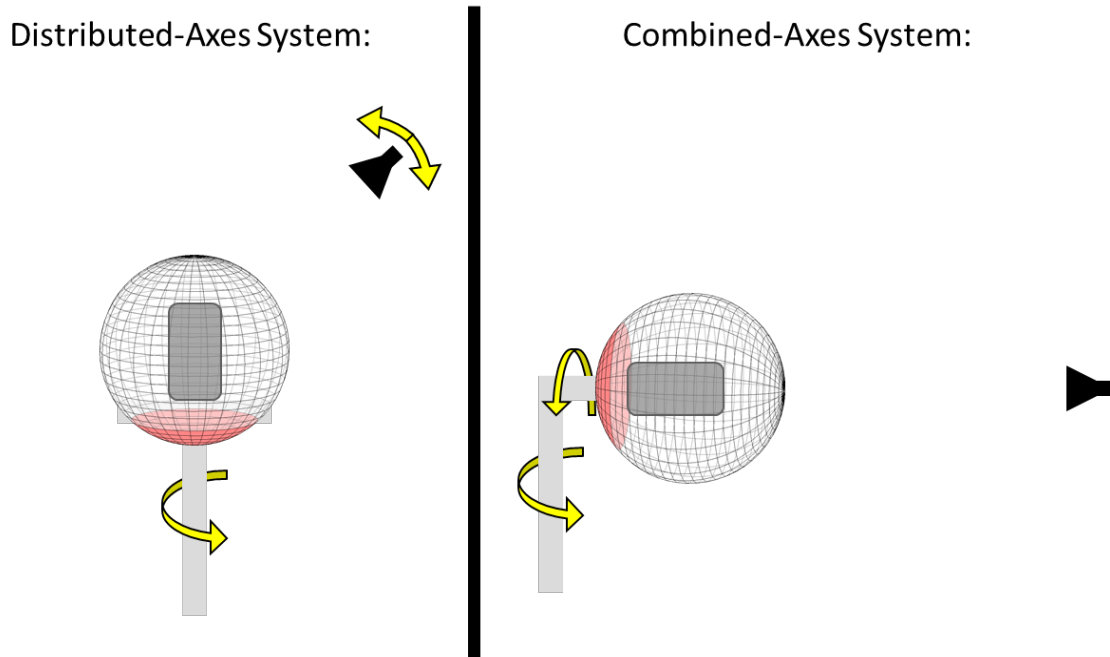
For constant density grid types, the TRP integration should ideally take into account the area of the Voronoi region surrounding each grid point. Assuming an ideal constant density configuration of the grid points, the TRP can be approximated using

$$TRP \approx \frac{1}{N} \sum_{i=0}^{N-1} EIRP_{\theta}(\theta_i, \phi_i) + EIRP_{\phi}(\theta_i, \phi_i) \Delta$$

where N is the number of grid points of the constant density grid type.

### M.4.4 Interpolation at or near the Pole

As illustrated in Figure M.4.4-1, for systems that either do not allow measurements at the pole ( $\theta=180^\circ$ ), e.g., using distributed-axes positioners, or systems that have the positioners/support structures block the radiation towards the pole ( $\theta=180^\circ$ ), e.g., combined-axes positioners, measurements beyond  $150^\circ$  in  $\theta$  can be skipped and interpolated instead for measurement grids defined in Annex M.4.1.



**Figure M.4.4-1: Illustration of areas around the pole that either cannot be reached by the measurement antenna or are blocked by the positioner**

## M.4.5 TRP Grids for Spurious Emissions

The worst antenna array assumptions for the MU simulations are outlined in Tables M.4.5-1 and M.4.5-2 for PC1, PC3, and PC5 with the antenna configurations per power class listed in Table M.4.5-2c.

**Table M.4.5-1: Single Antenna Element Radiation Pattern for spurious emission measurements for PC1, PC3, and PC5**

|  |   |
|--|---|
| Antenna element horizontal radiation pattern           | $A_{E,H}(\phi) = -\min \left[ 12 \left( \frac{\phi}{\phi_{3dB}} \right)^2, A_m \right] \text{ dB}$<br>, $A_m = 25 \text{ dB}$     |
| Horizontal half-power beam width of single element     | 90°   |
| Antenna element vertical radiation pattern             | $A_{E,V}(\theta) = -\min \left[ 12 \left( \frac{\theta - 90}{\theta_{3dB}} \right)^2, SLA_v \right]$<br>, $SLA_v = 25 \text{ dB}$ |
| Vertical half-power beam width of single array element | 90°   |
| Array element radiation pattern                        | $A_E(\varphi, \theta) = G_{E,max} - \min \left[ - \left[ A_{E,H}(\varphi) + A_{E,V}(\theta) \right], A_m \right]$                 |
| Element gain without antenna losses                    | $G_{E,max} = 5 \text{ dBi}$   |

**Table M.4.5-1a: Void**

**Table M.4.5-2: Composite Antenna Array Radiation Pattern for spurious emission measurements for PC1, PC3, and PC5**

|   |  |
|---|--|
| Composite array radiation pattern in dB $A_A(\theta, \phi)$ | $A_{A, Beam i}(\theta, \phi) = A_E(\theta, \phi) + 10 \log_{10} \left( \left  \sum_{m=1}^{N_H} \sum_{n=1}^{N_V} w_{i,n,m} v_{n,m} \right ^2 \right)$ <p>the super position vector is given by:</p> $v_{n,m} = \exp \left( i \cdot 2\pi \left( (n-1) \cdot \frac{d_V}{\lambda} \cdot \cos(\theta) + (m-1) \cdot \frac{d_H}{\lambda} \cdot \sin(\theta) \cdot \sin(\phi) \right) \right),$ $n = 1, 2, \dots, N_V; m = 1, 2, \dots, N_H;$ <p>the weighting is given by:</p> $w_{i,n,m} = \frac{1}{\sqrt{N_H N_V}} \exp \left( i \cdot 2\pi \left( (n-1) \cdot \frac{d_V}{\lambda} \cdot \sin(\theta_{i,etilt}) - (m-1) \cdot \frac{d_H}{\lambda} \cdot \cos(\theta_{i,etilt}) \cdot \sin(\phi_{i,escan}) \right) \right)$ |
| Antenna array configuration (Row×Column)                    | M x N  |
| Horizontal radiating element spacing, $d_h/\lambda$         | 1  |
| Vertical radiating element spacing, $d_v/\lambda$           | 1  |

**Table M.4.5-2a: Void**

**Table M.4.5-2c: Antenna Configuration Assumptions for Different Power Classes**

| Power Class  | M  | N  |
|--|----|----|
| PC1  | 12 | 12 |
| PC3  | 8  | 2  |
| PC3 (Alternate)  | 4  | 2  |
| PC5  | 12 | 12 |
| PC5 (Alternate)  | 6  | 6  |
| Note: The alternate grids are based on an optional vendor declaration, see Table A.4.3.9-10 in [11] for PC3 and Table A.4.3.9-10a in [11] for PC5. |    |    |

The fine TRP measurement grid selection for spurious emissions is up to test system implementation but shall meet the criteria shown in Table M.4.5-3 for PC1, PC3, and PC5.

**Table M.4.5-3: Fine TRP measurement grid requirement for spurious emission measurements**



| Power Class | Antenna Assumption   | Grid Type                   | Standard Deviation of MU Element 'Influence of TRP Measurement' | Systematic error due to TRP calculation/quadrature | Number of unique grid points |
|-------------|--|-----------------------------|---|--|------------------------------|
| PC1         | 12x12  | Constant Density            | 0.23  | 0dB  | 1600                         |
|             |  | Constant-Step Size – sin(θ) | 0.21  | 0dB  | 2522 (Δθ=Δφ=5°)              |
|             |  | Constant-Step Size – CC     | 0.21  | 0dB  | 2522 (Δθ=Δφ=5°)              |
| PC3         | 8x2  | Constant Density            | 0.29  | 0dB  | 450                          |
|             |  | Constant-Step Size – sin(θ) | 0.29  | 0dB  | 614 (Δθ=Δφ=10°)              |
|             |  | Constant-Step Size – CC     | 0.28  | 0dB  | 614 (Δθ=Δφ=10°)              |
|             | 4x2 (alternate)  | Constant Density            | 0.30  | 0dB  | 125                          |
|             |  | Constant-Step Size – sin(θ) | 0.31  | 0dB  | 182 (Δθ=Δφ=18°)              |
|             |  | Constant-Step Size – CC     | 0.28  | 0dB  | 182 (Δθ=Δφ=18°)              |
| PC5         | 12x12  | Constant Density            | 0.23  | 0dB  | 1600                         |
|             |  | Constant-Step Size – sin(θ) | 0.21  | 0dB  | 2522 (Δθ=Δφ=5°)              |
|             |  | Constant-Step Size – CC     | 0.21  | 0dB  | 2522 (Δθ=Δφ=5°)              |
|             | 6x6 (alternate)  | Constant Density            | 0.25  | 0dB  | 400                          |
|             |  | Constant-Step Size – sin(θ) | 0.25  | 0dB  | 614 (Δθ=Δφ=10°)              |
|             |  | Constant-Step Size – CC     | 0.23  | 0dB  | 614 (Δθ=Δφ=10°)              |
| Note:       | The alternate grids are based on an optional vendor declaration, see Table A.4.3.9-10 in [11] for PC3 and Table A.4.3.9-10a in [11] for PC5. |                             |   |  |                              |

**Table M.4.5-3a: Void**

For spurious emissions, TRP measurements with measurement antennas displaced up to  $10^\circ$  from the focal point (based on electrical switching) in an IFF (based on CATR) test system, alternate TRP approaches for constant-step size grids are allowed for the coarse and fine grids:

- interpolation to the non-offset system coordinate system that allows the use of Clenshaw-Curtis or classical sin( $\theta$ ) quadratures
- use of the advanced Jacobian matrix quadrature approach that uses triangulations of the sphere

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## Annex N (normative): UE coordinate system

### N.1 Reference coordinate system

This annex defines the measurement coordinate system for the NR UE. The reference coordinate system as defined in IEEE Std 149 [27] is provided in Figure N.1-1 below while Figure N.1-2 shows an example DUT in the default alignment, i.e., the DUT and the reference coordinate systems are aligned with  $\alpha = 0^\circ$  and  $\beta = 0^\circ$  and  $\gamma = 0^\circ$  where  $\alpha$ ,  $\beta$ , and  $\gamma$  describe the relative angles between the two coordinate systems.

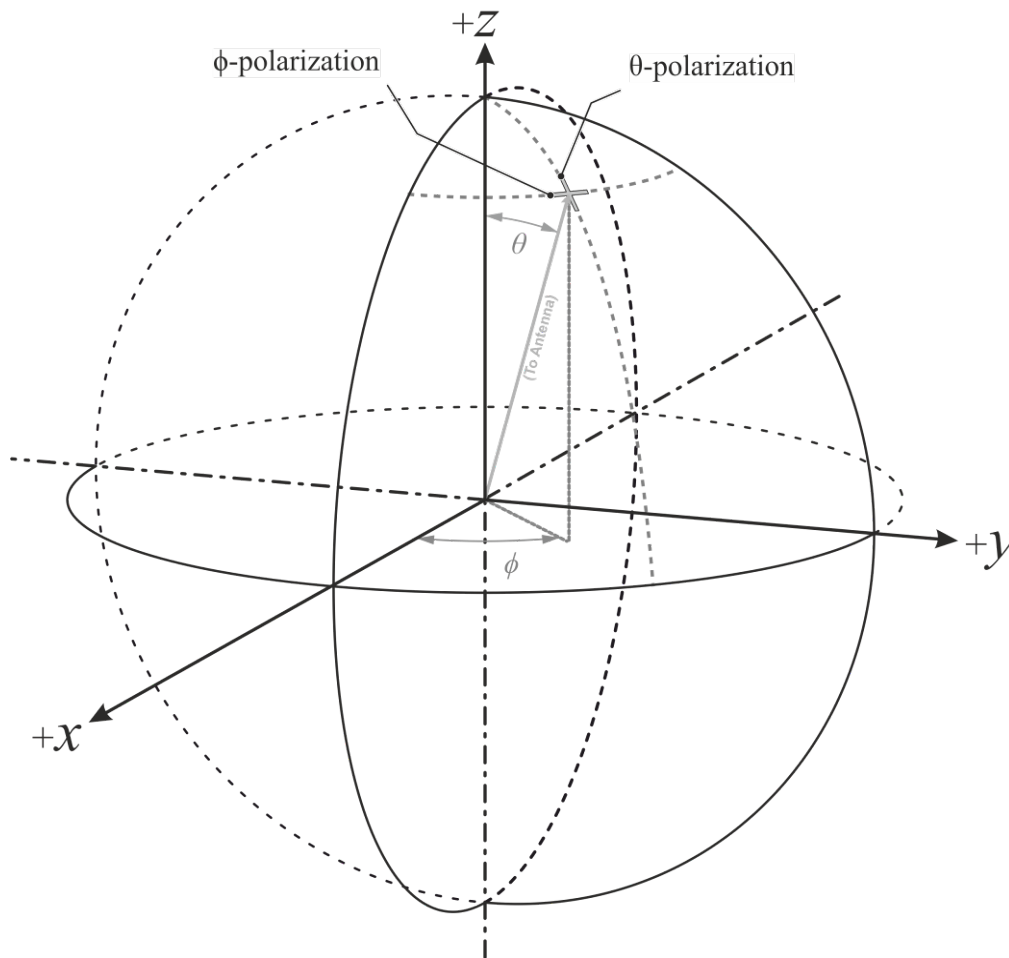
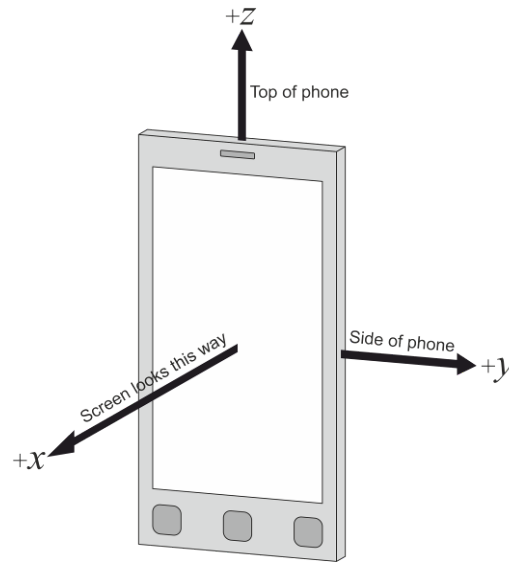


Figure N.1-1: Reference coordinate system



**Figure N.1-2: DUT default alignment of example smartphone UE to coordinate system**

The following aspects are necessary:

- A basic understanding of the top and bottom of the device is needed in order to define unambiguous DUT positioning requirements for the test, e.g., in the drawings used in this annex, the three buttons are on the bottom of the device (front) and the camera is on the top of the device (back).
- An understanding of the origin and alignment the coordinate system inside the test system i.e. the directions in which the x, y, z -axes points inside the test chamber is needed in order to define unambiguous DUT orientation, DUT beam, signal, interference, and measurement angles

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## N.2 Test conditions and angle definitions

Tables N.2-1 through N.2-3 below provides the test conditions and angle definitions for three permitted device alignment for smartphones and tablets for the default test condition, DUT orientation 1, and two different options for each permitted device alignment to re-position the device for DUT Orientation 2 as outlined in Figures N.2-1 and N.2-3.

**Table N.2-1: Test conditions and angle definitions for smartphones and tablets for Alignment Option 1**

| Test condition   | DUT orientation   | Link angle  | Measurement angle   | Diagram |
|--|---|---|---|---------|
| Free space DUT Orientation 1 (default)                                     | $\alpha = 0^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT Orientation 2 – Option 1 (based on re-positioning approach) | $\alpha = 180^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT Orientation 2 – Option 2 (based on re-positioning approach) | $\alpha = 0^\circ$ ;<br>$\beta = 180^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |

NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle.

NOTE 2: The combination of rotations is captured by matrix  $M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)$

**Table N.2-2: Test conditions and angle definitions for smartphones and tablets for Alignment Option 2**

| Test condition  | DUT orientation  | Link angle  | Measurement angle   | Diagram |
|---|--|---|---|---------|
| Free space DUT<br>Orientation 1<br>(default)  | $\alpha = 0^\circ$ ;<br>$\beta = -90^\circ$ ;<br>$\gamma = 0^\circ$  | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT<br>Orientation 2<br>– Option 1<br>(based on re-positioning approach)   | $\alpha = 180^\circ$ ;<br>$\beta = 90^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT<br>Orientation 2<br>– Option 2<br>(based on re-positioning approach)   | $\alpha = 0^\circ$ ;<br>$\beta = 90^\circ$ ;<br>$\gamma = 0^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle. |  |   |   |         |
| NOTE 2: The combination of rotations is captured by matrix $M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)$   |  |   |   |         |

**Table N.2-3: Test conditions and angle definitions for smartphones and tablets for Alignment Option 3**

| Test condition   | DUT orientation  | Link angle  | Measurement angle   | Diagram |
|--|--|---|---|---------|
| Free space DUT Orientation 1 (default)   | $\alpha = 90^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT Orientation 2 – Option 1 (based on re-positioning approach)   | $\alpha = -90^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$  | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT Orientation 2 – Option 2 (based on re-positioning approach)   | $\alpha = 90^\circ$ ;<br>$\beta = 180^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle.<br>NOTE 2: The combination of rotations is captured by matrix $M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)$ |  |   |   |         |

Table N.2-4 below provides the test conditions and angle definitions for the permitted device alignment for laptops for the default test condition, DUT orientation 1, and two different options for each permitted device alignment to re-position the device for DUT Orientation 2 as outlined in Figures N.3-1 and N.3-2. The display is open at a lid angle of  $110^\circ \pm 5^\circ$ , where lid angle is defined as the angle between the front of the display to the levelled base, and the full projected volume is centred inside the test volume.

**Table N.2-4: Test conditions and angle definitions for laptops**

| Test condition  | DUT orientation   | Link angle  | Measurement angle   | Diagram |
|---|---|---|---|---------|
| Free space DUT Orientation (default)  | $\alpha = 0^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT Orientation 2 – Option 1 (based on re-positioning approach)  | $\alpha = 180^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT Orientation 2 – Option 2 (based on re-positioning approach)  | $\alpha = 0^\circ$ ;<br>$\beta = 180^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle. |   |   |   |         |
| NOTE 2: The combination of rotations is captured by matrix $M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)$   |   |   |   |         |

Tables N.2-5 through N.2-7 below provides the test conditions and angle definitions for the three permitted device alignment options for Fixed Wireless Access (FWA) for the default test condition, DUT orientation 1, and two different options for each permitted device alignment to re-position the device for DUT Orientation 2 as outlined in Figures N.3-1 and N.3-2. Due to changes in DUT orientations  $\alpha$ ,  $\beta$ , and  $\gamma$  for the alignment options for FWA proposed in Tables N.2-6 through N.2-7 when compared to those in Tables N.2-2 through N.2-3, new alignment options, i.e., Options 4 and 5, were introduced.

**Table N.2-5: Test conditions and angle definitions for FWA for Alignment Option 1**

| Test condition   | DUT orientation   | Link angle  | Measurement angle   | Diagram |
|--|---|---|---|---------|
| Free space DUT<br>Orientation 1<br>(default)   | $\alpha = 0^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT<br>Orientation 2<br>– Option 1<br>(based on re-positioning approach)  | $\alpha = 180^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT<br>Orientation 2<br>– Option 2<br>(based on re-positioning approach)  | $\alpha = 0^\circ$ ;<br>$\beta = 180^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| <p>NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle.</p> <p>NOTE 2: The combination of rotations is captured by matrix <math>M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)</math></p> |   |   |   |         |



**Table N.2-6: Test conditions and angle definitions for FWA for Alignment Option 4**

| Test condition  | DUT orientation   | Link angle  | Measurement angle  | Diagram |
|---|---|---|--|---------|
| Free space DUT Orientation 1 (default)  | $\alpha = 90^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 90^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$    |         |
| Free space DUT Orientation 2 – Option 1 (based on re-positioning approach)  | $\alpha = -90^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = -90^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$    |         |
| Free space DUT Orientation 2 – Option 2 (based on re-positioning approach)  | $\alpha = -90^\circ$ ;<br>$\beta = 0^\circ$ ;<br>$\gamma = 90^\circ$  | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$<br>or<br>$\phi$ |         |
| NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle. |   |   |  |         |
| NOTE 2: The combination of rotations is captured by matrix $M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)$   |   |   |  |         |

**Table N.2-7: Test conditions and angle definitions for FWA for Alignment Option 5**

| Test condition   | DUT orientation   | Link angle  | Measurement angle   | Diagram |
|--|---|---|---|---------|
| Free space DUT<br>Orientation 1<br>(default)   | $\alpha = 0^\circ$ ;<br>$\beta = 90^\circ$ ;<br>$\gamma = 0^\circ$    | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT<br>Orientation 2<br>– Option 1<br>(based on re-positioning approach)  | $\alpha = 180^\circ$ ;<br>$\beta = -90^\circ$ ;<br>$\gamma = 0^\circ$ | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| Free space DUT<br>Orientation 2<br>– Option 2<br>(based on re-positioning approach)  | $\alpha = 0^\circ$ ;<br>$\beta = -90^\circ$ ;<br>$\gamma = 0^\circ$   | $\theta_{\text{Link}}$ ;<br>$\phi_{\text{Link}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Link}} = \theta$ or<br>$\phi$ | $\theta_{\text{Meas}}$ ;<br>$\phi_{\text{Meas}}$<br>with<br>polarization<br>reference<br>$\text{Pol}_{\text{Meas}} = \theta$ or<br>$\phi$ |         |
| <p>NOTE 1: A polarization reference, as defined in relation to the reference coordinate system in N.1-1, is maintained for each signal angle, link or interferer angle, and measurement angle.</p> <p>NOTE 2: The combination of rotations is captured by matrix <math>M=R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)</math></p> |   |   |   |         |

For each UE requirement and test case, each of the parameters in Table N.2-1 through N.2-7 need to be recorded, such that DUT positioning, DUT beam direction, and angles of the signal, link/interferer, and measurement are specified in terms of the fixed coordinate system.

Due to the non-commutative nature of rotations, the order of rotations is important and needs to be defined when multiple DUT orientations are tested.

The rotations around the x, y, and z axes can be defined with the following rotation matrices

$$R_x(\alpha) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \alpha & -\sin \alpha & 0 \\ 0 & \sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_y(\beta) = \begin{bmatrix} \cos \beta & 0 & \sin \beta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \beta & 0 & \cos \beta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

and

$$R_z(\gamma) = \begin{bmatrix} \cos \gamma & -\sin \gamma & 0 & 0 \\ \sin \gamma & \cos \gamma & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

with the respective angles of rotation,  $\alpha$ ,  $\beta$ ,  $\gamma$ , and

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = R \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

Additionally, any translation of the DUT can be defined with the translation matrix

$$T(t_x, t_y, t_z) = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

with offsets  $t_x$ ,  $t_y$ ,  $t_z$  in x, y, and z, respectively and with

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = T \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

The combination of rotations and translation is captured by the multiplication of rotation and translation matrices.

For instance, the matrix M

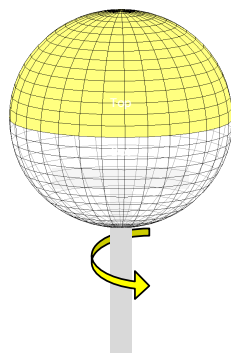
$$M = T(t_x, t_y, t_z) \cdot R_z(\gamma) \cdot R_y(\beta) \cdot R_x(\alpha)$$

describes an initial rotation of the DUT around the x axis with angle  $\alpha$ , a subsequent rotation around the y axis with angle  $\beta$ , and a final rotation around the z axis with angle  $\gamma$ . After those rotations, the DUT is translated by  $t_x$ ,  $t_y$ ,  $t_z$  in x, y, and z, respectively.

## N.3 DUT positioning guidelines

Near-field coupling effects between the antenna and the pedestals/positioners/fixtures generally cause increased signal ripples. Re-positioning the DUT by directing the beam peak away from those areas can reduce the effect of signal ripple on EIRP/EIS measurements. Figure N.3-1 and N.3-2 illustrate how to reposition the DUT in distributed axes and combined axes system, when the beam peak is directed to the DUTs upper hemisphere (DUT orientation 1) or the DUTs lower hemisphere (DUT orientation 2). While these figures are examples of different positioning systems and other implementations are not precluded, the relative orientation of the coordinate system with respect to the antennas/reflectors and the axes of rotation shall apply to any measurement setup.

Distributed-Axes System:  
DUT Orientation 1



Distributed-Axes System:  
DUT Orientation 2

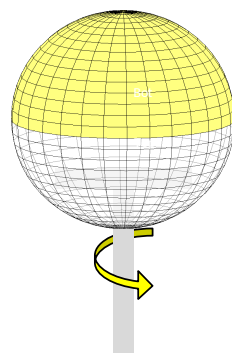
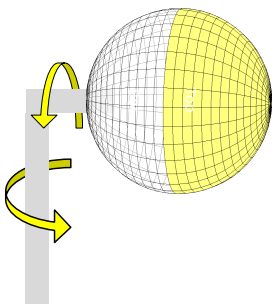


Figure N.3-1: DUT re-positioning for an example of distributed-axes system

Combined-Axes System:  
DUT Orientation 1



Combined-Axes System:  
DUT Orientation 2

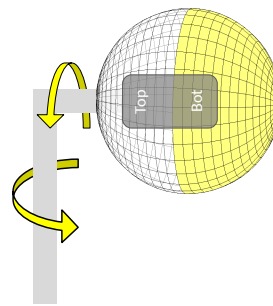
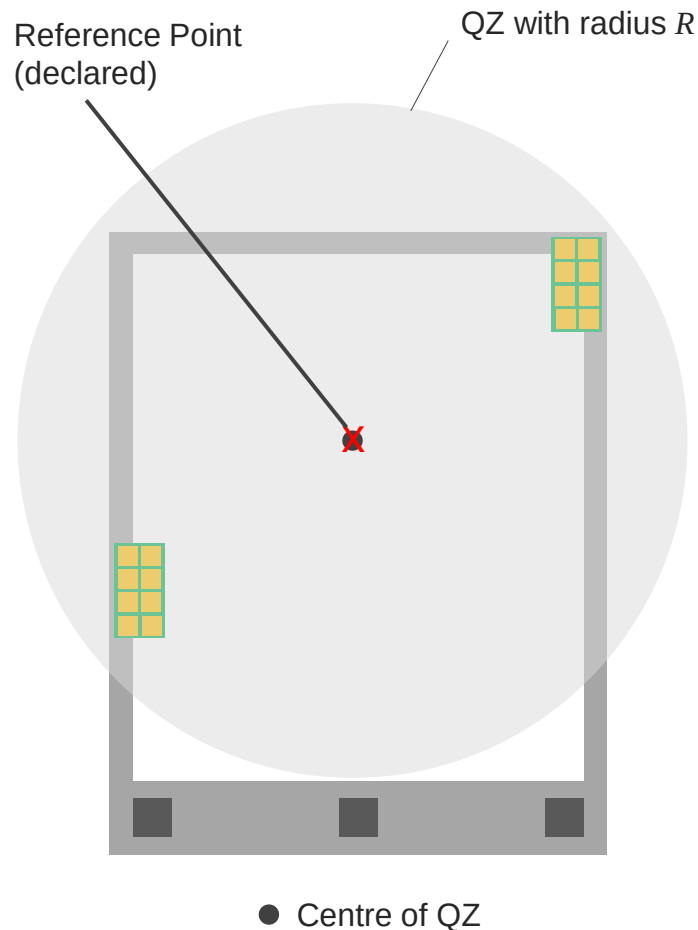


Figure N.3-2: DUT re-positioning for an example of combined-axes system

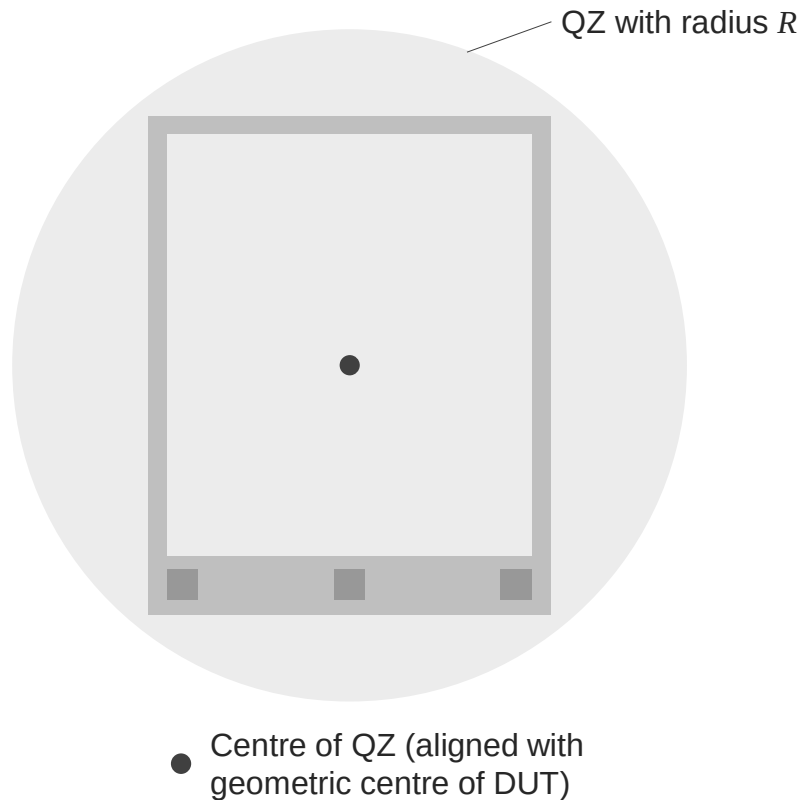
For EIRP/EIS measurements, re-positioning the DUT makes sure the pedestal is not obstructing the beam path and that the pedestal is not in closer proximity to the measurement antenna/reflector than the DUT. For TRP measurements, re-positioning the DUT makes sure that the beam peak direction is not obstructed by the pedestal and the pedestal is in the measurement path only when measuring the back-hemisphere. No re-positioning during the TRP measurement is required.

The radiating portions of the device have to be fully enclosed within the quiet zone, but the non-radiating portions of the device can be located/placed outside the quiet zone if a vendor declaration with positioning reference points and the minimum QZ required to contain all active antennas within the quiet zone (per band) is provided. This grey-box testing approach where the declared reference point is aligned with the centre of the QZ is further illustrated in Figure N.3-3.



**Figure N.3-3: Grey-box test approach**

In the absence of a vendor declaration, the geometric centre of the DUT shall be aligned with the centre of the QZ and the DUT shall be fully contained within the QZ. This black-box testing approach is further illustrated in Figure N.3-4.



**Figure N.3-4: Black-box test approach**

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## Annex O: Quality of the quiet zone validation

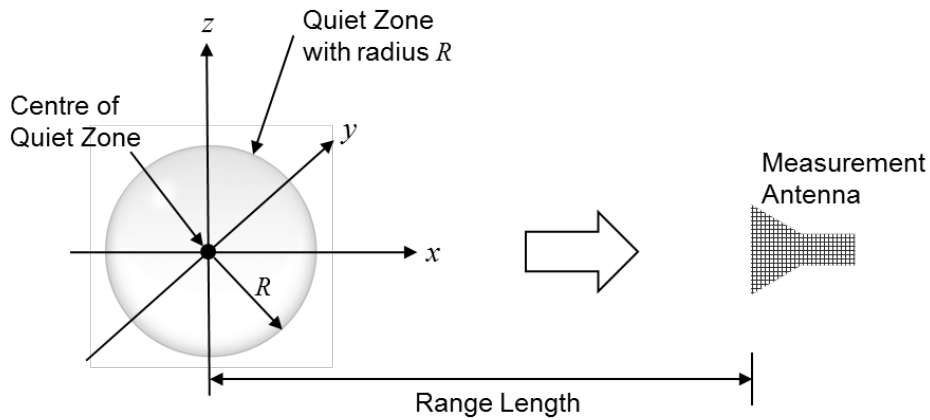
### O.1 General

This annex describes the procedures for validating the quality of the quiet zone for the permitted far-field methods outlined in Annex B.2.2 (DFF), B.2.3 (simplified DFF), and in B.2.4 (IFF based on CATR) in [10]. Annex O.2 focuses on the procedure for in-band and OOB test cases while Annex O.3 focuses on the procedure for spurious emissions test cases. These procedures are applicable to PC1 and PC3 UEs.

### O.2 Procedure to characterize the quality of the quiet zone for in-band/OOB for the permitted far field methods

This procedure is mandatory before the test system is commissioned for certification tests and characterizes the quiet zone performance of the anechoic chamber, specifically the effect of reflections within the anechoic chamber including any positioners and support structures. Additionally, it includes the effect of offsetting the directive antenna array inside a DUT from the centre of the quiet zone, i.e., the centre of rotation of the DUT and measurement antenna positioning systems as well as the directivity MU, i.e., the variation of antenna gains in the different direct line-of-sight links.

The quiet zone is illustrated in Figure O.2-1 which includes the definitions of centre of quiet zone range, i.e., the geometric centre of the positioning systems, and the range length, i.e., the distance between the centre of the quiet zone and the aperture of the measurement antenna.



**Figure O.2-1: Quiet Zone Illustration**

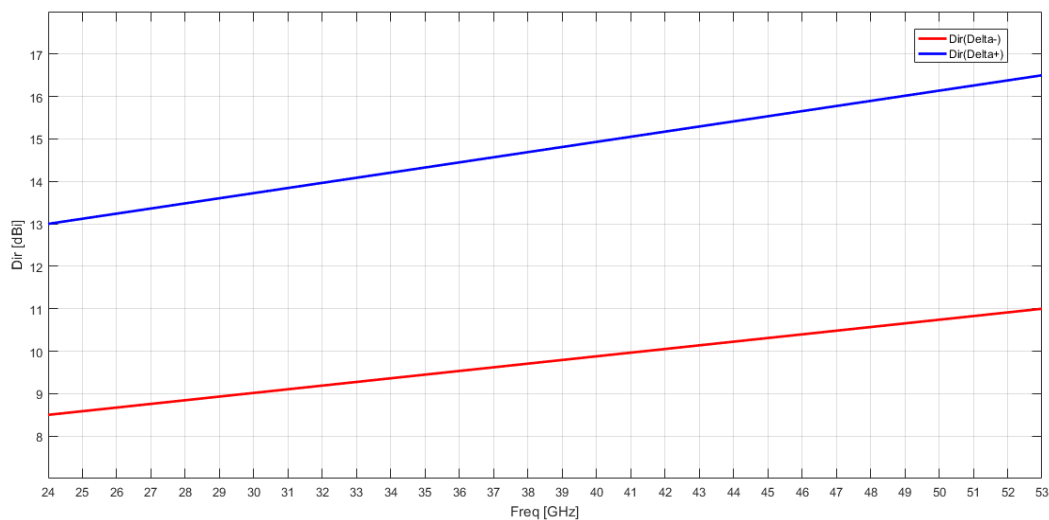
The outcome of the procedures can be used to predict the

- variation of the TRP measurements, spherical surface integrals of EIRP/EIS, when the DUT is placed anywhere within the quiet zone and with the beam formed in any arbitrary direction inside the chamber
- variation of the EIRP/EIS measurements when the DUT is placed anywhere within the quiet zone and with the beam formed in any arbitrary direction inside the chamber

The reference coordinate system defined in Annex N applies to this procedure.

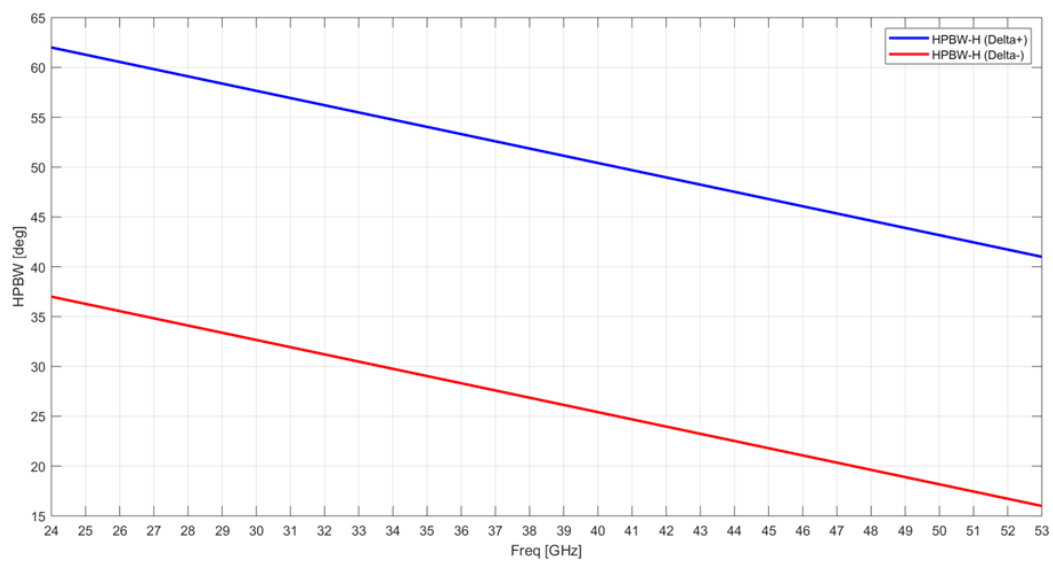
## O.2.1 Equipment used

The reference antenna under test (AUT) that is placed at various locations within the quiet zone shall be a directive antenna with similar properties of typical antenna arrays integrated in DUTs. The characteristics in terms of Directivity and Half Power Beamwidth (HPBW) of the reference AUT are shown in Figure O.2.1-1, O.2.1-2, and O.2.1-3.



**Figure O.2.1-1: Directivity mask**

**Figure O.2.1-2: 2xHPBW-E mask**



**Figure O.2.1-3: 2xHPBW-H mask**

AUT shall be symmetric on E and H planes.

The above masks for the reference antenna are met based on antenna vendors' calibration report.

For the measurement, a combination of signal generator and spectrum analyser or a network analyser can be used. The multi-port (with three ports) network analyser is most suitable to reduce test time as both polarizations of the measurement antenna can be measured simultaneously, and multiple frequencies can be measured in a sweep.



## O.2.2 Test frequencies

The frequencies to be used to characterize the quality of the quiet zone are 23.45 GHz, 32.125 GHz, 40.8 GHz, 44.3 GHz, and 49 GHz. The quiet zone validation analysis is performed for each frequency individually.

## O.2.3 Reference measurements

The quality of the quiet measurements for integrated RF parameters such as TRP shall use 3D pattern measurements of the reference antenna patterns as they most closely resemble the 3D/spherical surface measurements/integrals of EIRP or EIS. Therefore, the quality of the quiet zone measurements for TRP metrics shall be based on efficiency measurements. On the other hand, the quality of the quiet zone measurements for single-directional EIRP and EIS metrics shall be based on gain measurements of the direct line-of-sight link between the reference AUT and the measurement antenna.

The grid types for the TRP measurements shall match those outlined in M.1. Considering the reference AUT is assumed to have similar properties of typical antenna arrays integrated in DUTs, see Clause O.2.1, the TRP measurement grids used for the QoQZ validation shall meet the minimum number of grids points as defined for Power Class 3 devices in Clause M.4.1.3 with the default TRP measurement grids, i.e., not those based on the optional vendor declaration. .

## O.2.4 Size of the quiet zone

The size of the quiet zone within which the variations of measurements are evaluated depends on the size of the DUT. For smartphones, the quiet zone shall be considered a sphere with radius of  $R=10\text{cm}$ . For larger smartphones and tablet type devices, the quiet zone shall be considered a sphere with radius of  $R=15\text{cm}$ . For even larger device, e.g., larger tablets and laptops, quiet zones of radius  $R=20\text{cm}$  and  $R=27.5\text{cm}$  shall be considered. Alternate quiet zone sizes can be defined for even larger DUTs.

The quality of quiet zone procedure for systems supporting multiple quiet zone sizes can be performed for the largest quiet zone radius only and the results can be applied to the smaller quiet zone radii if the same chamber components affecting QoQZ, i.e., reflector, feed probes, etc, are used. Performing separate sets of quality of quiet zone measurements for different radii is not precluded.

## O.2.5 Reference AUT positions

The reference AUT shall be positioned in a total of 7 different reference positions, shown in Figure O.2.5.1-1 and O.2.5.2-1

While position 1, P1, is the centre of the quiet zone, the remaining positions, 2 through 7, are off-centre positions each displaced by the radius of the quiet zone,  $R$ . The coordinates of the respective test points are shown in Table O.2.5-1.

**Table O.2.5-1: Reference AUT Measurement Coordinates**

| Position | $x$  | $y$  | $z$  |
|----------|------|------|------|
| P1       | 0    | 0    | 0    |
| P2       | $R$  | 0    | 0    |
| P3       | $-R$ | 0    | 0    |
| P4       | 0    | $R$  | 0    |
| P5       | 0    | $-R$ | 0    |
| P6       | 0    | 0    | $R$  |
| P7       | 0    | 0    | $-R$ |

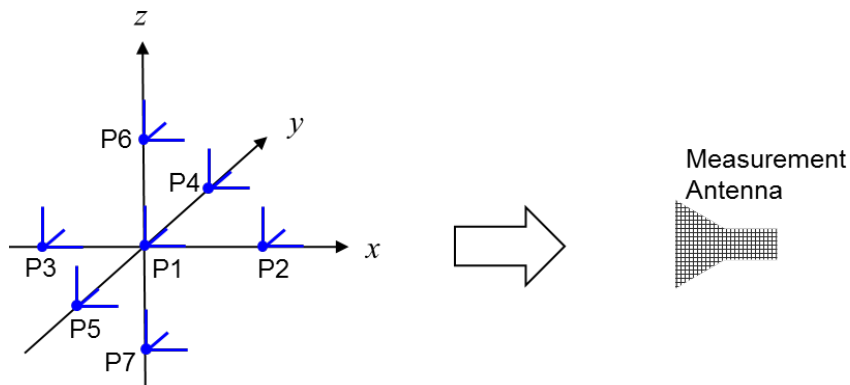
For quiet zones exceeding 30cm in diameter, i.e.,  $R=20\text{cm}$  and  $R=27.5\text{cm}$ , an alternate set of reference points can be selected for the quality of quiet zone evaluation, summarized in Table O.2.5-2

**Table O.2.5-2: Alternate Reference AUT Measurement Coordinates for  $R=20\text{cm}$  and  $R=27.5\text{cm}$  Quiet Zones**

| Position  | $x$  | $y$  | $z$    |
|---|------|------|--------|
| P1  | 0    | 0    | 0      |
| P2  | $R$  | 0    | 0      |
| P3  | $-R$ | 0    | 0      |
| P4  | 0    | $R$  | 0      |
| P5  | 0    | $-R$ | 0      |
| P6  | 0    | 0    | $z_6$  |
| P7  | 0    | 0    | $-z_7$ |
| Note: $z_6$ and $z_7$ are the maximum declared DUT heights in $\pm z$ defined in the chamber specification and are bound to a minimum of 15cm. The DUT antennas (grey-box approach)/the DUT (black box approach) cannot extend past these heights within the QZ (in $z$ ) when installed in the system. |      |      |        |

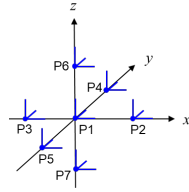
### O.2.5.1 Distributed-axes system

The reference AUT shall be positioned in a total of 7 different reference positions, shown in Figure O.2.5.1-1.



**Figure O.2.5.1-1: Reference AUT Measurement Positions for distributed-axes system**

The reference AUT positions inside a typical distributed-axes system are shown in Figure O.2.5.1-2.



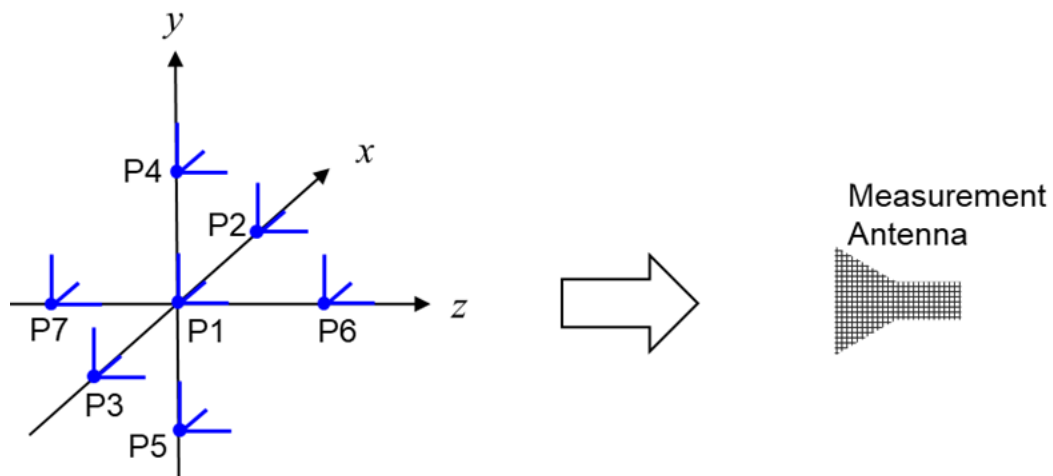
$\theta$

$\phi$

**Figure O.2.5.1-2: Reference AUT Measurement Positions for distributed-axes system**

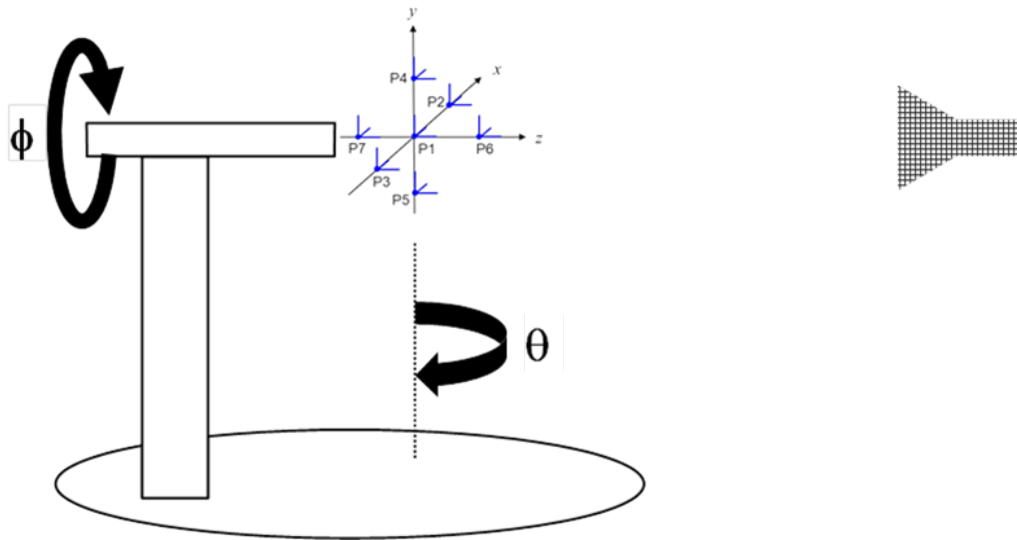
## O.2.5.2 Combined-axes system

The reference AUT shall be positioned in a total of 7 different reference positions, shown in Figure O.2.5.2-1.



**Figure O.2.5.2-1: Reference AUT Measurement Positions for combined-axes system**

The reference AUT positions inside a typical combined-axes system are shown in Figure O.2.5.2-2.



**Figure O.2.5.2-2: Reference AUT Measurement Positions for combined-axes system**

## O.2.6 Reference AUT orientations

As different areas within the chamber could yield variations in the field uniformity inside the quiet zone caused by reflections, it is important to characterize the electromagnetic fields with the reference antennas uniformly illuminating the anechoic chamber.

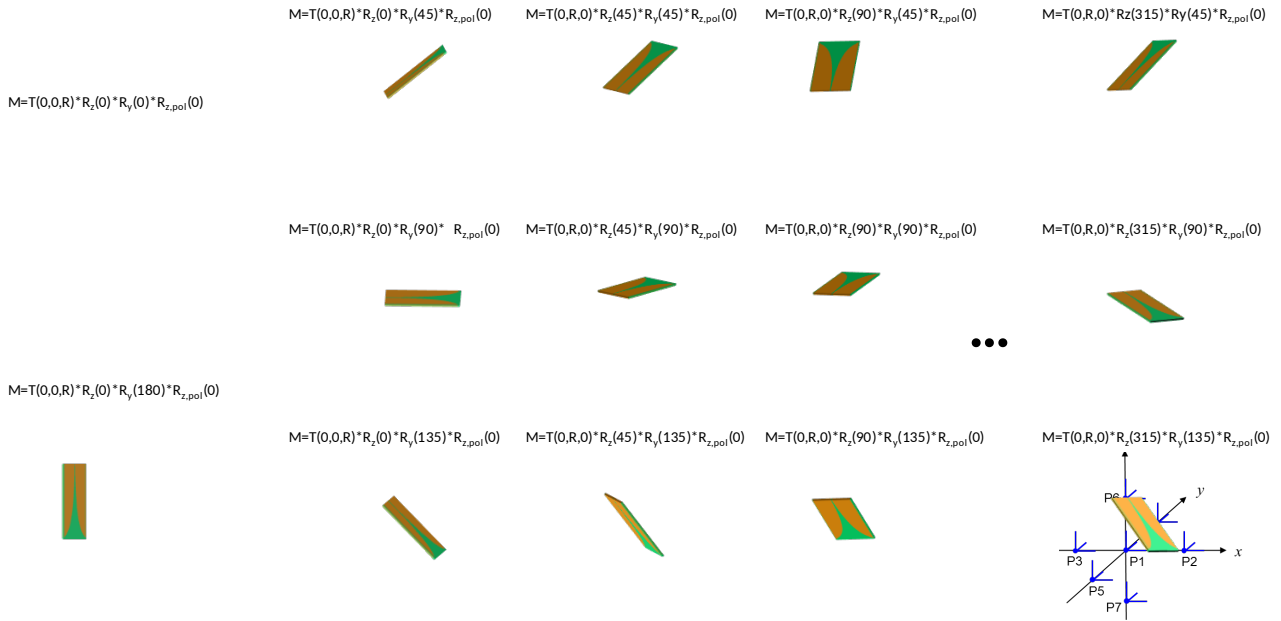
### O.2.6.1 Distributed-axes system

In order to keep the quality of the quiet zone characterization manageable in terms of test times, it is suggested to perform the reference measurements for the reference AUT placed at the 7 antenna positions with the antenna rotated around the y axis with 5 different angles  $\beta$ , i.e.,  $\beta = 0^\circ, 45^\circ, 90^\circ, 135^\circ$ , and  $180^\circ$ , and rotated around the z axis with 8 different  $\gamma = 0^\circ, 45^\circ, 90^\circ, 135^\circ, 180^\circ, 225^\circ, 270^\circ$ , and  $315^\circ$ . A graphical illustration of the some sample reference AUT orientations is shown in Figure O.2.6.1-1 with a reference AUT placed at position 6, P6, for reference antenna polarization  $\gamma_{pol} = 0^\circ$ ; Figure O.2.6.1-2 illustrates the reference AUT orientations for the reference polarization  $\gamma_{pol} = 90^\circ$ .

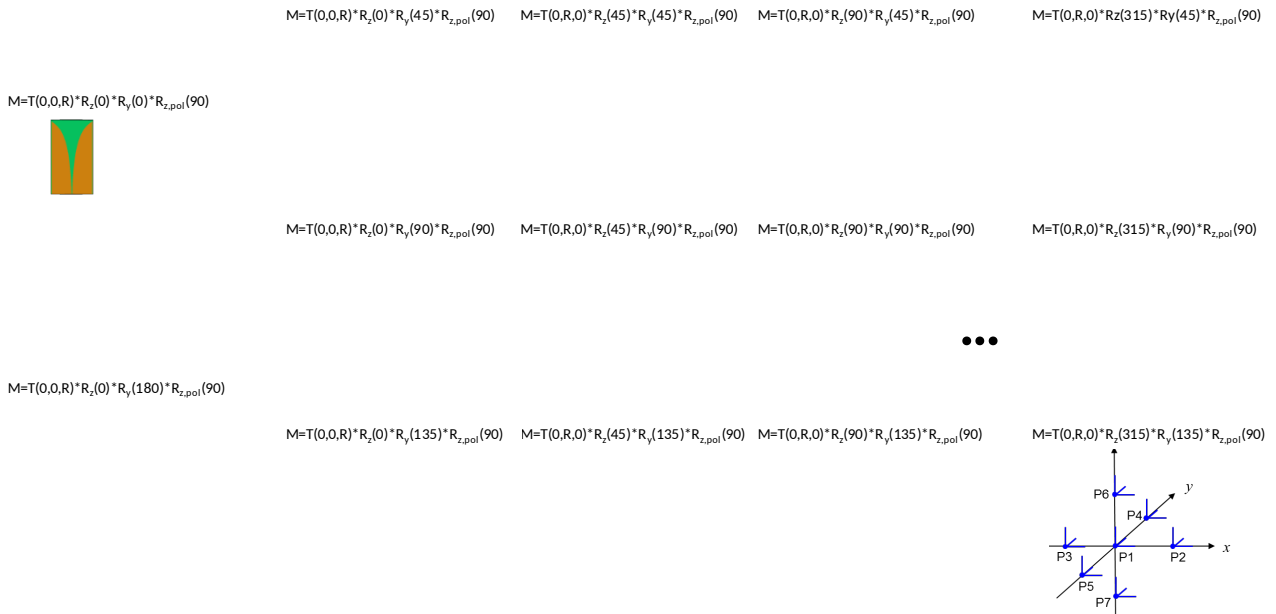
The matrix operation for the rotations and translation is defined as

$$M = T(t_x, t_y, t_z) \cdot R_z(\gamma) \cdot R_y(\beta) \cdot R_{z,pol}(\gamma_{pol})$$

for the distributed-axes system.



**Figure O.2.6.1-1: Sample reference AUT orientations for position 6, P6 for reference antenna polarization  $\gamma_{pol} = 0^\circ$**



**Figure O.2.6.1-2: Sample reference AUT orientations for position 6, P6, for reference antenna polarization  $\gamma_{pol} = 90^\circ$**

When facing the z-axis,  $\beta = 0^\circ$  and  $\beta = 180^\circ$ , the antenna does not need to be evaluated for the 8 different rotations around the z axis. A single orientation is sufficient since those orientations are unique. Due to the pedestal, distributed-axes systems are not able to measure towards the  $\beta = 180^\circ$  direction; for those systems, the reference measurements at this reference AUT orientation can be skipped.

If the device re-positioning approach outlined in Annex N is adopted for the EIRP/EIS/TRP based conformance test cases, the quality of quiet zone analysis is sufficient only for  $\beta = 0^\circ, 45^\circ, 90^\circ$ .

The positioner relative coordinates/orientations with respect to the measurement antenna/reflector in the initial position shall remain the same for each reference antenna orientation, e.g., in the sample distributed-axes system shown in Figure O.2.5.1-2 the reference antenna shall be pointed towards the positioner for  $\beta = 135^\circ$  for the initial position of  $(\theta, \phi)$  of  $(0,0)$ .

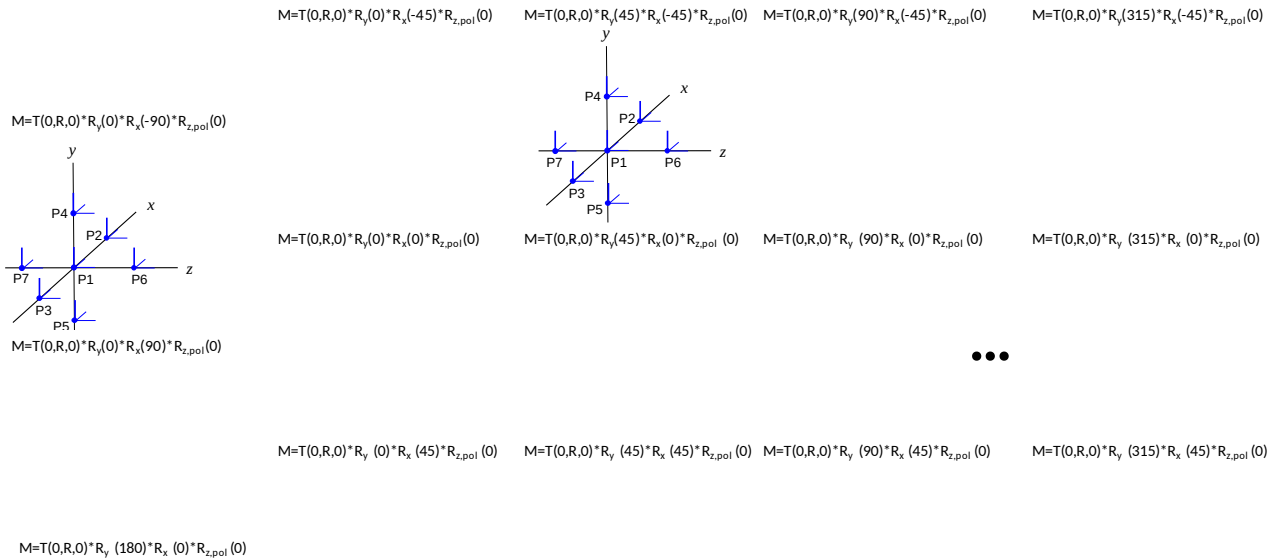
### O.2.6.2 Combined-axes system

In order to keep the quality of the quiet zone characterization manageable in terms of test times, it is suggested to perform the reference measurements for the reference AUT placed at the 7 antenna positions with the antenna rotated around the x axis with 5 different angles  $\alpha$ , i.e.,  $\alpha = -90^\circ, -45^\circ, 0^\circ, 45^\circ$ , and  $90^\circ$  and rotated around the y axis with 8 different angles  $\beta = 0^\circ, 45^\circ, 90^\circ, 135^\circ, 180^\circ, 225^\circ, 270^\circ$ , and  $315^\circ$ . A graphical illustration of some sample reference AUT orientations is shown in Figure O.2.6.2-1 with a reference AUT placed at position 4, P4, for reference antenna polarization  $\gamma_{pol} = 0^\circ$ ; Figure O.2.6.2-2 illustrates the reference AUT orientations for the reference polarization  $\gamma_{pol} = 90^\circ$ .

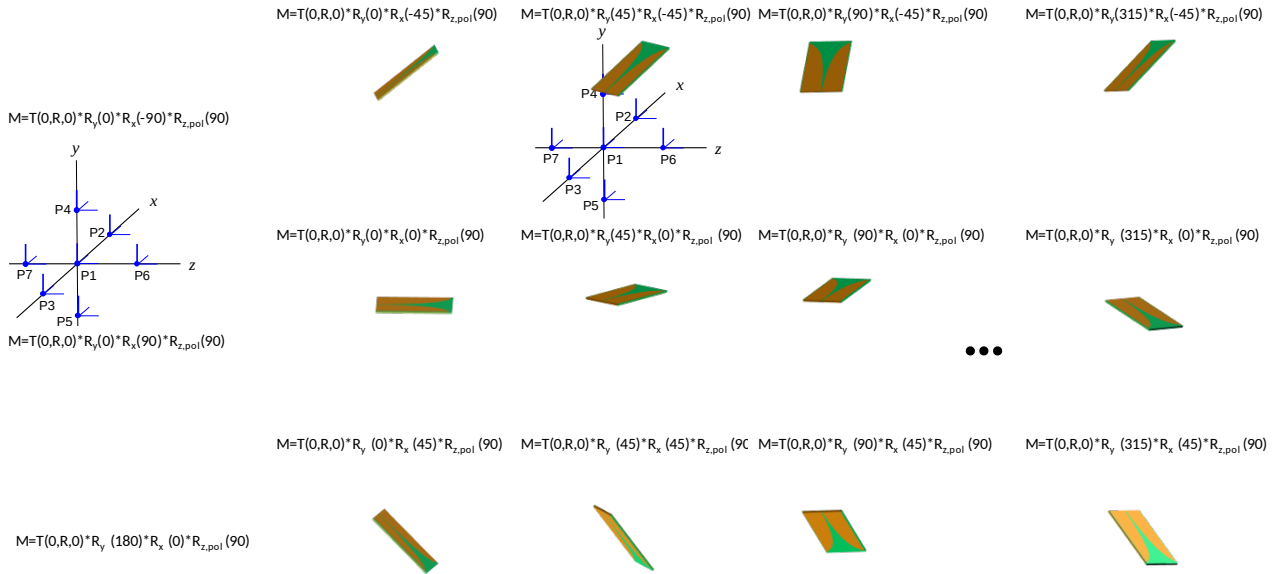
The matrix operation for the rotations and translation is defined as

$$M = T(t_x, t_y, t_z) \cdot R_y(\beta) \cdot R_x(\alpha) \cdot R_{z,pol}(\gamma_{pol})$$

for the combined-axes system.



**Figure O.2.6.2-1: Sample reference AUT orientations for position 4, P4, for reference antenna polarization  $\gamma_{pol} = 0^\circ$**



**Figure O.2.6.2-2: Sample reference AUT orientations for position 4, P4, for reference antenna polarization  $\gamma_{pol} = 90^\circ$**

When facing the y axis,  $\alpha = 90^\circ$  and  $\alpha = -90^\circ$ , the antenna does not need to be evaluated for the 8 different rotations around the y axis. A single rotation is sufficient since those orientations are unique. Due to the pedestal of the 2-axis positioner, combined-axes systems are not able to measure towards the  $\beta = 180^\circ$  direction; for those systems, the reference measurements at this reference AUT orientation can be skipped.

If the device re-positioning approach outlined in Annex N is adopted for all EIRP/EIS/TRP based conformance test cases, the quality of quiet zone analysis is sufficient only for  $\beta = 0^\circ, 45^\circ, 90^\circ, 270^\circ$ , and  $315^\circ$ .

The positioner relative coordinates/orientations with respect to the measurement antenna/reflector shall remain the same for each reference antenna orientation, e.g., in the sample combined-axes system shown in O.2.5.2-2 the reference antenna shall be pointed towards the positioner for  $\beta = 135^\circ$  and  $225^\circ$  for the initial position of  $(\theta, \phi)$  of  $(0, 0)$ .

## O.2.7 Quality of quiet zone measurement uncertainty calculations for TRP

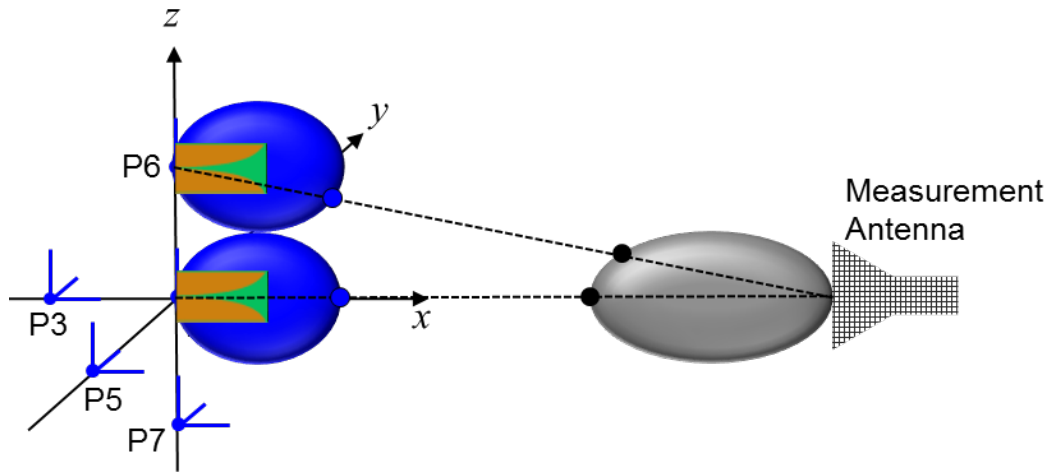
The combined MU element related to the quality of the quiet zone for TRP and offset between UE antenna array and centre of quiet zone is the standard deviation of the various efficiency measurement results that are based on the 7 different reference AUT positions, the respective reference AUT orientations, and the two reference AUT polarization orientations.

## O.2.8 Quality of quiet zone measurement uncertainty for EIRP/EIS

The MU for the quality of the quiet zone for EIRP/EIS includes the additional MU element of the directivity of the DUT and measurement antennas as shown in Figure O.2.9-1. The EIRP/EIS measurements are taking the peak gains of the respective antennas into account with the reference AUT placed in the centre of the quiet zone. Once the antenna is displaced in directions other than the measurement antenna, the direct line-of-sight link is taking reduced antenna gains into account. The type of reference AUT should therefore have similar pattern properties as typical UE antennas. For systems with very large range lengths, the directivity MU will be insignificant.

The combined MU element related to the quality of the quiet zone for EIRP/EIS, offset between UE antenna array and centre of quiet zone, and directivity is the standard deviation of the single-point gain measurement results that are based

on the 7 different reference AUT positions, the respective reference AUT orientations, and the two reference AUT polarization orientations.



**Figure O.2.9-1: Illustration of the Directivity MU Element**

## O.3 Procedure to characterize the spurious emissions quality of the quiet zone for the permitted far field methods

This procedure is mandatory before the spurious emissions test system is commissioned for certification tests and characterizes the quiet zone performance of the anechoic chamber, specifically the effect of reflections within the anechoic chamber including any positioners and support structures. Additionally, it includes the effect of offsetting the directive antenna array inside a DUT from the centre of the quiet zone, i.e., the centre of rotation of the DUT and measurement antenna positioning systems.

The quiet zone is illustrated in Figure O.2-1 which includes the definitions of centre of quiet zone range, i.e., the geometric centre of the positioning systems, and the range length, i.e., the distance between the centre of the quiet zone and the aperture of the measurement antenna.

The outcome of the procedures can be used to predict the variation of the TRP measurements, spherical surface integrals of EIRP, when the DUT is placed anywhere within the quiet zone and with the beam formed in any arbitrary direction inside the chamber

The reference coordinate system defined in Annex N applies to this procedure.

### O.3.1 Equipment used

The reference antenna under test (AUT) that is placed at various locations within the quiet zone shall be a directive antenna with a half-power beam width (HPBW) of  $\geq 20^\circ$  in E-Plane and H-Plane. The HPBWs met based on antenna vendors' calibration report or datasheet.

For the measurement, a combination of signal generator and spectrum analyser or a network analyser can be used. The multi-port (with three ports) network analyser is most suitable to reduce test time as both polarizations of the measurement antenna can be measured simultaneously, and multiple frequencies can be measured in a sweep.



## O.3.2 Test frequencies

**Editor Note:** Another test frequency of [TBD] GHz will be added as soon as FR2 bands >49 GHz are introduced.

The frequencies to characterize the quality of the quiet zone shall be 6, 12.75, 23.45, 40.8, 49.0, 66, and 80 GHz. The quiet zone validation analysis is performed for each frequency individually.

The measurements from the 23.45, 40.8, and 49.0 GHz in-band QoQZ validation can be re-used provided that the reference antenna position and orientation as well as the measurement frequency and measurement antenna are identical in both cases.

## O.3.3 Reference measurements

The spurious emissions quality of the quiet zone measurements shall use 3D pattern measurements of the reference antenna patterns as they most closely resemble the 3D/spherical surface measurements/integrals of EIRP. Therefore, the quality of the quiet zone measurements for TRP metrics shall be based on efficiency measurements.

The grid types for the TRP measurements shall meet the 0.25 dB maximum standard uncertainty. The min number of grid points for the two grid types are:

- 192 grid points for the constant step-size measurement grids
- 100 grid points for the constant density measurement grids (charged particle implementation)

## O.3.4 Size of the quiet zone

The size of the quiet zone within which the variations of measurements are evaluated depends on the size of the DUT. For smartphones, the quiet zone shall be considered a sphere with radius of  $R=10\text{cm}$ . For larger smartphones and tablet type devices, the quiet zone shall be considered a sphere with radius of  $R=15\text{cm}$ . Alternate quiet zone sizes can be defined for even larger DUTs.

The quality of quiet zone procedure for systems supporting larger quiet zone sizes can be performed for the largest quiet zone radius only and the results can be applied to the smaller quiet zone radius. Performing separate sets of quality of quiet zone measurements for different radii is not precluded.

## O.3.5 Reference AUT positions

The reference AUT shall be positioned in a total of 7 different reference positions, shown in Figure O.2.5.1-1 and O.2.5.2-1

While position 1, P1, is the centre of the quiet zone, the remaining positions, 2 through 7, are off-centre positions each displaced by the radius of the quiet zone,  $R$ . The coordinates of the respective test points are shown in Table O.2.5-1.

### O.3.5.1 Distributed-axes system

The reference AUT shall be positioned in a total of 7 different reference positions, shown in Figure O.2.5.1-1 for distributed-axes systems.

The reference AUT positions inside a typical distributed-axes system are shown in Figure O.2.5.1-2.

### O.3.5.2 Combined-axes system

The reference AUT shall be positioned in a total of 7 different reference positions, shown in Figure O.2.5.2-1 for combined-axes systems.

The reference AUT positions inside a typical combined-axes system are shown in Figure O.2.5.2-2.

## O.3.6 Reference AUT orientations

As different areas within the chamber could yield variations in the field uniformity inside the quiet zone caused by reflections, it is important to characterize the electromagnetic fields with the reference antennas uniformly illuminating the anechoic chamber. However, in order to keep the spurious emissions quality of the quiet zone characterization manageable in terms of test time, the number of orientations for the spurious emissions quality of quiet zone validation is limited when compared to the number of orientations for the in-band quality of quiet zone validation.

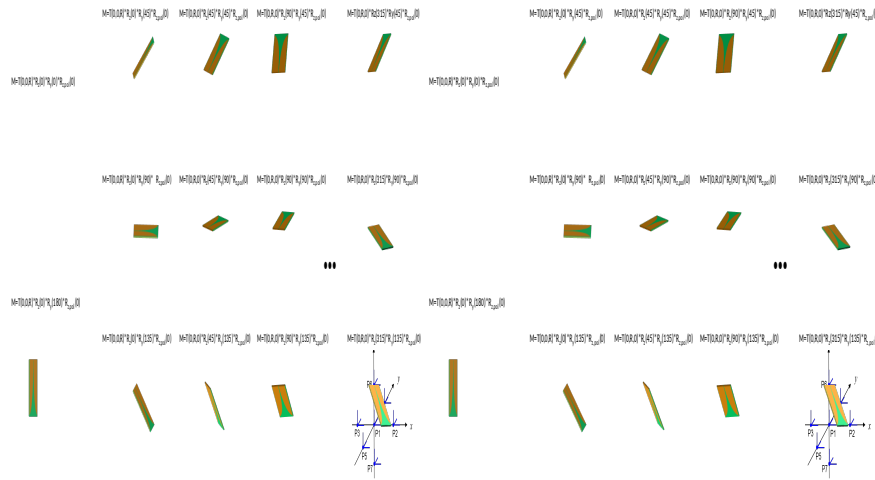
### O.3.6.1 Distributed-axes system

The reference measurements for the reference AUT placed at the 7 antenna positions shall be rotated around the  $y$  axis with 2 different angles  $\beta$ , i.e.,  $\beta = 0^\circ$  and  $180^\circ$  and fixed  $\gamma = 0^\circ$ . A graphical illustration of the reference AUT orientations is shown in Figure O.3.6.1-1 with a reference AUT placed at position 6, P6, for reference antenna polarization  $\gamma_{pol} = 0^\circ$ ; Figure O.3.6.1-2 illustrates the reference AUT orientations for the reference polarization  $\gamma_{pol} = 90^\circ$ .

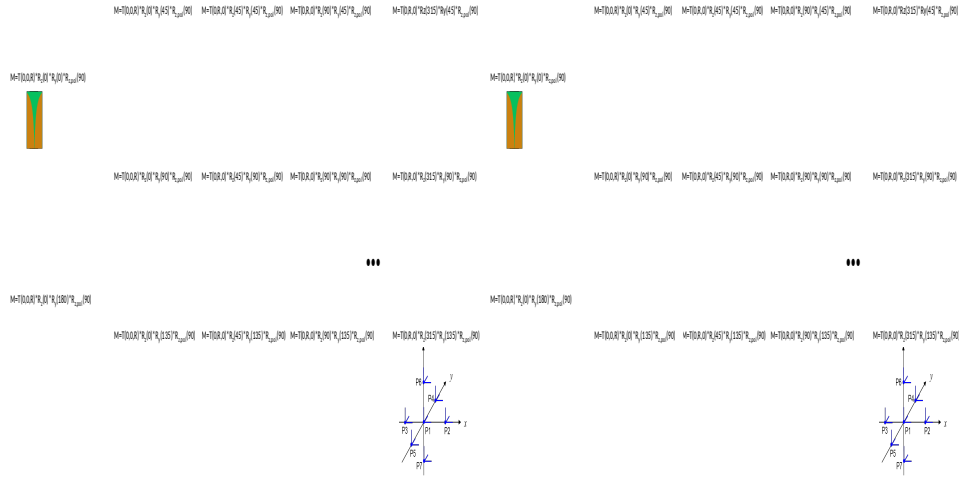
The matrix operation for the rotations and translation is defined as

$$M = T(t_x, t_y, t_z) \cdot R_z(\gamma) \cdot R_y(\beta) \cdot R_{z,pol}(\gamma_{pol})$$

for the distributed-axes system. The matrices are defined in Annex J.2 of TS 38.101-2.



**Figure O.3.6.1-1: Reference AUT orientations for position 6, P6 for reference antenna polarization  $\gamma_{pol} = 0^\circ$**



**Figure O.3.6.1-2: Reference AUT orientations for position 6, P6, for reference antenna polarization  $\gamma_{pol} = 90^\circ$**

If the device re-positioning approach is adopted for the spurious emissions test cases, i.e., two hemispheres are measured separately which involves the DUT, while connected to the gNB emulator, to be rotated by  $180^\circ$  around its axis halfway through the test, the quality of quiet zone analysis is sufficient only for  $\beta = 0^\circ$ .

The positioner relative coordinates/orientations with respect to the measurement antenna/reflector in the initial position shall remain the same for each reference antenna orientation, e.g., in the sample distributed-axes system shown in Figure O.2.5.1-2 the reference antenna shall be pointed at the positioner for  $\beta = 180^\circ$  for the initial position of  $(\theta, \phi)$  of  $(0, 0)$ .

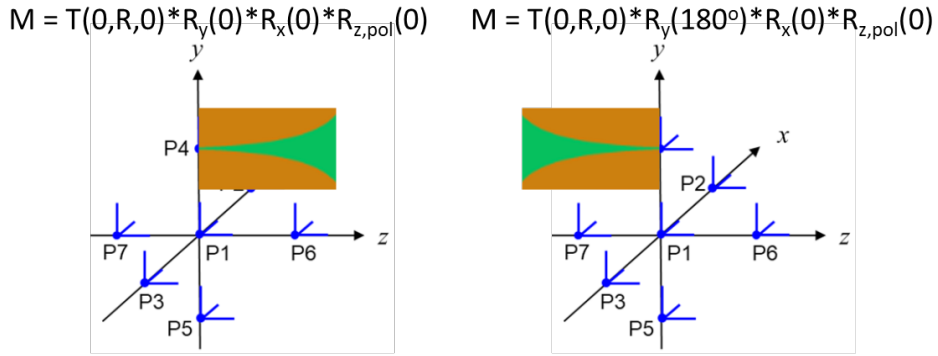
### O.3.6.2 Combined-axes system

The reference measurements for the reference AUT placed at the 7 antenna positions shall be rotated around the  $x$  axis with 2 different angles  $\beta$ , i.e.,  $\beta = 0^\circ$  and  $180^\circ$  and fixed  $\alpha = 0^\circ$ . A graphical illustration of the sample reference AUT orientations is shown in Figure O.3.6.2-1 with a reference AUT placed at position 4, P4, for reference antenna polarization  $\gamma_{pol} = 0^\circ$ ; Figure O.3.6.2-2 illustrates the reference AUT orientations for the reference polarization  $\gamma_{pol} = 90^\circ$ .

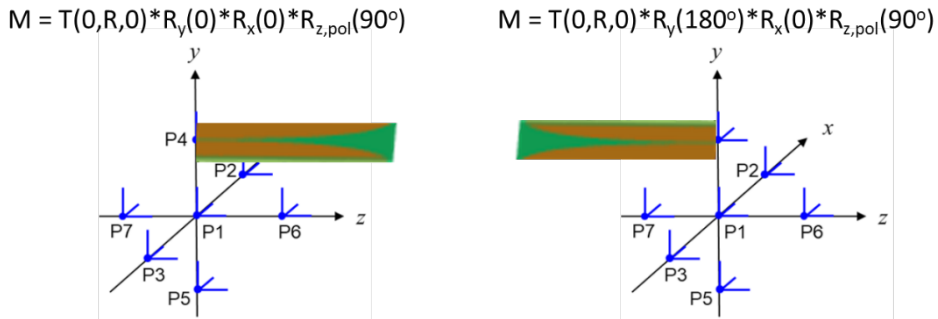
The matrix operation for the rotations and translation is defined as

$$M = T(t_x, t_y, t_z) \cdot R_y(\beta) \cdot R_x(\alpha) \cdot R_{z, pol}(\gamma_{pol})$$

for the combined-axes system. The matrices are defined in Annex J.2 of TS 38.101-2.



**Figure O.3.6.2-1: Reference AUT orientations for position 4, P4, for reference antenna polarization  $\gamma_{pol} = 0^\circ$ .**



**Figure O.3.6.2-2: Reference AUT orientations for position 4, P4, for reference antenna polarization  $\gamma_{pol} = 90^\circ$ .**

If the device re-positioning approach is adopted for the spurious emissions test cases, i.e., two hemispheres are measured separately which involves the DUT, while connected to the gNB emulator, to be rotated by  $180^\circ$  around its axis halfway through the test, the quality of quiet zone analysis is sufficient only for  $\beta = 0^\circ$ .

The positioner relative coordinates/orientations with respect to the measurement antenna/reflector shall remain the same for each reference antenna orientation, e.g., in the sample combined-axes system shown in O.2.5.2-2 the reference antenna shall be pointed at the positioner for  $\beta = 180^\circ$  for the initial position of  $(\theta, \phi)$  of  $(0,0)$ .

### O.3.7 Quality of quiet zone measurement uncertainty calculations for TRP

The combined MU element related to the spurious emissions quality of the quiet zone for TRP and offset between UE antenna array and centre of quiet zone is the standard deviation of the various efficiency measurement results that are based on the 7 different reference AUT positions, the respective reference AUT orientations, and the two reference AUT polarization orientations.

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## Annex P (normative): Modified MPR behaviour

### P.1 Indication of modified MPR behaviour

This annex contains the definitions of the bits in the field *modifiedMPR-Behavior* indicated per supported NR band in the IE *RF-Parameters* [19] by a UE supporting an MPR or A-MPR modified in a given version of this specification. A modified MPR or A-MPR behaviour can apply to a supported NR band in stand-alone operation (including CA and NN-DC operation) or in non-standalone operation with the said NR band as part of an EN-DC or NE-DC band combination. Moreover, the bits in the field can explicitly indicate NS value(s) supported by a UE.

NOTE 1: In the present release, the *modifiedMPR-Behavior* is indicated [19] by an 8-bit bitmap per supported NR band.

**Table P.1-1: Definitions of the bits in the field *modifiedMPRbehavior***

| NR Band | Index of field<br>(bit number) | Definition<br>(description of the supported functionality if<br>indicator set to one)                                     | Notes   |
|---------|--------------------------------|---|---|
| n257    | 0 (leftmost bit)               | - FR2 power class 3 MPR as defined in clause 6.2.2.3 of 38.101-2 v16.2.0  | - This bit may be set to 1 by a UE supporting n257                            |
| n258    | 0 (leftmost bit)               | - FR2 power class 3 MPR as defined in clause 6.2.2.3 of 38.101-2 v16.2.0  | - This bit may be set to 1 by a UE supporting n258                            |
|         | 1                              | Void  |   |
|         | 2                              | - NS_203 as defined in clause 6.5.3.2.4 or both NS_203 and CA_NS_203 as defined in clause 6.5A.3.2.4 of 38.101-2 v15.11.0 | - This bit shall be set to 1 by a UE supporting n258 or both n258 and CA_n258 |
| n260    | 0 (leftmost bit)               | - FR2 power class 3 MPR as defined in clause 6.2.2.3 of 38.101-2 v16.2.0  | - This bit may be set to 1 by a UE supporting n260                            |
| n261    | 0 (leftmost bit)               | - FR2 power class 3 MPR as defined in clause 6.2.2.3 of 38.101-2 v16.2.0  | - This bit may be set to 1 by a UE supporting n261                            |

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## Annex Q (normative):

### Difference of relative phase and power errors

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#### Q.0 General

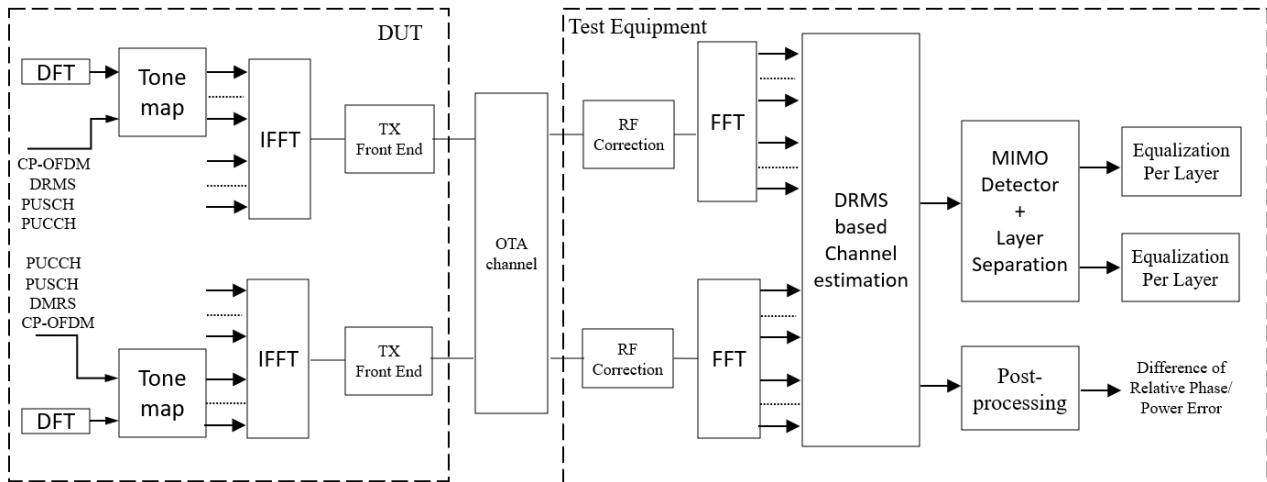
This annex gives further information needed for understanding and implementing 6.4D.4. The following terms should be understood as follows:

- Relative phase error: refers to the phase difference between signals at different antenna ports, which should be ideally 0. It should be understood as for a slot i.e. (slot) relative phase. It is calculated based on DMRS symbols of that slot or on SRS symbols.
- Difference of relative phase error: refers to the difference between the relative phase error determined per slot and the relative phase error determined based on the SRS transmitted.

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## Q.1 Measurement Point

Figure Q.1-1 shows the measurement point for the difference of relative phase and power errors. To separate signals from the two transmitters, it is necessary for the test equipment to perform joint demodulation by inverting the 2x2 composite channel ('HGW') resulting from DUT precoding 'W' and antenna virtualization 'G' and OTA channel between DUT and test equipment 'H'. Post processing refers to the calculation of the phase/power errors, the averaging of phase and power errors per RB per slot per channel port and the calculation of difference between relative phases.



**Figure Q.1-1 - Measurement point for difference of relative phase/power error for UL coherent MIMO**

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## Q.2 Relative Phase Error Measurement

Here are listed the different aspects that may lead to different interpretations.

### Q.2.1 Symbols used

Phase error is determined based on DMRS REs (DMRS mapping type A with 3 DMRS symbols per slot, the REs corresponding to the odd subcarriers and DMRS symbols are non-allocated for data or DMRS) and SRS REs (with 4 SRS symbols in the SRS slot, same SRS resource mapping is used for non-codebook-based and codebook-based precoding).

For the DMRS and SRS to occupy identical SCs and maximize their frequency density, DMRS configuration type 1 and SRS comb2 configuration are used.

UL RMC described in Annex A.2 is used.

### Q.2.2 CFO (carrier frequency offset) correction

The TE performs a CFO correction on a slot-by-slot basis using a common frequency correction at the two uplink layers.

### Q.2.3 Steps of the measurement method

Below are detailed the steps necessary to obtain the maximum difference of relative phase error during the 20ms time window.

1. Determination for each subcarrier and at each antenna port, the SRS relative phase error based on the last SRS transmitted on Ant1 and Ant2, that relative phase error serves as a reference for the calculation of the difference of relative phase error for each slot inside the 20 ms time window.
  - The output is the “SRS relative phase error” vector for the last SRS transmitted:  $[1 \times \text{number}_i]$ .
2. Calculation for the last SRS transmitted, for each RB of the SRS relative phase errors based on the arithmetic mean of the subcarrier SRS relative phase errors determined in previous step.
  - The output is the “SRS relative phase error” vector for the last SRS transmitted:  $[1 \times \text{number}_i]$ .
3. CFO correction on slot-by-slot basis using a common frequency correction for both antenna ports.
4. Determination for each subcarrier and at each antenna, the phase over the slot being analyzed. The phase is extracted from the channel estimate derived from the 3 DMRS symbols of the slot using the LSE technique.
  - The output is one vector of dimension  $[1 \times \text{number}_i]$  for each antenna port.
5. Calculation for a slot for each subcarrier of the relative phase error (difference between the vectors determined in the previous step).
  - The output is subcarrier relative phase errors of a slot:  $[1 \times \text{number}_i]$ .
6. Calculation for a slot, for each RB of the relative phase errors based on the arithmetic mean of the subcarrier relative phase errors determined in previous step.
  - The output is a “slot relative phase error” vector for a slot:  $[1 \times \text{number}_i]$ .
7. Calculation for a slot of the difference of relative phase errors based on the “SRS relative phase error” (reference) determined in step 2 and the “slot relative phase error” determined in previous step.
  - The output is a “difference of relative phase error” vector for a slot:  $[1 \times \text{number}_i]$ .
8. Calculation for a slot of the arithmetic mean value of the “difference of relative phase error” vector determined in previous step, this value corresponds to an RB.
  - The output is a “difference of relative phase error” value for a slot:  $[1 \times 1]$ .
9. Perform for each slot of the 20ms time window, steps 3 to 8.
  - The output is a “difference of relative phase error” vector:  $[1 \times \text{number}_i]$ .
10. Calculation of the maximum value of the “difference of relative phase error”.
  - The output is the “difference of relative phase error” that should be verified as complying with the 40° maximum allowable difference of relative phase error requirement:  $[1 \times 1]$ .

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## Annex R (informative): Change history



| Change history |                           |           |    |         |     |   |                |
|----------------|---------------------------|-----------|----|---------|-----|---|----------------|
| Date           | Meeting                   | TDoc      | CR | R<br>ev | Cat | Subject/Comment   | New<br>version |
| 2017-08        | RAN5 #76                  | R5-174709 | -  | -       | -   | Draft skeleton  | 0.0.1          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180002 | -  | -       | -   | Add references  | 0.1.0          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180103 | -  | -       | -   | Add definitions, symbols and abbreviations                                      | 0.1.0          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180104 | -  | -       | -   | Introduction of Operating bands and Channel arrangement                         | 0.1.0          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180094 | -  | -       | -   | Introduction of new test case 6.3.2 Transmit OFF power                          | 0.1.0          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180095 | -  | -       | -   | TP to add skeleton of 6.5.1 Occupied bandwidth to 38.521-2                      | 0.1.0          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180096 | -  | -       | -   | TP to add skeleton of 6.5.2.1 SEM to 38.521-2                                   | 0.1.0          |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180097 | -  | -       | -   | TP to add skeleton of 6.5.2.3 ACLR to 38.521-2                                  | 0.1.0          |
| 2018-03        | RAN5 #78                  | R5-181508 | -  | -       | -   | Updated 38.521-2 to extend Annex with additional testing information            | 0.2.0          |
| 2018-03        | RAN5 #78                  | R5-181680 | -  | -       | -   | TP to skeleton of 7.6.1 Inband blocking to 38.521-2                             | 0.2.0          |
| 2018-03        | RAN5 #78                  | R5-181681 | -  | -       | -   | 5G-NR: Text Proposal to add spurious emissions test case to 38.521-2            | 0.2.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-181978 | -  | -       | -   | Update TS 38.521-2 further to align with the latest TS 38.101-2 spec structure. | 0.3.1          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182027 | -  | -       | -   | 5G-NR Text Proposal to update spurious emissions test case to 38.521-2          | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182041 | -  | -       | -   | 5G-NR Text Proposal to add REFSSENS test case to 38.521-2                       | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182009 | -  | -       | -   | General section updated to 38.521-2   | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182048 | -  | -       | -   | Addition of FR2 test case 6.3.1 Minimum Output Power                            | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182049 | -  | -       | -   | Addition of FR2 test case 6.3.3.2 General ON/OFF time mask                      | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-181839 | -  | -       | -   | Definitions and abbreviations updated to 38.521-2                               | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-181840 | -  | -       | -   | Operating bands and Channel arrangement updated to 38.521-2                     | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182008 | -  | -       | -   | Introduction of new test case 7.4 Maximum input level                           | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182010 | -  | -       | -   | Common uplink configuration table for Tx test cases for TS 38.521-2 non-CA      | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182011 | -  | -       | -   | TP for 6.5.1 Occupied Bandwidth in TS 38.521-2                                  | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182029 | -  | -       | -   | TP for 6.5.2.1 Spectrum Emission Mask in TS 38.521-2                            | 0.4.0          |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182031 | -  | -       | -   | TP for 6.5.2.3 Adjacent Channel Leakage Ratio in TS 38.521-2                    | 0.4.0          |

|         |                    |           |      |   |   |   |        |
|---------|--------------------|-----------|------|---|---|---|--------|
| 2018-04 | RAN5#2-5G-NR Adhoc | R5-182043 | -    | - | - | TP for 7.6.2 InBand Blocking in TS 38.521-2   | 0.4.0  |
| 2018-04 | RAN5#2-5G-NR Adhoc | R5-182046 | -    | - | - | TP for 7.5 Adjacent channel selectivity in TS 38.521-2                                    | 0.4.0  |
| 2018-04 | RAN5#2-5G-NR Adhoc | R5-181844 | -    | - | - | Add Annex G (normative): Measurement uncertainties and Test Tolerances                    | 0.4.0  |
| 2018-04 | RAN5#2-5G-NR Adhoc | R5-181844 | -    | - | - | Add clause 4.4 Test point analysis  | 0.4.0  |
| 2018-05 | RAN5 #79           | R5-183908 | -    | - | - | Introduction of New FR2 test case 6.3.3.4 PRACH time mask                                 | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-182769 | -    | - | - | General section updated to 38.521-2   | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183914 | -    | - | - | TP for FR2 spurious test procedure (38.521-2)   | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183925 | -    | - | - | Update of Refsens test procedure for FR2  | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-182883 | -    | - | - | Definitions, symbols and abbreviations updated to 38.521-2                                | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-182884 | -    | - | - | Operating bands and Channel arrangement updated to 38.521-2                               | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-182890 | -    | - | - | Update minimum conformance requirements and test requirement for 6.3.2 Transmit OFF power | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183926 | -    | - | - | Annex for test case applicability per permitted test method                               | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183712 | -    | - | - | Corrections annexes for EIRP and TRP metric definition                                    | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183927 | -    | - | - | Clean up TBD from Occupied Bandwidth, SEM and ACLR test cases                             | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183928 | -    | - | - | Clean up TBD from ACS and Inband Blocking test cases                                      | 0.5.0  |
| 2018-05 | RAN5 #79           | R5-183948 | -    | - | - | Statistical Testing Annex for 38.521-2  | 0.5.0  |
| 2018-08 | RAN5 #80           | R5-185348 | -    | - | - | Correction to FR2 Spurious TC and introduction of TRP measurement grid requirement        | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185350 | -    | - | - | Addition of Frequency Error test case to TS 38.521-2                                      | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185490 | -    | - | - | FR2_TxSpurious_TestConfig_38.521-2  | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185562 | -    | - | - | FR2_StoreTxRxBeamPeakCoordinates_38.521-2   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-184742 | -    | - | - | Update of FR2 test case 6.3.1   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-184743 | -    | - | - | Update of FR2 test case 6.3.3.2   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-184856 | -    | - | - | General sections updated to 38.521-2  | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185519 | -    | - | - | Updates of FR2 TRx MU and TT in Annex   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185555 | -    | - | - | FR2_UE_BeamlockInvoke_38.521-2  | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185191 | -    | - | - | Update to Occupied Bandwidth, SEM and ACLR test cases in TS 38.521-2                      | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185192 | -    | - | - | Update to ACS and inband blocking test cases in TS 38.521-2                               | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185187 | -    | - | - | FR2_RefSens_TestConfig_38.521-2   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185188 | -    | - | - | DL and UL RMC updated for FR2 tests   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185189 | -    | - | - | Downlink physical channel updated for FR2 tests   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185190 | -    | - | - | OCNG Patterns updated for FR2 tests   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185194 | -    | - | - | Update to Test frequencies for SEM in TS 38.521-2   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185196 | -    | - | - | Addition of Carrier Leakage test case to TS 38.521-2                                      | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185193 | -    | - | - | Addition of Annex Global In-Channel TX-Test to 38.521-2                                   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185197 | -    | - | - | Introduction of maximum output power test cases   | 1.0.0  |
| 2018-08 | RAN5 #80           | R5-185195 | -    | - | - | Addition of EVM test case to TS 38.521-2  | 1.0.0  |
| 2018-09 | RAN #81            | -         | -    | - | - | raised to v15.0.0 with editorial changes only   | 15.0.0 |
| 2018-12 | RAN #82            | R5-186504 | 0021 | - | F | FR2 RefSens test case updates   | 15.1.0 |
| 2018-12 | RAN #82            | R5-186505 | 0022 | - | F | Update Text on Store Beam Peak Coordinate   | 15.1.0 |
| 2018-12 | RAN #82            | R5-186510 | 0023 | - | F | Structure updates to Annex C and G  | 15.1.0 |
| 2018-12 | RAN #82            | R5-186675 | 0026 | - | F | Updating test case 6.2.3 maximum output power with additional requirements                | 15.1.0 |
| 2018-12 | RAN #82            | R5-187151 | 0034 | - | F | Updated to Annexes for FR2 tests  | 15.1.0 |
| 2018-12 | RAN #82            | R5-187152 | 0035 | - | F | General Information updated for TS38.521-2  | 15.1.0 |
| 2018-12 | RAN #82            | R5-187561 | 0042 | - | F | Update to Table 5.3.5-1 in TS 38.521-2  | 15.1.0 |
| 2018-12 | RAN #82            | R5-187619 | 0050 | - | F | Update of Section 6.3.3.1 General   | 15.1.0 |
| 2018-12 | RAN #82            | R5-187838 | 0045 | 1 | F | Update of transmit signal quality test cases in 38.521-2                                  | 15.1.0 |
| 2018-12 | RAN #82            | R5-187839 | 0046 | 1 | F | Addition of In-band Emissions test case to TS 38.521-2                                    | 15.1.0 |
| 2018-12 | RAN #82            | R5-187840 | 0047 | 1 | F | Addition of EVM equalizer spectral flatness test cases 6.4.2.4 and 6.4.2.5 to TS 38.521-2 | 15.1.0 |
| 2018-12 | RAN #82            | R5-187841 | 0048 | 1 | F | Update of Common Uplink Configuration for FR2   | 15.1.0 |
| 2018-12 | RAN #82            | R5-187842 | 0029 | 1 | F | General sections updated to 38.521-2  | 15.1.0 |
| 2018-12 | RAN #82            | R5-187843 | 0044 | 1 | F | Update of Global In-channel Tx Test Annex in 38.521-2                                     | 15.1.0 |
| 2018-12 | RAN #82            | R5-187886 | 0020 | 1 | F | FR2 Spurious Emission test case updates   | 15.1.0 |
| 2018-12 | RAN #82            | R5-187912 | 0038 | 1 | F | Addition of notes to clarify test point selection into general section of TS 38.521-2     | 15.1.0 |
| 2018-12 | RAN #82            | R5-188037 | 0032 | 1 | F | Removing the Editor's notes of SA messages and procedures for all FR2 test cases          | 15.1.0 |
| 2018-12 | RAN #82            | R5-188038 | 0036 | 1 | F | FR2 downlink signal level(38.521-2)   | 15.1.0 |

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| 2018-12 | RAN #82 | R5-188063 | 0027 | 1 | F | Update of FR2 6.3.2 Transmit OFF power  | 15.1.0 |
| 2018-12 | RAN #82 | R5-188212 | 0040 | 2 | F | Updates to maximum output power test cases  | 15.1.0 |
| 2018-12 | RAN #82 | R5-188213 | 0028 | 1 | F | Update of FR2 test case 7.4   | 15.1.0 |
| 2018-12 | RAN #82 | R5-188214 | 0025 | 1 | F | Updates of TT in TS 38.521-2 Annex F during RAN5#81                               | 15.1.0 |
| 2018-12 | RAN #82 | R5-188215 | 0031 | 1 | F | TDD configuration for UE Tx test in FR2   | 15.1.0 |
| 2018-12 | RAN #82 | R5-188216 | 0039 | 1 | F | Core alignment CR to capture TS 38.101-2 updates during RAN4#89                   | 15.1.0 |
| 2018-12 | RAN #82 | R5-188217 | 0041 | 2 | F | On measurement grids  | 15.1.0 |
| 2018-12 | RAN #82 | R5-188218 | 0043 | 1 | F | Update to Annex K   | 15.1.0 |
| 2018-12 | RAN #82 | RP-182736 | 0024 | 2 | F | Updates of MU Annex F   | 15.1.0 |
| 2019-03 | RAN #83 | R5-191091 | 0083 | - | F | Updates of TT in TS38.521-2 Annex F during RAN5#NR4                               | 15.2.0 |
| 2019-03 | RAN #83 | R5-191092 | 0084 | - | F | Editorial correction of core alignment in TS 38.521-2                             | 15.2.0 |
| 2019-03 | RAN #83 | R5-191093 | 0085 | - | F | Editorial cleaning up of test configuration tables in TS 38.521-2                 | 15.2.0 |
| 2019-03 | RAN #83 | R5-191246 | 0086 | - | F | Update TRP measurement procedure Annex in TS38.521-2                              | 15.2.0 |
| 2019-03 | RAN #83 | R5-191247 | 0087 | - | F | Update Annex K and Annex M in TS38.521-2  | 15.2.0 |
| 2019-03 | RAN #83 | R5-191259 | 0088 | - | F | Update to FR2 test case 6.3.3.4 PRACH time mask                                   | 15.2.0 |
| 2019-03 | RAN #83 | R5-191507 | 0090 | - | F | Shared Risk clarification in TS 38.521-2  | 15.2.0 |
| 2019-03 | RAN #83 | R5-191609 | 0093 | - | F | CR to TS 38.521-2 to add text proposal for Annex F.1                              | 15.2.0 |
| 2019-03 | RAN #83 | R5-191676 | 0094 | - | F | Addition of FR2 6.2.4 Configured transmitted power                                | 15.2.0 |
| 2019-03 | RAN #83 | R5-191677 | 0095 | - | F | Update of FR2 6.3.1 Minimum Output Power  | 15.2.0 |
| 2019-03 | RAN #83 | R5-191679 | 0096 | - | F | Addition of FR2 6.3.4.2 Absolute power tolerance                                  | 15.2.0 |
| 2019-03 | RAN #83 | R5-191680 | 0097 | - | F | Update of FR2 6.3.3.2 General ON/OFF time mask                                    | 15.2.0 |
| 2019-03 | RAN #83 | R5-191793 | 0098 | - | F | Introduction of Minimum output power for 2UL CA                                   | 15.2.0 |
| 2019-03 | RAN #83 | R5-191809 | 0099 | - | F | OBW test procedure update for 38.521-2  | 15.2.0 |
| 2019-03 | RAN #83 | R5-191812 | 0100 | - | F | FR2 Spurious Emission test case updates   | 15.2.0 |
| 2019-03 | RAN #83 | R5-191824 | 0102 | - | F | Update to Annex K and Annex L   | 15.2.0 |
| 2019-03 | RAN #83 | R5-191986 | 0107 | - | F | Introduction of Annex on Characteristics of the Interfering Signal FR2            | 15.2.0 |
| 2019-03 | RAN #83 | R5-192092 | 0110 | - | F | Test mode and test loop function activation in SA Tx RF test cases in TS 38.521-2 | 15.2.0 |
| 2019-03 | RAN #83 | R5-192095 | 0111 | - | F | Test mode and test loop function activation in SA Rx RF test cases in TS 38.521-2 | 15.2.0 |
| 2019-03 | RAN #83 | R5-192122 | 0112 | - | F | Update of Global In-channel Tx Test Annex for FR2                                 | 15.2.0 |
| 2019-03 | RAN #83 | R5-192450 | 0089 | 1 | F | Update of test case 6.3.4.3, Relative power tolerance in 38.521-2                 | 15.2.0 |
| 2019-03 | RAN #83 | R5-192451 | 0082 | 1 | F | Updates of test environment for frequency error                                   | 15.2.0 |
| 2019-03 | RAN #83 | R5-192452 | 0105 | 1 | F | FR2 SA Spurious Emission Coexistence test case                                    | 15.2.0 |
| 2019-03 | RAN #83 | R5-192648 | 0106 | 1 | F | Introduction of Aggregate power tolerance in NR SA FR2                            | 15.2.0 |
| 2019-03 | RAN #83 | R5-192649 | 0117 | 1 | F | CR to add UL RMC for 60kHz SCS in Annex A.2.3                                     | 15.2.0 |
| 2019-03 | RAN #83 | R5-192650 | 0113 | 1 | F | Update of transmit signal quality test cases for FR2                              | 15.2.0 |
| 2019-03 | RAN #83 | R5-192651 | 0114 | 1 | F | Update OBW test case in TS 38.521-2   | 15.2.0 |
| 2019-03 | RAN #83 | R5-192652 | 0115 | 1 | F | Update SEM test case in TS 38.521-2   | 15.2.0 |
| 2019-03 | RAN #83 | R5-192653 | 0116 | 1 | F | Update ACLR test case in TS 38.521-2  | 15.2.0 |
| 2019-03 | RAN #83 | R5-192654 | 0101 | 1 | F | FR2 Reference Sensitivity test case updates                                       | 15.2.0 |
| 2019-03 | RAN #83 | R5-192655 | 0104 | 1 | F | FR2 Reference Sensitivity EIS spherical coverage                                  | 15.2.0 |
| 2019-03 | RAN #83 | R5-192667 | 0108 | 1 | F | Update of Annex F.2   | 15.2.0 |
| 2019-03 | RAN #83 | R5-192849 | 0080 | 2 | F | Updates of MU in TS38.521-2 Annex F during RAN5#82                                | 15.2.0 |
| 2019-03 | RAN #83 | R5-192843 | 0081 | 2 | F | Updates of TT in TS38.521-2 Annex F during RAN5#82                                | 15.2.0 |
| 2019-03 | RAN #83 | R5-192680 | 0103 | 1 | F | 38.521-2 Editor's Note Updates  | 15.2.0 |
| 2019-03 | RAN #83 | RP-190746 | 0118 | 4 | F | Updates to maximum output power test cases  | 15.2.0 |
| 2019-03 | RAN#83  | -         | -    | - | - | Editorial correction of references to TS 38.508-1 clause 4.6 tables               | 15.2.0 |
| 2019-06 | RAN#84  | R5-193541 | 0137 | - | F | Alignment of scheduling of DL RMC with scheduling of UL RMC                       | 15.3.0 |
| 2019-06 | RAN#84  | R5-193552 | 0138 | - | F | Core alignment of RAN4 pending issues in TS 38.521-2                              | 15.3.0 |
| 2019-06 | RAN#84  | R5-193575 | 0143 | - | F | Correction of 38.521-2 7.4  | 15.3.0 |
| 2019-06 | RAN#84  | R5-193749 | 0151 | - | F | Updates of ACLR test procedure  | 15.3.0 |
| 2019-06 | RAN#84  | R5-193820 | 0152 | - | F | Correction of 38.521-2 clause 2 to 5  | 15.3.0 |
| 2019-06 | RAN#84  | R5-194009 | 0153 | - | F | FR2 Reference Sensitivity test case updates                                       | 15.3.0 |
| 2019-06 | RAN#84  | R5-194243 | 0161 | - | F | Addition FR2 blocking measurement procedure in Annex K                            | 15.3.0 |
| 2019-06 | RAN#84  | R5-194264 | 0163 | - | F | Correction to FR2 EIRP test configurations  | 15.3.0 |
| 2019-06 | RAN#84  | R5-194265 | 0164 | - | F | Correction to FR2 EIS test configurations   | 15.3.0 |
| 2019-06 | RAN#84  | R5-194269 | 0165 | - | F | Update FR2 ACS and Inband blocking test cases                                     | 15.3.0 |
| 2019-06 | RAN#84  | R5-194461 | 0170 | - | F | Update to 6.2.3 A-MPR FR2   | 15.3.0 |
| 2019-06 | RAN#84  | R5-194618 | 0171 | - | F | Update of Global In-channel Tx Test Annex for FR2                                 | 15.3.0 |
| 2019-06 | RAN#84  | R5-194958 | 0139 | 1 | F | Updates of MU and TT in TS 38.521-2 Annex F during RAN5#NR5                       | 15.3.0 |
| 2019-06 | RAN#84  | R5-194968 | 0167 | 1 | F | Update of TC 6.3A.1.1 Minimum output power for 2UL CA                             | 15.3.0 |
| 2019-06 | RAN#84  | R5-194969 | 0166 | 1 | F | Clean up FR2 SA test cases  | 15.3.0 |
| 2019-06 | RAN#84  | R5-194970 | 0160 | 1 | F | Introduction of beam correspondence   | 15.3.0 |
| 2019-06 | RAN#84  | R5-194971 | 0162 | 1 | F | Introduction of beam correspondence for CA  | 15.3.0 |
| 2019-06 | RAN#84  | R5-194976 | 0173 | 1 | F | Update of Frequency Error Test Case for FR2                                       | 15.3.0 |
| 2019-06 | RAN#84  | R5-194977 | 0175 | 1 | F | Editorial corrections for 6.2.1 UE maximum output power                           | 15.3.0 |
| 2019-06 | RAN#84  | R5-195080 | 0176 | - | F | Update of FR2 ON ON time mask test cases  | 15.3.0 |
| 2019-06 | RAN#84  | R5-195147 | 0141 | 1 | F | Addition of new SA FR2 RF test case 6.2.2   | 15.3.0 |

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| 2019-06 | RAN#84 | R5-195149 | 0142 | 1 | F | Correction of 38.521-2 6.3.2   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195151 | 0144 | 1 | F | Introduction of MOP (SA UL CA)   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195152 | 0145 | 1 | F | Introduction of OFF power (SA UL CA)   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195153 | 0146 | 1 | F | Introduction of Frequency error (SA UL CA)   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195154 | 0148 | 1 | F | Introduction of SEM (SA UL CA)   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195155 | 0149 | 1 | F | Introduction of ACLR (SA UL CA)  | 15.3.0 |
| 2019-06 | RAN#84 | R5-195156 | 0150 | 1 | F | Introduction of General Spurious (SA UL CA)  | 15.3.0 |
| 2019-06 | RAN#84 | R5-195157 | 0157 | 1 | F | Introduction of New test case 6.5A.1.1 Occupied bandwidth for CA (2UL CA)  | 15.3.0 |
| 2019-06 | RAN#84 | R5-195158 | 0156 | 1 | F | Update Out of band emission test cases in TS 38.521-2  | 15.3.0 |
| 2019-06 | RAN#84 | R5-195160 | 0159 | 1 | F | Introduction of SRS time mask for UL-MIMO  | 15.3.0 |
| 2019-06 | RAN#84 | R5-195404 | 0172 | 1 | F | Update of transmit signal quality test cases for FR2   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195417 | 0154 | 1 | F | 38.521-2 implementation of FR2 UL demod OTA tests using single pol Rx TE   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195432 | 0168 | 2 | F | Update to 6.2.1.1 UE maximum output power - EIRP and TRP   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195433 | 0169 | 2 | F | Update to 6.2.1.2 UE maximum output power - Spherical coverage   | 15.3.0 |
| 2019-06 | RAN#84 | R5-195434 | 0140 | 1 | F | Updates of MU and TT in TS 38.521-2  | 15.3.0 |
| 2019-06 | RAN#84 | R5-195435 | 0155 | 1 | F | Core alignment with TS 38.101-2  | 15.3.0 |
| 2019-06 | RAN#84 | -         | -    | - | - | Administrative release upgrade to match the release of 3GPP TS 38.508-1 and TS 38.521-1 which were upgraded at RAN#84 to Rel-16 due to Rel-16 relevant CR(s) | 16.0.0 |
| 2019-09 | RAN#85 | R5-195695 | 0178 | - | F | Change of TS 38.521-2 UL CA MOP Minimum conformance requirements   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196069 | 0194 | - | F | Introduction of absolute power tolerance for CA test cases   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196165 | 0198 | - | F | Correction of wrong spec reference numbers for TS 38.508-1   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196236 | 0202 | - | F | Correction to test procedure of TC 6.4.2.2 Carrier Leakage   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196240 | 0206 | - | F | Clarification on EVM test requirement for PUCCH and PRACH  | 16.1.0 |
| 2019-09 | RAN#85 | R5-196427 | 0208 | - | F | Update of FR2 6.2.4 Configured transmitted power   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196428 | 0209 | - | F | Update of FR2 6.3.3.2 General ON OFF time mask   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196431 | 0211 | - | F | Addition of FR2 6.2A.4 Configured transmitted power for 2UL CA   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196433 | 0213 | - | F | Addition of FR2 6.2D.4 Configured transmitted power for UL MIMO  | 16.1.0 |
| 2019-09 | RAN#85 | R5-196434 | 0214 | - | F | Addition of FR2 6.3D.1 Minimum output power for UL MIMO  | 16.1.0 |
| 2019-09 | RAN#85 | R5-196594 | 0220 | - | F | Addition of new test case 6.4A.2.1.2 Error vector magnitude for 3UL CA in FR2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-196595 | 0221 | - | F | Addition of new test case 6.4A.2.1.3 Error vector magnitude for 4UL CA in FR2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-196650 | 0225 | - | F | Update of Minimum conformance requirements and test configurations in TC 6.2.2   | 16.1.0 |
| 2019-09 | RAN#85 | R5-196810 | 0229 | - | F | Update to TRP measurement grid section in TS 38.521-2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-196950 | 0239 | - | F | Corrections on clause 2 and 3 in 38.521-2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197384 | 0197 | 1 | F | Update UL-MIMO to UL MIMO to align with RAN4 terminology in FR2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197385 | 0238 | 1 | F | Update OBW FR2 test case   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197386 | 0200 | 1 | F | Alignment of clause 2 to 5 with the core spec  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197387 | 0242 | - | F | Integrating the QoQZ Procedures into 38.521-2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197388 | 0219 | 1 | F | Addition of new test case 6.4A.2.1.1 Error vector magnitude for 2UL CA in FR2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197389 | 0222 | 1 | F | Update of TC 6.3A.1.1 Minimum output power for 2UL CA  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197390 | 0223 | 1 | F | Addition of new test case 6.3A.1.2 Minimum output power for 3UL CA in FR2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197391 | 0224 | 1 | F | Addition of new test case 6.3A.1.3 Minimum output power for 4UL CA in FR2  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197392 | 0227 | 1 | F | Update of Common Uplink Configuration table for PC3  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197393 | 0212 | 1 | F | Addition of FR2 6.3A.3 ON OFF time mask for 2 UL CA  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197394 | 0215 | 1 | F | Addition of FR2 6.3D.3 General ON OFF power for UL MIMO  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197395 | 0199 | 1 | F | Addition of new Annex N (normative): UE coordinate system  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197500 | 0231 | 1 | F | Update of Spurious Emissions TRP test procedure  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197501 | 0233 | 1 | F | Update of FR2 MUs in TS 38.521-2   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197503 | 0230 | 1 | F | Update of TRP measurement grids for spurious emissions   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197529 | 0180 | 1 | F | New Introduction of TC 6.2A.1.2.1 UE Maximum output power Spherical coverage 2UL CA  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197530 | 0181 | 1 | F | New Introduction of TC 6.2A.1.2.2 UE Maximum output power Spherical coverage 3UL CA  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197531 | 0182 | 1 | F | New Introduction of TC 6.2A.1.2.3 UE Maximum output power Spherical coverage 4UL CA  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197532 | 0183 | 1 | F | New Introduction of TC 6.4A.2.2.1 Carrier leakage 2UL CA   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197533 | 0184 | 1 | F | New Introduction of TC 6.4A.2.2.2 Carrier leakage 3UL CA   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197534 | 0185 | 1 | F | New Introduction of TC 6.4A.2.2.3 Carrier leakage 4UL CA   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197535 | 0189 | 1 | F | Rel-16_NR_38.521-2_Addition of new TC 6.2A.1.1.1   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197536 | 0193 | 1 | F | Additions to the SRS time mask for UL-MIMO test case   | 16.1.0 |

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| 2019-09 | RAN#85 | R5-197537 | 0195 | 1 | F | Additions to the beam correspondence test case  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197538 | 0203 | 1 | F | Correction to RB allocation in 6.2.2 UE maximum output power reduction  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197539 | 0204 | 1 | F | Correction to number of measurements of 6.4.2.3 In-band emissions   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197540 | 0205 | 1 | F | Correction to UBF in transmit modulation quality test cases   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197541 | 0226 | 1 | F | Update of FR2 A-MPR test case   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197543 | 0190 | 1 | F | Refsens test case updates   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197544 | 0196 | 1 | F | Introduction of beam correspondence to direct far field (DFF)   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197545 | 0216 | 1 | F | Updated to Annex A for RF FR2 tests   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197546 | 0232 | 1 | F | Integrating the Re-Positioning Concept into Annex K   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197614 | 0191 | 1 | F | Spurious test case updates  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197642 | 0201 | 1 | F | Correction to 6.5.2.1 SEM and 6.5.2.3 ACLR to consider MPR values   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197643 | 0210 | 2 | F | Addition of FR2 6.2A.2 MPR for 2 UL CA  | 16.1.0 |
| 2019-09 | RAN#85 | R5-197644 | 0177 | 2 | F | Updates of MU and TT in TS 38.521-2   | 16.1.0 |
| 2019-09 | RAN#85 | R5-197645 | 0234 | 2 | F | Addition of the connection setup in TS 38.521-2   | 16.1.0 |
| 2019-12 | RAN#86 | R5-198072 | 0247 | - | F | Introduction of 4 New test cases 6.5A.1 Occupied bandwidth for CA   | 16.2.0 |
| 2019-12 | RAN#86 | R5-198073 | 0248 | - | F | Introduction of 4 New test cases 6.5A.2.1 Spectrum Emission Mask for CA   | 16.2.0 |
| 2019-12 | RAN#86 | R5-198075 | 0249 | - | F | Introduction of 4 New test cases 6.5A.2.2 Adjacent channel leakage ratio for CA                                       | 16.2.0 |
| 2019-12 | RAN#86 | R5-198078 | 0250 | - | F | New Introduction of TC 6.2A.1.2.4 UE maximum output power - Spherical coverage 5UL CA                                 | 16.2.0 |
| 2019-12 | RAN#86 | R5-198079 | 0251 | - | F | New Introduction of TC 6.2A.1.2.5 UE maximum output power - Spherical coverage 6UL CA                                 | 16.2.0 |
| 2019-12 | RAN#86 | R5-198080 | 0252 | - | F | New Introduction of TC 6.2A.1.2.6 UE maximum output power - Spherical coverage 7UL CA                                 | 16.2.0 |
| 2019-12 | RAN#86 | R5-198081 | 0253 | - | F | New Introduction of TC 6.2A.1.2.7 UE maximum output power - Spherical coverage 8UL CA                                 | 16.2.0 |
| 2019-12 | RAN#86 | R5-198210 | 0260 | - | F | Addition of Common Uplink Configuration for PC1 in SA FR2 6.1   | 16.2.0 |
| 2019-12 | RAN#86 | R5-198381 | 0267 | - | F | Introduction of beam correspondence side conditions   | 16.2.0 |
| 2019-12 | RAN#86 | R5-198385 | 0269 | - | F | Update of minimum conformance requirements for SA FR2 7.4   | 16.2.0 |
| 2019-12 | RAN#86 | R5-198636 | 0276 | - | F | General clause updated for FR2 spec   | 16.2.0 |
| 2019-12 | RAN#86 | R5-198730 | 0278 | - | F | Correction of test requirements   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199086 | 0262 | 1 | F | CR to 38.521-2 on Measurement Grids for PC1 UEs   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199087 | 0243 | 2 | F | Updates of MU and TT in TS 38.521-2   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199356 | 0245 | 1 | F | Update of FR2 6.3.3.2 ON-OFF time mask  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199357 | 0244 | 1 | F | Update of FR2 6.3.1 minimum output power  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199358 | 0263 | 1 | F | CR to 38.521-2 on optimized search procedure for REFSSENS   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199359 | 0264 | 1 | F | CR to 38.521-2 on optimized search procedure for RX Beam Peak Search  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199360 | 0254 | 1 | F | Updating incorrect note in test procedure   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199361 | 0256 | 1 | F | Spurious UL MIMO test case updates  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199373 | 0265 | 1 | F | Introduction of New TC 6.4A.2.3.1 In-band emissions for 2UL CA  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199374 | 0266 | 1 | F | Update to test case 6.3.3.4 PRACH time mask in FR2  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199375 | 0257 | 1 | F | Ref Sens UL MIMO test case updates  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199376 | 0258 | 1 | F | Alignment of clause 3 to 5 with the core spec   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199461 | 0271 | 2 | F | Further updates to the SRS time mask for UL-MIMO test case  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199473 | 0282 | - | F | Update to UE maximum output power - Spherical coverage  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199483 | 0277 | 1 | F | Update of applicability for Spherical coverage and Beam Correspondence test cases                                     | 16.2.0 |
| 2019-12 | RAN#86 | R5-199494 | 0281 | 1 | F | Add section 4.5 Applicability and test coverage rules   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199495 | 0246 | 1 | F | Update of FR2 6.3.4.2 absolute power tolerance  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199496 | 0270 | 1 | F | Further updates to the absolute power tolerance for CA test cases   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199504 | 0259 | 1 | F | Addition of test requirements and update of minimum conformance requirements and test configurations for SA FR2 6.2.2 | 16.2.0 |
| 2019-12 | RAN#86 | R5-199548 | 0268 | 1 | F | Updates to the beam correspondence TC   | 16.2.0 |
| 2019-12 | RAN#86 | R5-199579 | 0279 | 1 | F | Update of quality of quiet zone validation procedure  | 16.2.0 |
| 2019-12 | RAN#86 | R5-199586 | 0275 | 1 | F | Update on FR2 Spurious Test in 38.521-2   | 16.2.0 |
| 2020-03 | RAN#87 | R5-200319 | 0288 |   | F | CR to 38.521-2 on CDF/PDF Scaling Factor  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200320 | 0289 |   | F | CR to 38.521-2: Correction to TRP grid  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200368 | 0292 |   | F | Addition of new test case 6.3A.1.4 Minimum output power for 5UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200369 | 0293 |   | F | Addition of new test case 6.3A.1.5 Minimum output power for 6UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200372 | 0294 |   | F | Addition of new test case 6.3A.1.6 Minimum output power for 7UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200374 | 0295 |   | F | Addition of new test case 6.3A.1.7 Minimum output power for 8UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200375 | 0296 |   | F | Addition of new test case 6.4A.2.1.4 Error vector magnitude for 5UL   | 16.3.0 |

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| 2020-03 | RAN#87 | R5-200376 | 0297 |   | F | Addition of new test case 6.4A.2.1.5 Error vector magnitude for 6UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200377 | 0298 |   | F | Addition of new test case 6.4A.2.1.6 Error vector magnitude for 7UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200382 | 0300 |   | F | Addition of new test case 6.4A.2.1.7 Error vector magnitude for 8UL CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200383 | 0301 |   | F | Update of test cases for Error vector magnitude for CA in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200418 | 0302 |   | F | Update of Operating bands and Channel arrangement of SA FR2 R15   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200444 | 0303 |   | F | Clarification of measurement interval of frequency error in FR2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200557 | 0309 |   | F | Clarify absolute power tolerance for CA TP3   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200602 | 0312 |   | F | Updates to reference sensitivity test case  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200656 | 0317 |   | F | Correction of Editor's note of 6.2.2 and 6.3.2 of SA FR2 R15  | 16.3.0 |
| 2020-03 | RAN#87 | R5-201248 | 0318 | 1 | F | Alignment of Table A.3.1-1 in 38.521-2 to core spec 38.101-2  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200800 | 0319 |   | F | Update of Standalone FR2 A-MPR test case  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200894 | 0286 | 1 | F | Correction to TC 6.3.4.4 Aggregate power tolerance  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200910 | 0310 | 1 | F | Beam correspondence TC message contents clarifications  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200911 | 0285 | 1 | F | Update of Clause 4 in TS 38.521-2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-200980 | 0284 | 1 | F | Correction of reference numbers in TS 38.521-2  | 16.3.0 |
| 2020-03 | RAN#87 | R5-200992 | 0291 | 1 | F | Updates of MU and TT in TS 38.521-2 for Rel-16  | 16.3.0 |
| 2020-03 | RAN#87 | R5-201059 | 0305 | 1 | F | Update of rx beampeak search  | 16.3.0 |
| 2020-03 | RAN#87 | R5-201060 | 0307 | 1 | F | Update of absolute power tolerance for test point 3   | 16.3.0 |
| 2020-03 | RAN#87 | R5-201161 | 0313 | 1 | F | Updates to test case relative power tolerance 6.3.4.3   | 16.3.0 |
| 2020-03 | RAN#87 | R5-201192 | 0283 | 1 | F | Updates of MU and TT in TS 38.521-2   | 16.3.0 |
| 2020-03 | RAN#87 | R5-201244 | 0311 | 3 | F | Correction of the FR2 RMC slot patterns for MOP test cases  | 16.3.0 |
| 2020-06 | RAN#88 | R5-201328 | 0321 | - | F | Add n261 to FR2 ACLR requirements   | 16.4.0 |
| 2020-06 | RAN#88 | R5-201330 | 0323 | - | F | Update to UBF command implementation for Relative power sub tests   | 16.4.0 |
| 2020-06 | RAN#88 | R5-201795 | 0325 | - | F | Introduction of New TC 6.4A.2.2.4 Carrier leakage for 5UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201796 | 0326 | - | F | Introduction of New TC 6.4A.2.2.5 Carrier leakage for 6UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201797 | 0327 | - | F | Introduction of New TC 6.4A.2.2.6 Carrier leakage for 7UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201811 | 0328 | - | F | Introduction of New TC 6.4A.2.2.7 Carrier leakage for 8UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201812 | 0329 | - | F | Introduction of New TC 6.4A.2.3.2 In-band emissions for 3UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201813 | 0330 | - | F | Introduction of New TC 6.4A.2.3.3 In-band emissions for 4UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201814 | 0331 | - | F | Introduction of New TC 6.4A.2.3.4 In-band emissions for 5UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201815 | 0332 | - | F | Introduction of New TC 6.4A.2.3.5 In-band emissions for 6UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201835 | 0333 | - | F | Correction of FR2 PUCCH EVM definition  | 16.4.0 |
| 2020-06 | RAN#88 | R5-201849 | 0334 | - | F | Updating common uplink allocation for PC1   | 16.4.0 |
| 2020-06 | RAN#88 | R5-201850 | 0335 | - | F | Cleaning up references to common uplink configuration   | 16.4.0 |
| 2020-06 | RAN#88 | R5-201851 | 0336 | - | F | Updating test requirements of 6.2.3 AMPR for NS 201   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202045 | 0342 | - | F | Correction of test metric in minimum conformance requirements and some test style in 6.3.2 of SA FR2 R15                            | 16.4.0 |
| 2020-06 | RAN#88 | R5-202046 | 0343 | - | F | Correction of uplink configuration table number in minimum conformance requirements and test requirement table of 7.4 of SA FR2 R15 | 16.4.0 |
| 2020-06 | RAN#88 | R5-202120 | 0346 | - | F | CR to 38.521-2 to correct Clenshaw-Curtis Weight Equations  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202122 | 0348 | - | F | CR to 38.521-2 to clarify the applicability of QoQZ validation  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202135 | 0354 | - | F | Update to 6 test cases 6.5A.2.1.x Spectrum Emission Mask for 3 to 8 UL CA   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202137 | 0356 | - | F | Update to 6 test cases 6.5A.2.2.x Adjacent channel leakage ratio for 3 to 8 UL CA   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202447 | 0367 | - | F | Editorial correction to the test requirement of in-band blocking  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202450 | 0368 | - | F | Correction of Spectrum Emission Mask CA test cases  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202504 | 0372 | - | F | CR on EVM Window Centre Timing Definition in FR2  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202720 | 0345 | 1 | F | CR to 38.521-2 to correct Clenshaw-Curtis Weights at the Poles for CDF/CCDF   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202722 | 0364 | 1 | F | Additions to Initial Conditions and Messages for SRS time mask with UL MIMO   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202723 | 0337 | 1 | F | Aligning test procedure for Rx beam peak direction  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202724 | 0341 | 1 | F | Alignment of section 3 and 5 with core spec of SA FR2 R15   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202808 | 0365 | 1 | F | Receiver characteristics testing update to 38.521-2   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202824 | 0351 | 1 | F | Update to test case 6.5A.1.1 Occupied bandwidth for 2UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202825 | 0353 | 1 | F | Update to test case 6.5A.2.1.1 Spectrum Emission Mask for 2UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202826 | 0355 | 1 | F | Update to test case 6.5A.2.2.1 Adjacent channel leakage ratio for 2UL CA  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202827 | 0371 | 1 | F | Update to 6 test cases 6.5A.1.x Occupied bandwidth for 3 to 8 UL CA   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202828 | 0338 | 1 | F | Updating SRS config table in test case 6.3D.3.4   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202885 | 0322 | 1 | F | Add NS 202 requirements to FR2 additional spurious emission test case   | 16.4.0 |

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| 2020-06 | RAN#88 | R5-202893 | 0349 | 1 | F | Editorial correction of test case 6.5.1 Occupied bandwidth to align with core spec     | 16.4.0 |
| 2020-06 | RAN#88 | R5-202894 | 0350 | 1 | F | Editorial correction of Tx test cases for Out of band emission to align with core spec | 16.4.0 |
| 2020-06 | RAN#88 | R5-202895 | 0357 | 1 | F | Clarification of disabling Tx diversity for FR2 UE for SA FR2 testing                  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202896 | 0358 | 1 | F | Updates of Test Points of Tx CA test cases   | 16.4.0 |
| 2020-06 | RAN#88 | R5-202897 | 0360 | 1 | F | Correction on txDirectCurrentLocation in FR2 SA tests                                  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202898 | 0370 | 1 | F | Update on transmit modulation quality test cases                                       | 16.4.0 |
| 2020-06 | RAN#88 | R5-202899 | 0361 | 1 | F | Update to SA FR2 Receiver Spurious Emission Test Case                                  | 16.4.0 |
| 2020-06 | RAN#88 | R5-202943 | 0363 | 1 | F | CR to 38.521-2: On the order of test steps for output power dynamics test cases        | 16.4.0 |
| 2020-06 | RAN#88 | R5-202968 | 0359 | 1 | F | Core spec alignment of k1 value for RF test cases                                      | 16.4.0 |
| 2020-06 | RAN#88 | R5-202990 | 0362 | 2 | F | Updates of FR2 MU and TT in TS 38.521-2  | 16.4.0 |
| 2020-06 | RAN#88 | R5-203117 | 0347 | 2 | F | CR to 38.521-2 to properly define Link and Meas Angles                                 | 16.4.0 |
| 2020-09 | RAN#89 | R5-203292 | 0373 | - | F | Clarification of Interferer frequency selection in FR2 IBB test case 7.6.2             | 16.5.0 |
| 2020-09 | RAN#89 | R5-203875 | 0392 | - | F | Alignment of general sections with core spec of SA FR2 R15                             | 16.5.0 |
| 2020-09 | RAN#89 | R5-203969 | 0394 | - | F | Updating beam correspondence capability  | 16.5.0 |
| 2020-09 | RAN#89 | R5-204264 | 0412 | - | F | Editorial correction of ACLR CA test cases   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204265 | 0413 | - | F | Editorial correction of Annex C.3 Connection   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204266 | 0414 | - | F | Update of FR2 OBW test case  | 16.5.0 |
| 2020-09 | RAN#89 | R5-204713 | 0382 | 1 | F | Correction to test configuration for Carrier leakage for CA                            | 16.5.0 |
| 2020-09 | RAN#89 | R5-204714 | 0383 | 1 | F | Correction to TC 6.4A.2.3.1 In-band emissions for 2UL CA                               | 16.5.0 |
| 2020-09 | RAN#89 | R5-204715 | 0384 | 1 | F | Correction to test cases 6.4A.2.3.x In-band emissions for 3 to 6 UL CA                 | 16.5.0 |
| 2020-09 | RAN#89 | R5-204716 | 0385 | 1 | F | Introduction of New TC 6.4A.2.3.6 In-band emissions for 7UL CA                         | 16.5.0 |
| 2020-09 | RAN#89 | R5-204717 | 0386 | 1 | F | Introduction of New TC 6.4A.2.3.7 In-band emissions for 8UL CA                         | 16.5.0 |
| 2020-09 | RAN#89 | R5-204763 | 0393 | 1 | F | Miscellaneous corrections due to core spec alignment                                   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204764 | 0415 | 1 | F | Update of Tx signal quality test cases   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204765 | 0395 | 1 | F | Addition of UL power setting for Rx test cases   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204856 | 0403 | 1 | F | CR to update MU and TT in 38.521-2   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204857 | 0380 | 1 | F | Beam correspondence - SRS configuration corrections in section 6.6.1                   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204858 | 0397 | 1 | F | CR to 38.521-2 to update Absolute Power Tolerance for CA on the order of test steps    | 16.5.0 |
| 2020-09 | RAN#89 | R5-204859 | 0401 | 1 | F | CR to TS 38.521-2: Correction to MB relaxation minimum requirements                    | 16.5.0 |
| 2020-09 | RAN#89 | R5-204860 | 0406 | 1 | F | CR to 38.521-2 to adjust the test step sequences                                       | 16.5.0 |
| 2020-09 | RAN#89 | R5-204861 | 0407 | 1 | F | CR to 38.521-2 to allow vendor declarations related to beam peak searches              | 16.5.0 |
| 2020-09 | RAN#89 | R5-204862 | 0408 | 1 | F | CR to 38.521-2 on QoQZ Verification Clarification                                      | 16.5.0 |
| 2020-09 | RAN#89 | R5-204863 | 0411 | 1 | F | FR2 Minimum output power MU updates  | 16.5.0 |
| 2020-09 | RAN#89 | R5-204864 | 0417 | 1 | F | FR2 EIRP OFF power MU updates  | 16.5.0 |
| 2020-09 | RAN#89 | R5-204865 | 0379 | 1 | F | Beam correspondence - SRS configuration corrections in annex K.1.1                     | 16.5.0 |
| 2020-09 | RAN#89 | R5-204914 | 0388 | 1 | F | Updates to test case 6.3.4.3, relative power tolerance                                 | 16.5.0 |
| 2020-09 | RAN#89 | R5-204915 | 0398 | 1 | F | CR to 38.521-2 to update Transmit OFF Power  | 16.5.0 |
| 2020-09 | RAN#89 | R5-204916 | 0399 | 1 | F | CR to TS 38.521-2: Correction to time mask requirements                                | 16.5.0 |
| 2020-09 | RAN#89 | R5-204917 | 0402 | 1 | F | Clean up complete status for FR2 SA test cases   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204918 | 0404 | 1 | F | Update to UE maximum output power for CA   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204919 | 0410 | 1 | F | FR2 Minimum output power measurement period definition                                 | 16.5.0 |
| 2020-09 | RAN#89 | R5-204920 | 0389 | 1 | F | FR2 RefSens and EIS spherical PC3 MBR table update                                     | 16.5.0 |
| 2020-09 | RAN#89 | R5-204921 | 0396 | 1 | F | Addition of modified MPR behaviour   | 16.5.0 |
| 2020-09 | RAN#89 | R5-204922 | 0400 | 1 | F | CR to TS 38.521-2: Annex F EIRP OFF Power  | 16.5.0 |
| 2020-09 | RAN#89 | R5-204923 | 0409 | 1 | F | CR to TS 38.521-2 on DUT alignment options   | 16.5.0 |
| 2020-09 | RAN#89 | RP-201671 | 0418 | - | F | Adding FR2 PDCCH Aggregation Level in Annex C.3  | 16.5.0 |
| 2020-12 | RAN#90 | R5-205259 | 0420 | - | F | Addition of new test case 6.4D.3 Time alignment error for UL MIMO in FR2               | 16.6.0 |
| 2020-12 | RAN#90 | R5-205260 | 0421 | - | F | Addition of new test case 6.5D.1 Occupied bandwidth for UL MIMO in FR2                 | 16.6.0 |
| 2020-12 | RAN#90 | R5-205496 | 0422 | - | F | Alignment of general sections with core spec   | 16.6.0 |
| 2020-12 | RAN#90 | R5-205497 | 0423 | - | F | Correction of minimum conformance requirements for 6.2.2 MPR                           | 16.6.0 |
| 2020-12 | RAN#90 | R5-205536 | 0427 | - | F | Aligning tested subframe numbers with defined RMC in test case 6.3.4.3                 | 16.6.0 |
| 2020-12 | RAN#90 | R5-205573 | 0428 | - | F | Adding a new note in test configuration table for ACLR and SEM test case               | 16.6.0 |
| 2020-12 | RAN#90 | R5-205711 | 0431 | - | F | FR2 EIS editor's note clean up   | 16.6.0 |
| 2020-12 | RAN#90 | R5-205811 | 0433 | - | F | Correction to Carrier leakage for CA   | 16.6.0 |
| 2020-12 | RAN#90 | R5-205812 | 0434 | - | F | Correction to In-band emissions for CA   | 16.6.0 |
| 2020-12 | RAN#90 | R5-205854 | 0438 | - | F | Correction of transmission gap for relative power tolerance TC                         | 16.6.0 |



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| 2020-12 | RAN#90 | R5-206009 | 0439 | - | F | Update of in-band emission and carrier leakage test cases   | 16.6.0 |
| 2020-12 | RAN#90 | R5-206206 | 0448 | - | F | Update of occupied bandwidth test case  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206210 | 0449 | - | F | Correction of Annex F for absolute power tolerance for CA   | 16.6.0 |
| 2020-12 | RAN#90 | R5-206644 | 0437 | 1 | F | Correction of MBW for output power dynamics TCs 6.3.x and ACLR TC 6.5.2.3                           | 16.6.0 |
| 2020-12 | RAN#90 | R5-206645 | 0440 | 1 | F | Correction of 6.2.3.3.1 for UE additional maximum power reduction                                   | 16.6.0 |
| 2020-12 | RAN#90 | R5-206646 | 0419 | 1 | F | Forgotten change extending Table range to N.2-7   | 16.6.0 |
| 2020-12 | RAN#90 | R5-206647 | 0430 | 1 | F | CR to update DMRS position in UL RMC for FR2  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206821 | 0442 | 1 | F | CR to 38.521-2 on ETC Testing   | 16.6.0 |
| 2020-12 | RAN#90 | R5-206822 | 0445 | 1 | F | Minimum output power updates  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206823 | 0446 | 1 | F | FR2 time masks updates  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206824 | 0443 | 1 | F | Update FR2 TRx MU and TT in 38.521-2  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206825 | 0444 | 1 | F | Minimum output power measurement uncertainties and test tolerances                                  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206826 | 0447 | 1 | F | FR2 Time masks updates  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206865 | 0429 | 1 | F | Update on Test points of FR2 Transmit OFF power for CA  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206866 | 0432 | 1 | F | Adding NS202 and NS203 to MOP and Spurious  | 16.6.0 |
| 2020-12 | RAN#90 | R5-206867 | 0435 | 1 | F | Addition of 6.5D.2.1 Spectrum Emission Mask for UL MIMO in FR2                                      | 16.6.0 |
| 2020-12 | RAN#90 | R5-206868 | 0436 | 1 | F | Addition of 6.5D.2.2 Adjacent channel leakage ratio for UL MIMO in FR2                              | 16.6.0 |
| 2021-03 | RAN#91 | R5-210489 | 0457 | - | F | Correction of test purpose for 6.3.2 Transmit OFF power   | 16.7.0 |
| 2021-03 | RAN#91 | R5-210490 | 0458 | - | F | Addition of new test case 6.3D.2 Transmit OFF power for UL MIMO                                     | 16.7.0 |
| 2021-03 | RAN#91 | R5-210491 | 0459 | - | F | Correction of test applicability and test description for 7.4 Maximum input level                   | 16.7.0 |
| 2021-03 | RAN#91 | R5-210492 | 0460 | - | F | Addition of new test cases for 7.4A Maximum input level for CA                                      | 16.7.0 |
| 2021-03 | RAN#91 | R5-210494 | 0462 | - | F | Removal of brackets for MU of EIS spherical coverage  | 16.7.0 |
| 2021-03 | RAN#91 | R5-210495 | 0463 | - | F | Correction of Annex P for Modified MPR behaviour  | 16.7.0 |
| 2021-03 | RAN#91 | R5-210496 | 0464 | - | F | Correction of definition for EIS  | 16.7.0 |
| 2021-03 | RAN#91 | R5-210565 | 0467 | - | F | Update of waveform to be used during Rx peam peak search in Annex K.1.2                             | 16.7.0 |
| 2021-03 | RAN#91 | R5-210724 | 0468 | - | F | Omitting of FR2 Rx cases with UL-MIMO on TDD bands  | 16.7.0 |
| 2021-03 | RAN#91 | R5-210729 | 0471 | - | F | Removing test condition of extreme voltage  | 16.7.0 |
| 2021-03 | RAN#91 | R5-210731 | 0473 | - | F | Adding definition of FR2a, FR2b and FR2c in general section   | 16.7.0 |
| 2021-03 | RAN#91 | R5-210732 | 0474 | - | F | Cleaning up of Annex K  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211094 | 0481 | - | F | Correction to assumption of aggregated channel bandwidth in TC 6.5A.2.2                             | 16.7.0 |
| 2021-03 | RAN#91 | R5-211097 | 0484 | - | F | Definition of relaxation value of spurious emissions UE co-existence in TC 6.5.3.2                  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211110 | 0486 | - | F | Corrections to subclauses in 38.521-2 with appropriate subclause level and heading styles           | 16.7.0 |
| 2021-03 | RAN#91 | R5-211126 | 0488 | - | F | Update of 5.5A.2 for corrections to configurations for intra-band non-contiguous CA                 | 16.7.0 |
| 2021-03 | RAN#91 | R5-211683 | 0456 | 1 | F | Editorial corrections in Occupied bandwidth test procedure  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211684 | 0465 | 1 | F | FR2 UL CA Frequency error test cases update   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211685 | 0469 | 1 | F | Addition of Inner_partial allocation in general section and a few test cases                        | 16.7.0 |
| 2021-03 | RAN#91 | R5-211686 | 0470 | 1 | F | Correction of parameter configuration for open loop power control                                   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211688 | 0476 | 1 | F | Addition of new test case 6.2A.1.1.4 UE maximum output power - EIRP and TRP for 5UL CA              | 16.7.0 |
| 2021-03 | RAN#91 | R5-211689 | 0477 | 1 | F | Addition of new test case 6.2A.1.1.5 UE maximum output power - EIRP and TRP for 6UL CA              | 16.7.0 |
| 2021-03 | RAN#91 | R5-211690 | 0478 | 1 | F | Addition of new test case 6.2A.1.1.6 UE maximum output power - EIRP and TRP for 7UL CA              | 16.7.0 |
| 2021-03 | RAN#91 | R5-211691 | 0479 | 1 | F | Addition of new test case 6.2A.1.1.7 UE maximum output power - EIRP and TRP for 8UL CA              | 16.7.0 |
| 2021-03 | RAN#91 | R5-211692 | 0487 | 1 | F | Corrections to reference figures for transmission bandwidth configuration in FR2                    | 16.7.0 |
| 2021-03 | RAN#91 | R5-211693 | 0493 | 1 | F | Update of Annex F for test case 7.3.4   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211863 | 0466 | 1 | F | FR2 MPR, ACLR and SEM test cases update as per TP analysis update                                   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211864 | 0472 | 1 | F | Cleaning up of FR2 test specification   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211865 | 0475 | 1 | F | Update of TX Test Cases for UL MIMO in FR2  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211866 | 0482 | 1 | F | Correction to definition of power control window size in FR2 relative power tolerance in TC 6.3.4.3 | 16.7.0 |
| 2021-03 | RAN#91 | R5-211867 | 0491 | 1 | F | FR2 Tx additional spurious emission test case updates   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211868 | 0453 | 1 | F | ACS FR2 test case update  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211869 | 0454 | 1 | F | IBB FR2 test case update  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211919 | 0451 | 1 | F | Introduction of FR2 DL 256QAM   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211921 | 0480 | 1 | F | Correction to ACLR relaxation value in TC 6.5.2.3   | 16.7.0 |
| 2021-03 | RAN#91 | R5-211922 | 0455 | 1 | F | MU and TT definition for REFSSENS FR2 CA test cases   | 16.7.0 |

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| 2021-03 | RAN#91 | R5-211923 | 0485 | 1 | F | Update FR2 MU and TT in 38.521-2  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211924 | 0490 | 1 | F | CR to 38.521-2 on PC1 Measurement Grid MUs  | 16.7.0 |
| 2021-03 | RAN#91 | R5-211925 | 0492 | 1 | F | Update of ETC MTSU  | 16.7.0 |
| 2021-06 | RAN#92 | R5-212225 | 0496 | - | F | Configured transmitter power for UL power boosting  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212226 | 0497 | - | F | In-band emissions for UL power boosting   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212227 | 0498 | - | F | Output power dynamics for CA  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212229 | 0500 | - | F | Occupied bandwidth for CA   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212230 | 0501 | - | F | Spectrum emission mask for CA   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212231 | 0502 | - | F | Adjacent channel leakage ratio for CA   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212233 | 0504 | - | F | Spurious emission band UE co-existence for CA   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212341 | 0505 | - | F | FR2 MPR - Test configuration correction   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212342 | 0506 | - | F | Removal of requirement for EIRP measurement in the transmitter spurious emission test cases   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212343 | 0507 | - | F | Test limits update for MOP spherical coverage test case 6.2.1.2   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212351 | 0508 | - | F | ACS and IBB - FR2 MU definition in 38.521-2   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212523 | 0510 | - | F | Update of the test configuration for 6.5D.1 Occupied Bandwidth for UL MIMO test case  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212814 | 0515 | - | F | Updated CA NS 201 202 203 for additional spurious emission  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212815 | 0516 | - | F | Align CA spurious emission UE coex requirements with core spec  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212829 | 0519 | - | F | Correction of 7.6 for test of blocking characteristics  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212858 | 0521 | - | F | Removal of brackets for the Configured transmitted power requirements   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212859 | 0522 | - | F | Removal of test cases in 6.3A.2   | 16.8.0 |
| 2021-06 | RAN#92 | R5-212861 | 0524 | - | F | Correction of definition for bit 1 of modifiedMPRbehavior field of n28  | 16.8.0 |
| 2021-06 | RAN#92 | R5-212975 | 0531 | - | F | Updating H.2.2 for NR SA FR2 testing  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213309 | 0545 | - | F | Update of output power dynamic test cases   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213319 | 0546 | - | F | Update of Spectrum Emission Mask for UL MIMO test case  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213325 | 0549 | - | F | Editorial Correction to FR2 frequency sub-group definitions   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213329 | 0552 | - | F | EIS Requirements update for Rel.16 Inter-band CA  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213333 | 0555 | - | F | Align MBR requirements table with current core spec   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213836 | 0511 | 1 | F | Correction of power control in 38.521-2   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213837 | 0540 | 1 | F | FR2 Carrier Aggregation Minimum Output power updates  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213838 | 0548 | 1 | F | Implementation of PCC Prio test procedure updates in UL-CA tests  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213839 | 0535 | 1 | F | CR to 38.521-2 on Optional 4x2 PC3 Antenna Array Configuration  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213840 | 0536 | 1 | F | CR to 38.521-2 on larger quiet zone with grey-box approach  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213841 | 0537 | 1 | F | CR to 38.521-2 to clarify BP Searches for NTC and ETC   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213842 | 0539 | 1 | F | Measurement uncertainties and test tolerances for FR2 Relative and aggregate power tolerance  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213895 | 0509 | 1 | F | Update of the test configuration for 6.4A.2.1 EVM CA test cases   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213896 | 0514 | 1 | F | Update to FR2 test case title in clause 6   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213897 | 0518 | 1 | F | Correction of 6.2.3 for mapping of network signalling label   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213898 | 0523 | 1 | F | Correction of Test applicability of 6.4.2.5   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213899 | 0526 | 1 | F | Correction of subclause titles with appropriate styles  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213900 | 0529 | 1 | F | Editorial correction of AMPR and Additional spurious emission   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213901 | 0530 | 1 | F | Clean up of CA sub-titles   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213902 | 0541 | 1 | F | Clarifications on UE beamlock function applicability  | 16.8.0 |
| 2021-06 | RAN#92 | R5-213903 | 0538 | 1 | F | CR to 38.521-2 on Temperature Tolerance for FR2 Testing   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213904 | 0542 | 1 | F | Annex C: Clarifications to downlink signal levels   | 16.8.0 |
| 2021-06 | RAN#92 | R5-213984 | 0550 | 1 | F | Add n259 definition in common section   | 16.8.0 |
| 2021-06 | RAN#92 | R5-214011 | 0495 | 1 | F | Introduction of FR2 DL 256QAM to Maximum input level for CA   | 16.8.0 |
| 2021-06 | RAN#92 | R5-214028 | 0503 | 1 | F | Spurious emissions for CA   | 16.8.0 |
| 2021-06 | RAN#92 | R5-214029 | 0551 | 1 | F | Update with Rel16 Beam Correspondence requirements  | 16.8.0 |
| 2021-06 | RAN#92 | R5-214048 | 0512 | 1 | F | Correction of ON OFF time mask in 38.521-2  | 16.8.0 |
| 2021-06 | RAN#92 | R5-214049 | 0525 | 1 | F | Removal of for further study notes about ETC testing  | 16.8.0 |
| 2021-06 | RAN#92 | R5-214050 | 0554 | 1 | F | Addition of missing clauses for SA FR2 UL-CA scenarios  | 16.8.0 |
| 2021-06 | RAN#92 | R5-214051 | 0534 | 1 | F | Measurement Uncertainties updates for FR2 Extreme Testing Conditions  | 16.8.0 |
| 2021-06 | RAN#92 | R5-214078 | 0517 | 1 | F | Updated spurious emission CA test configuration table   | 16.8.0 |
| 2021-06 | RAN#92 | R5-214104 | 0499 | 1 | F | Transmit signal quality for CA  | 16.8.0 |
| 2021-09 | RAN#93 | R5-214605 | 0572 | - | F | Removal of empty cells in the test configuration table  | 16.9.0 |
| 2021-09 | RAN#93 |           |      |   |   | Removal of brackets from the Minimum Conformance Requirements of Reference sensitivity power level for Intra-band non-contiguous CA | 16.9.0 |
| 2021-09 | RAN#93 | R5-214606 | 0573 | - | F |   |        |
| 2021-09 | RAN#93 | R5-214608 | 0575 | - | F | Move the definition of cumulative aggregated channel bandwidth to the Definitions section   | 16.9.0 |
| 2021-09 | RAN#93 | R5-214910 | 0582 | - | F | Editorial correction to Reference sensitivity power level for Inter-band CA   | 16.9.0 |
| 2021-09 | RAN#93 | R5-214914 | 0586 | - | F | Transmit ON/OFF time mask test configuration for non-contiguous CA  | 16.9.0 |
| 2021-09 | RAN#93 | R5-214915 | 0587 | - | F | Frequency error for non-contiguous CA   | 16.9.0 |
| 2021-09 | RAN#93 | R5-215056 | 0590 | - | F | Update to time mask for FR2 UL-MIMO   | 16.9.0 |

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| 2021-09 | RAN#93 | R5-215329 | 0598 | - | F | Correction to MU and TT for spurious emission band UE co-existence                    | 16.9.0  |
| 2021-09 | RAN#93 | R5-215473 | 0605 | - | F | Clarification of PCC for FR2 DL CA  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215474 | 0606 | - | F | Correction of common UL configuration   | 16.9.0  |
| 2021-09 | RAN#93 | R5-215517 | 0609 | - | F | Minor correction on UL additional reference channels parameters for TDD 60kHz SCS     | 16.9.0  |
| 2021-09 | RAN#93 | R5-215583 | 0618 | - | F | MTSU and TT mapping related to Max Device Size  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215584 | 0619 | - | F | MTSU and TT mapping related to Max Device Size  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215585 | 0620 | - | F | MTSU and TT mapping related to Max Device Size  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215618 | 0622 | - | F | EIS spherical coverage for inter-band CA  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215636 | 0628 | - | F | Updates to CSI-RS based beam correspondence minimum requirements                      | 16.9.0  |
| 2021-09 | RAN#93 | R5-215637 | 0629 | - | F | Updates to SSB based beam correspondence minimum requirements                         | 16.9.0  |
| 2021-09 | RAN#93 | R5-215641 | 0630 | - | F | Text correction to section clarifying leverage from NSA test coverage                 | 16.9.0  |
| 2021-09 | RAN#93 | R5-215830 | 0612 | 1 | F | FR2 SA UL MIMO measurement uncertainties and test tolerances updates                  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215831 | 0614 | 1 | F | Editorial correction for Receiver Spurious Emissions Measurement Uncertainty          | 16.9.0  |
| 2021-09 | RAN#93 | R5-215848 | 0558 | 1 | F | Introduction of new clause 6.3A.4.4 and Minimum conformance requirements              | 16.9.0  |
| 2021-09 | RAN#93 | R5-215849 | 0565 | 1 | F | Introduction of new TC 6.3A.4.4.1 Aggregate power tolerance for CA (2UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215850 | 0566 | 1 | F | Introduction of new TC 6.3A.4.4.2 Aggregate power tolerance for CA (3UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215851 | 0567 | 1 | F | Introduction of new TC 6.3A.4.4.3 Aggregate power tolerance for CA (4UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215852 | 0568 | 1 | F | Introduction of new TC 6.3A.4.4.4 Aggregate power tolerance for CA (5UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215853 | 0569 | 1 | F | Introduction of new TC 6.3A.4.4.5 Aggregate power tolerance for CA (6UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215854 | 0570 | 1 | F | Introduction of new TC 6.3A.4.4.6 Aggregate power tolerance for CA (7UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215855 | 0571 | 1 | F | Introduction of new TC 6.3A.4.4.7 Aggregate power tolerance for CA (8UL CA)           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215856 | 0580 | 1 | F | Addition of new test case 6.4D.1 Frequency error for UL MIMO in FR2                   | 16.9.0  |
| 2021-09 | RAN#93 | R5-215857 | 0581 | 1 | F | Update of test case 6.4D.3 Time alignment error for UL MIMO in FR2                    | 16.9.0  |
| 2021-09 | RAN#93 | R5-215858 | 0591 | 1 | F | Cleaning up the specification skeleton  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215859 | 0593 | 1 | F | Editorial corrections for various test cases  | 16.9.0  |
| 2021-09 | RAN#93 | R5-215860 | 0595 | 1 | F | Correction of FR2 Carrier Leakage Test Case   | 16.9.0  |
| 2021-09 | RAN#93 | R5-215861 | 0599 | 1 | F | Editors note correction to reference sensitivity for CA                               | 16.9.0  |
| 2021-09 | RAN#93 | R5-215862 | 0589 | 1 | F | Update of FR2 UL RMCs   | 16.9.0  |
| 2021-09 | RAN#93 | R5-215925 | 0603 | 1 | F | Correct the abbreviations for network signalling value in 38.521-2                    | 16.9.0  |
| 2021-09 | RAN#93 | R5-215975 | 0588 | 1 | F | Transmit modulation quality for non-contiguous CA                                     | 16.9.0  |
| 2021-09 | RAN#93 | R5-215976 | 0576 | 1 | F | Update Minimum conformance requirement clause 7.4A.0 for Rel-16 Enhancement           | 16.9.0  |
| 2021-09 | RAN#93 | R5-215977 | 0577 | 1 | F | Addition of clause 7.5A.0 minimum conformance requirement for Rel-16 Enhancement WP   | 16.9.0  |
| 2021-09 | RAN#93 | R5-215978 | 0578 | 1 | F | Addition of clause 7.6A.2.0 minimum conformance requirement for Rel-16 Enhancement WP | 16.9.0  |
| 2021-09 | RAN#93 | R5-215979 | 0623 | 1 | F | DL CA BW Enhancement and CA REFSENS   | 16.9.0  |
| 2021-09 | RAN#93 | R5-215980 | 0627 | 1 | F | Common clause updates to cover Rel.16 FR2 changes                                     | 16.9.0  |
| 2021-09 | RAN#93 | R5-216036 | 0611 | 1 | F | FR2 SA UL MIMO Out-of-band emissions initial conditions updates                       | 16.9.0  |
| 2021-09 | RAN#93 | R5-216037 | 0613 | 1 | F | FR2 SA UL MIMO Maximum Power Reduction update   | 16.9.0  |
| 2021-09 | RAN#93 | R5-216063 | 0602 | 1 | F | Update of 5.5A.1 for intra-band contiguous CA configuration table                     | 16.9.0  |
| 2021-09 | RAN#93 | R5-216081 | 0626 | 1 | F | Updates to Rel.16 enhanced Beam Correspondence test                                   | 16.9.0  |
| 2021-09 | RAN#93 | R5-216087 | 0556 | 1 | F | Update to FR2 minimum output power test case  | 16.9.0  |
| 2021-09 | RAN#93 | R5-216088 | 0557 | 1 | F | Update to FR2 ACLR test case  | 16.9.0  |
| 2021-09 | RAN#93 | R5-216089 | 0592 | 1 | F | Add missing LO retrieval step in ULCA carrier leakage test procedure                  | 16.9.0  |
| 2021-09 | RAN#93 | R5-216090 | 0594 | 1 | F | FR2 Spur emissions test config table updates and editor notes clean up                | 16.9.0  |
| 2021-09 | RAN#93 | R5-216091 | 0596 | 1 | F | Correction of power control in 38.521-2   | 16.9.0  |
| 2021-09 | RAN#93 | R5-216092 | 0625 | 1 | F | 38.521-2 CR FR2 ETC MU & TT updates   | 16.9.0  |
| 2021-09 | RAN#93 | R5-216111 | 0621 | 1 | F | UE maximum output power for UL-MIMO   | 16.9.0  |
| 2021-12 | RAN#94 | R5-216546 | 0631 | - | F | Addition of test configuration for FR2 DL 256QAM to Maximum input level               | 16.10.0 |
| 2021-12 | RAN#94 | R5-217092 | 0636 | - | F | Update Rx beam peak direction search  | 16.10.0 |

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| 2021-12 | RAN#94 | R5-217093 | 0637 | - | F | Update of Reference Sensitivity Test Cases for CA                                | 16.10.0 |
| 2021-12 | RAN#94 | R5-217113 | 0638 | - | F | FR2 Refsens correction for power class 2   | 16.10.0 |
| 2021-12 | RAN#94 | R5-217114 | 0639 | - | F | FR2 EIS spherical coverage correction for power class 2                          | 16.10.0 |
| 2021-12 | RAN#94 | R5-217248 | 0645 | - | F | Correction of note for BEAM_SELECT_WAIT_TIME                                     | 16.10.0 |
| 2021-12 | RAN#94 | R5-217249 | 0646 | - | F | Correction of subclause style, number and position                               | 16.10.0 |
| 2021-12 | RAN#94 | R5-217250 | 0647 | - | F | Correction of Table 6.2.2.4.1-9 for Test Frequency                               | 16.10.0 |
| 2021-12 | RAN#94 | R5-217331 | 0651 | - | F | Correction to test requirements of 6.2D.2 MPR for UL-MIMO                        | 16.10.0 |
| 2021-12 | RAN#94 | R5-217333 | 0653 | - | F | Removing 6.3D.3.4.5 SRS time mask for MIMO                                       | 16.10.0 |
| 2021-12 | RAN#94 | R5-217341 | 0654 | - | F | Correction of 3.2 and 3.3 for symbols and abbreviations                          | 16.10.0 |
| 2021-12 | RAN#94 | R5-217419 | 0658 | - | F | Correction of test configuration table in 6.3.4.2                                | 16.10.0 |
| 2021-12 | RAN#94 | R5-217420 | 0659 | - | F | Correction of aggregate power tolerance  | 16.10.0 |
| 2021-12 | RAN#94 | R5-217421 | 0660 | - | F | Correction of core requirement of aggregate power tolerance                      | 16.10.0 |
| 2021-12 | RAN#94 | R5-217614 | 0665 | - | F | Update to FR2 Tx test cases for n260   | 16.10.0 |
| 2021-12 | RAN#94 | R5-217708 | 0671 | - | F | FR2 Extreme Temperature Conditions applicability for ACLR                        | 16.10.0 |
| 2021-12 | RAN#94 | R5-217709 | 0672 | - | F | Minimum Output Power Editor notes review   | 16.10.0 |
| 2021-12 | RAN#94 | R5-217710 | 0673 | - | F | 38.521-2 FR2 Extreme Temperature Conditions applicability for UL-MIMO            | 16.10.0 |
| 2021-12 | RAN#94 | R5-218234 | 0644 | 1 | F | Correction of exception of message contents for DFT-s-OFDM modulation            | 16.10.0 |
| 2021-12 | RAN#94 | R5-218235 | 0650 | 1 | F | Global correction of test cases except those having impact on ETSI EN 301 908 25 | 16.10.0 |
| 2021-12 | RAN#94 | R5-218236 | 0652 | 1 | F | Correction to testability statement of 6.5.2.3 ACLR                              | 16.10.0 |
| 2021-12 | RAN#94 | R5-218237 | 0656 | 1 | F | Correction of 6.2.4 for configured transmitted power                             | 16.10.0 |
| 2021-12 | RAN#94 | R5-218238 | 0664 | 1 | F | Correction to FR2 Rx test cases  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218239 | 0669 | 1 | F | Clarification on reference sensitivity power level                               | 16.10.0 |
| 2021-12 | RAN#94 | R5-218240 | 0635 | 1 | F | Handling of fallbacks for FR2 CA   | 16.10.0 |
| 2021-12 | RAN#94 | R5-218241 | 0655 | 1 | F | Correction of 4.1 and 4.2 for minimum requirements and test requirements         | 16.10.0 |
| 2021-12 | RAN#94 | R5-218366 | 0678 | 1 | F | Updates to CSI-RS based beam correspondence minimum requirements                 | 16.10.0 |
| 2021-12 | RAN#94 | R5-218367 | 0679 | 1 | F | Updates to SSB based beam correspondence minimum requirements                    | 16.10.0 |
| 2021-12 | RAN#94 | R5-218368 | 0633 | 1 | F | MTSUs for Rel-16 RF Enhancement for FR2  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218369 | 0634 | 1 | F | TTs for Rel-16 RF Enhancement for FR2  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218401 | 0662 | 1 | F | Update of transmit modulation quality test cases                                 | 16.10.0 |
| 2021-12 | RAN#94 | R5-218407 | 0670 | 1 | F | 38.521-2 Beam correspondence Measurement Uncertainties                           | 16.10.0 |
| 2021-12 | RAN#94 | R5-218425 | 0640 | 1 | F | Spur emissions coex test config update and editor notes clean up                 | 16.10.0 |
| 2021-12 | RAN#94 | R5-218426 | 0641 | 1 | F | Clarify DL CC config for UL CA test  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218427 | 0642 | 1 | F | Update Minimum Output Power requirement  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218428 | 0643 | 1 | F | Alignment of the description for initial set up of downlink and uplink signals   | 16.10.0 |
| 2021-12 | RAN#94 | R5-218429 | 0648 | 1 | F | Correction of test cases having impact on ETSI EN 301 908 25                     | 16.10.0 |
| 2021-12 | RAN#94 | R5-218430 | 0649 | 1 | F | Correction of test configuration for CA test cases                               | 16.10.0 |
| 2021-12 | RAN#94 | R5-218431 | 0667 | 1 | F | Update of test case 6.2.3 A-MPR  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218432 | 0668 | 1 | F | Update of test case 6.5.3.3 A-Spurious   | 16.10.0 |
| 2021-12 | RAN#94 | R5-218474 | 0676 | 1 | F | Enhanced Beam Correspondence test updates  | 16.10.0 |
| 2021-12 | RAN#94 | R5-218475 | 0677 | 1 | F | Common clause updates to cover Rel.16 FR2 changes                                | 16.10.0 |
| 2021-12 | RAN#94 | R5-218484 | 0675 | 1 | F | Rel.15 Beam Correspondence Updates and clarifications                            | 16.10.0 |
| 2022-03 | RAN#95 | R5-220256 | 0684 | - | F | FR2 Frequency error tests - unify requirements per polarization                  | 16.11.0 |
| 2022-03 | RAN#95 | R5-220257 | 0685 | - | F | Test limit correction in FR2 MPR test case                                       | 16.11.0 |
| 2022-03 | RAN#95 | R5-220258 | 0686 | - | F | RX beam peak direction search procedure update in case of intra-band DL CA       | 16.11.0 |
| 2022-03 | RAN#95 | R5-220259 | 0687 | - | F | Updated reference to FR2 connection diagram in tests using modulated interferer  | 16.11.0 |
| 2022-03 | RAN#95 | R5-220274 | 0688 | - | F | Clarifications on 5G NR connectivity options for RF FR2                          | 16.11.0 |
| 2022-03 | RAN#95 | R5-220791 | 0693 | - | F | Update to 6.2D.1 for ULFPTx  | 16.11.0 |
| 2022-03 | RAN#95 | R5-220792 | 0694 | - | F | Update to 6.2D.2 for ULFPTx  | 16.11.0 |
| 2022-03 | RAN#95 | R5-220793 | 0695 | - | F | Update to 6.2D.4 for ULFPTx  | 16.11.0 |
| 2022-03 | RAN#95 | R5-220908 | 0698 | - | F | Correction to test procedure of 6.4A.1.1   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221060 | 0699 | - | F | Update of 6.2A.1 for UE maximum output power                                     | 16.11.0 |
| 2022-03 | RAN#95 | R5-221061 | 0700 | - | F | Update of 6.2.3 for UE maximum output power with additional requirements         | 16.11.0 |
| 2022-03 | RAN#95 | R5-221063 | 0702 | - | F | Update of 6.2A.4 for configured transmitted power for CA                         | 16.11.0 |
| 2022-03 | RAN#95 | R5-221111 | 0704 | - | F | Editorial correction to titles of FR2 test cases                                 | 16.11.0 |
| 2022-03 | RAN#95 | R5-221112 | 0705 | - | F | Update to test applicability to FR2 test cases                                   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221269 | 0706 | - | F | Correction of ON OFF time mask test cases for FR2                                | 16.11.0 |
| 2022-03 | RAN#95 | R5-221334 | 0709 | - | F | Removing TP analysis editor note for FR2 Tx spur emission UL MIMO test case      | 16.11.0 |
| 2022-03 | RAN#95 | R5-221338 | 0710 | - | F | Update to Clause 7.6 Blocking Characteristics                                    | 16.11.0 |
| 2022-03 | RAN#95 | R5-221341 | 0712 | - | F | Update to Intra-band non-contiguous CA   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221354 | 0716 | - | F | Update reference to intra-band non-contiguous UL-CA FR2 RF tests                 | 16.11.0 |

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| 2022-03 | RAN#95 | R5-221355 | 0717 | - | F | Editorial correction in intra-band non-contiguous configurations table   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221356 | 0718 | - | F | Add correct test case structure to Beam Correspondence CA test case  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221357 | 0719 | - | F | Introduce EIS test cases to incorporate Rel.16 inter-band CA   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221657 | 0707 | 2 | F | 38.521-2 Beam correspondence Measurement Uncertainties and test tolerances   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221685 | 0683 | 1 | F | Correction of test config tables of non-CA test cases for consistency with CA test cases on without RB allocation case | 16.11.0 |
| 2022-03 | RAN#95 | R5-221686 | 0689 | 1 | F | FR2 SA EVM test case update based on MU and TT analysis  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221687 | 0696 | 1 | F | Correction of general ON OFF time mask   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221688 | 0697 | 1 | F | Correction to FR2 absolute power tolerance MU and TT   | 16.11.0 |
| 2022-03 | RAN#95 | R5-221689 | 0681 | 1 | F | Removal of empty lines in Table 7.3.2.3.2-1 and Table 7.3.2.5-2  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221690 | 0703 | 1 | F | Correction to PDCCH DCI format for FR2 test cases  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221691 | 0711 | 1 | F | Update to Clause 7.5 Adjacent channel selectivity  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221692 | 0682 | 1 | F | Correction of the table title style of Table 5.5A.3-1  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221766 | 0701 | 1 | F | Update of 6.2A.2 for UE maximum output power reduction for CA  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221792 | 0708 | 1 | F | ETC for FR2 RF CA  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221889 | 0714 | 1 | F | FR2 Enhanced Beam Correspondence test updates  | 16.11.0 |
| 2022-03 | RAN#95 | R5-221890 | 0715 | 1 | F | Minimum Conformance Requirements updates to enhanced beam correspondence   | 16.11.0 |
| 2022-06 | RAN#96 | R5-222198 | 0720 | - | F | Correction of table numbers in 6.2D.2.5  | 16.12.0 |
| 2022-06 | RAN#96 | R5-222199 | 0721 | - | F | Correction of Test Environment for UL MIMO MPR test case   | 16.12.0 |
| 2022-06 | RAN#96 | R5-222342 | 0723 | - | F | Beam peak search - re-positioning formula correction   | 16.12.0 |
| 2022-06 | RAN#96 | R5-222488 | 0731 | - | F | Editorial correction for Tx test cases   | 16.12.0 |
| 2022-06 | RAN#96 | R5-222544 | 0733 | - | F | Update of A-MPR and A-SE test cases  | 16.12.0 |
| 2022-06 | RAN#96 | R5-222879 | 0736 | - | F | Update to FR2 6.2.3 A-MPR  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223122 | 0749 | - | F | Addition of FR2 6.2D.3 for ULFPTx  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223258 | 0752 | - | F | Correction of FR2 MOP and beam correspondence test cases   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223617 | 0728 | 1 | F | Update FR2 TRx MU in 38.521-2  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223749 | 0726 | 1 | F | Common Uplink Configuration updates for NR RF requirement enhancements for FR2   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223750 | 0740 | 1 | F | FR2 Enhanced Beam Correspondence test updates  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223751 | 0742 | 1 | F | Updates across Spherical Coverage test cases to incorporate Rel.16 requirements  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223752 | 0748 | 1 | F | Test case updates in Max Input Level FR2 CA tests  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223814 | 0724 | 1 | F | Rel-15 MPR updates   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223815 | 0725 | 1 | F | Common Uplink Configuration updates for Rel-15 FR2   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223816 | 0732 | 1 | F | Correction to DCI format in signal quality TCs   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223817 | 0739 | 1 | F | Implement test function approach to limit Pcell Power in FR2 UL-CA tests   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223818 | 0750 | 1 | F | Correction to 6.2.1.1 for multi-band relaxation factors for PC3 UE   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223819 | 0755 | 1 | F | Clarification on Configured transmitted power  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223820 | 0757 | 1 | F | Implementation of FR2 single carrier Tx beam peak applicability for UL MIMO Tx tests                                   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223821 | 0761 | 1 | F | Editorial correction to test requirement of FR2 test cases   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223822 | 0754 | 1 | F | Clarification on Adjacent channel selectivity  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223823 | 0758 | 1 | F | Clarification on In-band blocking  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223824 | 0730 | 1 | F | Editorial correction in Annex  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223825 | 0734 | 1 | F | Correction of TRP Measurement Grids  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223826 | 0735 | 1 | F | CR on applicability per permitted test method  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223827 | 0743 | 1 | F | Correction to FR2 DL RMCs  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223828 | 0744 | 1 | F | Initial introduction of fast spherical coverage test method  | 16.12.0 |
| 2022-06 | RAN#96 | R5-223829 | 0745 | 1 | F | Initial introduction of RSRP-B based Rx Peak Beam Search   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223830 | 0746 | 1 | F | Initial introduction of Enhanced EIRP measurement method   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223831 | 0751 | 1 | F | Correction to A.2.3 and A.3.3 for UL and DL RMCs   | 16.12.0 |
| 2022-06 | RAN#96 | R5-223832 | 0760 | 1 | F | Clarification on UE Channel bandwidth per operating band for CA  | 16.12.0 |
| 2022-09 | RAN#97 | R5-224247 | 0772 | - | F | Correction of the SCS value in Table 5.3.5-1 for n259  | 16.13.0 |
| 2022-09 | RAN#97 | R5-224247 | 0772 | - | F | Correction of the SCS value in Table 5.3.5-1 for n259  | 16.13.0 |
| 2022-09 | RAN#97 | R5-224248 | 0773 | - | F | Correction of the clause numbers and table numbers in 7.3A.3   | 16.13.0 |
| 2022-09 | RAN#97 | R5-224303 | 0775 | - | F | PUCCH format correction to test DFT-s-OFDM in FR2  | 16.13.0 |
| 2022-09 | RAN#97 | R5-224305 | 0777 | - | F | FR2 SA EVM test case update based on TT analysis   | 16.13.0 |
| 2022-09 | RAN#97 | R5-224907 | 0787 | - | F | Reference sensitivity power level for CA, editor notes update on ETC   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225107 | 0794 | - | F | Update of spurious emissions test cases  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225205 | 0797 | - | F | CR to update validation test frequencies and sub-ranges  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225607 | 0798 | 1 | F | Addition of new test case 6.2.2_1 for FR2 MPR enhancements   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225658 | 0762 | 1 | F | New test case addition: 6.2.4_1 Configured transmitted power with Power Boost  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225659 | 0765 | 1 | F | Enhanced Beam correspondence Measurement Uncertainties and test tolerances   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225660 | 0764 | 1 | F | Measurement uncertainties and test tolerances for test case 6.2.4_1 Configured transmitted power with Power Boost      | 16.13.0 |

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| 2022-09 | RAN#97 | R5-225664 | 0776 | 1 | F | PC1 - MU and TT definition for MOP in 38.521-2  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225665 | 0778 | 1 | F | PC1 - MU and TT definition for REFSENS in 38.521-2  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225666 | 0767 | 1 | F | Updates to Spherical Coverage annexes   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225667 | 0780 | 1 | F | Definition of PC1 MU and relaxation   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225679 | 0779 | 1 | F | Update of FR2 5 to 8UL CA Test Cases  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225680 | 0766 | 1 | F | Updates related to TPMT test methods  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225719 | 0774 | 1 | F | Applicable NR-ARFCN correction for n259   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225743 | 0763 | 1 | F | In-band emissions minimum conformance requirements update   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225744 | 0786 | 1 | F | Reference sensitivity power level for CA, update on intra-band non-continuous CA  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225792 | 0768 | 1 | F | Tx Fast Spherical Coverage test cases integration   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225793 | 0771 | 1 | F | FR2 Tx Signal Quality UL MIMO Test Case Updates   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225794 | 0795 | 1 | F | Correction of spurious emissions test case  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225795 | 0800 | 1 | F | Updated Test points in FR2 CA MPR test case   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225796 | 0769 | 1 | F | Rx Fast Spherical Coverage test cases integration   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225797 | 0785 | 1 | F | Correction to interfere offset in 7.6.2   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225798 | 0770 | 1 | F | Annex updates related to RSRP-B Rx Beam peak search   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225843 | 0796 | 1 | F | Update to FR2 CA MPR test case 6.2A.2.1 to prevent SCell drop by using UE PHR   | 16.13.0 |
| 2022-09 | RAN#97 | R5-225844 | 0799 | 1 | F | Extension of test function approach to limit Pcell Power in some FR2 UL CA tests  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225845 | 0784 | 1 | F | Correction to test procedure of minimum output power  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225870 | 0782 | 1 | F | Correction to EVM measurement point for DFTs-OFDM DM-RS Type 2  | 16.13.0 |
| 2022-09 | RAN#97 | R5-225771 | 0788 | 1 | F | HST FR2 6.2.3 UE maximum output power with additional requirements  | 17.0.0  |
| 2022-09 | RAN#97 | R5-225772 | 0789 | 1 | F | HST FR2 6.2D.1.1 adding Release-17 FR2 PC6 UE maximum output power for UL MIMO  | 17.0.0  |
| 2022-09 | RAN#97 | R5-225773 | 0790 | 1 | F | HST FR2 6.3.1 adding Release-17 FR2 PC6 Minimum output power  | 17.0.0  |
| 2022-09 | RAN#97 | R5-225774 | 0791 | 1 | F | HST FR2 6.4.2.2 adding Release-17 FR2 PC6 Carrier leakage   | 17.0.0  |
| 2022-09 | RAN#97 | R5-225775 | 0792 | 1 | F | HST FR2 6.4.2.3 adding Release-17 FR2 PC6 In-band emissions   | 17.0.0  |
| 2022-10 | RAN#97 | -         | -    | - | - | history table correction concerning the Rel-17 CRs  | 17.0.1  |
| 2022-12 | RAN#98 | R5-225966 | 0804 |   | F | Definitions and symbols for further FR2 enhancements  | 17.1.0  |
| 2022-12 | RAN#98 | R5-226838 | 0830 |   | F | Clarification on Maximum input and ACS and IBB for FR2 DL intra and inter combinations  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227375 | 0859 |   | F | Editorial clean-up of Pending R15 FR2 CA configs from cl 7 of SA-FR2 RF test specification                                      | 17.1.0  |
| 2022-12 | RAN#98 | R5-227762 | 0841 | 1 | F | TRP measurement addition in test 6.2.1.1_1  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227763 | 0821 | 1 | F | Editorial correction of clause styles and clause numbers in 6.2.2_1 and 6.2.4_1   | 17.1.0  |
| 2022-12 | RAN#98 | R5-227764 | 0802 | 1 | F | Editorial correction to EIS spherical coverage  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227765 | 0822 | 1 | F | Editorial correction for 6.4D.2.1.4   | 17.1.0  |
| 2022-12 | RAN#98 | R5-227766 | 0857 | 1 | F | Editorial clean-up of Pending R15 FR2 CA configs from cl 5 of SA-FR2 RF test specification                                      | 17.1.0  |
| 2022-12 | RAN#98 | R5-227767 | 0861 | 1 | F | Editorial clean-up of Pending R16 FR2 CA configs from cl 6 of SA-FR2 RF test specification                                      | 17.1.0  |
| 2022-12 | RAN#98 | R5-227769 | 0860 | 1 | F | Editorial clean-up of Pending R16 FR2 CA configs from cl 5 of SA-FR2 RF test specification                                      | 17.1.0  |
| 2022-12 | RAN#98 | R5-227770 | 0858 | 1 | F | Editorial clean-up of Pending R15 FR2 CA configs from cl 6 of SA-FR2 RF test specification                                      | 17.1.0  |
| 2022-12 | RAN#98 | R5-227771 | 0811 | 1 | F | CBW requirement correction for Carrier Leakage FR2 UL CA test cases   | 17.1.0  |
| 2022-12 | RAN#98 | R5-227772 | 0866 | 1 | F | Pending updates to clause 7 of SA FR2 spec related to FR2 RF enhancements in Rel16  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227773 | 0856 | 1 | F | Introduce FR2 RF test case for UE phase continuity requirements when UE supports DMRS bundling                                  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227774 | 0855 | 1 | F | Introduce framework for UL-Gaps related Tx Power tests  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227775 | 0838 | 1 | F | Updates to test 6.2.2_1 UE maximum output power reduction enhancements  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227776 | 0845 | 1 | F | Updates to PHR configuration  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227777 | 0824 | 1 | F | FR2 Redcap UL configuration and UE type definition  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227782 | 0803 | 1 | F | Update of Maximum input level for CA  | 17.1.0  |
| 2022-12 | RAN#98 | R5-227785 | 0823 | 1 | F | Addition of subclause 7.6.2.0   | 17.1.0  |
| 2022-12 | RAN#98 | R5-227819 | 0836 | 1 | F | Measurement uncertainties and test tolerances for mpr-PowerBoost tests 6.4.2.1_1, 6.5.2.1_1, 6.5.3.1_1, 6.5.3.2_1 and 6.5.3.3_1 | 17.1.0  |
| 2022-12 | RAN#98 | R5-227910 | 0832 | 1 | F | New test case addition: 6.5.2.1_1 Spectrum Emission Mask with Power Boost   | 17.1.0  |
| 2022-12 | RAN#98 | R5-227911 | 0831 | 1 | F | New test case addition: 6.4.2.1_1 Error vector magnitude with Power Boost   | 17.1.0  |
| 2022-12 | RAN#98 | R5-227941 | 0854 | 1 | F | Test procedure update for Reference sensitivity power level for CA  | 17.1.0  |



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|  |  |  |  |  | (2DL CA) for inter-band DL CA |  |
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| 2022-12 | RAN#98  | R5-227944 | 0839 | 1 | F | SSB-based and CSI-RS based L1-RSRP measurements side conditions clarifications in test 6.2.1.1 | 17.1.0 |
| 2022-12 | RAN#98  | R5-227945 | 0840 | 1 | F | SSB-based and CSI-RS based L1-RSRP measurements side conditions clarifications in test 6.6.1   | 17.1.0 |
| 2022-12 | RAN#98  | R5-227960 | 0812 | 1 | F | PC1 - ACLR test case update in 38.521-2  | 17.1.0 |
| 2022-12 | RAN#98  | R5-227961 | 0815 | 1 | F | PC1 - MOP test case update in 38.521-2   | 17.1.0 |
| 2022-12 | RAN#98  | R5-227962 | 0818 | 1 | F | PC1 - OFF power test case update in 38.521-2   | 17.1.0 |
| 2022-12 | RAN#98  | R5-227963 | 0820 | 1 | F | PC1 - SEM test case update in 38.521-2   | 17.1.0 |
| 2022-12 | RAN#98  | R5-227964 | 0813 | 1 | F | PC1 - ACS and IBB test case update in 38.521-2   | 17.1.0 |
| 2022-12 | RAN#98  | R5-227965 | 0819 | 1 | F | PC1 - REFSSENS test case update in 38.521-2  | 17.1.0 |
| 2022-12 | RAN#98  | R5-227985 | 0842 | 1 | F | Definition of PC1 MU and TT  | 17.1.0 |
| 2022-12 | RAN#98  | R5-227641 | 0843 | 2 | F | Definition of TRP grids for spurious emissions for PC1   | 17.1.0 |
| 2022-12 | RAN#98  | R5-228031 | 0844 | 1 | F | Addition of new Annex Q for Difference of relative phase and power errors                      | 17.1.0 |
| 2022-12 | RAN#98  | R5-228037 | 0833 | 1 | F | New test case addition: 6.5.3.1_1 Transmitter Spurious emissions with Power Boost              | 17.1.0 |
| 2022-12 | RAN#98  | R5-228038 | 0834 | 1 | F | New test case addition: 6.5.3.2_1 Spurious emission band UE co-existence with Power Boost      | 17.1.0 |
| 2022-12 | RAN#98  | R5-228039 | 0835 | 1 | F | New test case addition: 6.5.3.3_1 Additional spurious emissions with Power Boost               | 17.1.0 |
| 2022-12 | RAN#98  | R5-228041 | 0850 | 1 | F | Updates on EIS spherical coverage for Power Classes 1, 2,3 and 4                               | 17.1.0 |
| 2022-12 | RAN#98  | R5-228042 | 0852 | 1 | F | Updates on Reference sensitivity for power class 1, 2 and 3                                    | 17.1.0 |
| 2022-12 | RAN#98  | R5-228043 | 0853 | 1 | F | Updates on In-band blocking requirements   | 17.1.0 |
| 2023-03 | RAN#99  | R5-230214 | 0879 | - | F | Correction of RB allocation in MPR and ACLR for PC1  | 17.2.0 |
| 2023-03 | RAN#99  | R5-230563 | 0882 | - | F | Editorial correction for style of clause title in 6.2.4 and 6.2.5                              | 17.2.0 |
| 2023-03 | RAN#99  | R5-230566 | 0885 | - | F | Addition of subclause F.1.0  | 17.2.0 |
| 2023-03 | RAN#99  | R5-230839 | 0894 | - | F | Updates on aggregate channel bandwidth EIS relaxation  | 17.2.0 |
| 2023-03 | RAN#99  | R5-230840 | 0895 | - | F | Updates on Adjacent Channel Selectivity (ACS)  | 17.2.0 |
| 2023-03 | RAN#99  | R5-230841 | 0896 | - | F | Updates on diversity characteristics   | 17.2.0 |
| 2023-03 | RAN#99  | R5-230976 | 0902 | - | F | Correction to beam correspondence  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231244 | 0903 | - | F | Minor updates to UPLF activation in applicable UL CA test procedures                           | 17.2.0 |
| 2023-03 | RAN#99  | R5-231285 | 0905 | - | F | Additions to the definition of RedCap UE   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231303 | 0907 | - | F | Update of MOP with additional requirements   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231371 | 0911 | - | F | Update to FR2 RF phase continuity test   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231373 | 0912 | - | F | Updates to FR2 RF test case 6.2.5 for EIRP with UL-Gaps  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231660 | 0867 | 1 | F | Update of Maximum input level for CA   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231661 | 0887 | 1 | F | Correcting reference to BEAM SELECT WAIT TIME definition                                       | 17.2.0 |
| 2023-03 | RAN#99  | R5-231662 | 0888 | 1 | F | Correcting reference to BEAM SELECT WAIT TIME definition                                       | 17.2.0 |
| 2023-03 | RAN#99  | R5-231663 | 0886 | 1 | F | Correction of Typos in Annex   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231664 | 0889 | 1 | F | Correction of BPS references in SphCov Annex procedures  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231665 | 0897 | 1 | F | add test case configuration and requirements for 38.521-2 Tx 6.2.3                             | 17.2.0 |
| 2023-03 | RAN#99  | R5-231666 | 0898 | 1 | F | add test case configuration and requirements for 38.521-2 Tx 6.2D.1.1                          | 17.2.0 |
| 2023-03 | RAN#99  | R5-231667 | 0899 | 1 | F | add test case configuration and requirements for 38.521-2 Tx 6.3.1                             | 17.2.0 |
| 2023-03 | RAN#99  | R5-231668 | 0900 | 1 | F | add test case configuration and requirements for 38.521-2 Tx 6.4.2.2                           | 17.2.0 |
| 2023-03 | RAN#99  | R5-231669 | 0901 | 1 | F | add test case configuration and requirements for 38.521-2 Tx 6.4.2.3                           | 17.2.0 |
| 2023-03 | RAN#99  | R5-231775 | 0876 | 1 | F | PC5 - REFSSENS test cases update in 38.521-2   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231776 | 0877 | 1 | F | CR on PC5 Measurement Grids  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231779 | 0868 | 1 | F | PC1 - ACLR test case update in 38.521-2  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231780 | 0870 | 1 | F | PC1 - MOP test case update in 38.521-2   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231781 | 0881 | 1 | F | Update of PC1 MU and TT  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231782 | 0873 | 1 | F | PC1 - REFSSENS test cases update in 38.521-2   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231791 | 0878 | 1 | F | Definition of PC1 MU and TT  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231837 | 0906 | 1 | F | Corrections on CA MPR definition in FR2  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231845 | 0871 | 1 | F | PC1 - MPR test case update in 38.521-2   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231846 | 0875 | 1 | F | PC1 - TX spurious test cases update in 38.521-2  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231852 | 0910 | 1 | F | Inter-band DL CA updates   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231866 | 0869 | 1 | F | PC1 - Min power test case update in 38.521-2   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231870 | 0908 | 1 | F | Update to in-band blocking for CA  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231873 | 0893 | 1 | F | Adding FR2 Redcap UE MoP EIRP and TRP test cases   | 17.2.0 |
| 2023-03 | RAN#99  | R5-231881 | 0891 | 1 | F | Removal of Tx beam peak direction reference in TX spherical coverage test procedure            | 17.2.0 |
| 2023-03 | RAN#99  | R5-231882 | 0890 | 1 | F | Removal of Rx beam peak direction reference in RX spherical coverage test procedure            | 17.2.0 |
| 2023-03 | RAN#99  | R5-231886 | 0909 | 1 | F | Updates to PHR method to avoid Scell drop  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231890 | 0892 | 1 | F | Update to test applicability of MPR  | 17.2.0 |
| 2023-03 | RAN#99  | R5-231967 | 0880 | 1 | F | Update of the spurious emissions test cases  | 17.2.0 |
| 2023-06 | RAN#100 | R5-232170 | 0918 | - | F | FR2 PC3 - Network Analyzer MU and TT update in 38.521-2  | 17.3.0 |
| 2023-06 | RAN#100 | R5-232356 | 0919 | - | F | FR2 OBW CA - Test requirements misaligned with minimum requirements                            | 17.3.0 |

|         |         |           |      |   |   |   |        |
|---------|---------|-----------|------|---|---|---|--------|
| 2023-06 | RAN#100 | R5-232357 | 0920 | - | F | 1RB allocation increased to accommodate PHR in 2UL CA tests               | 17.3.0 |
| 2023-06 | RAN#100 | R5-232515 | 0921 | - | F | HST FR2 6.2D.1.2 UE maximum output power - Spherical coverage for UL MIMO | 17.3.0 |
| 2023-06 | RAN#100 | R5-232516 | 0922 | - | F | HST FR2 6.3D.1 Minimum output power for UL MIMO                           | 17.3.0 |
| 2023-06 | RAN#100 | R5-232617 | 0924 | - | F | Adding FR2 Redcap Rx RefSens test case                                    | 17.3.0 |
| 2023-06 | RAN#100 | R5-232618 | 0925 | - | F | Adding FR2 Redcap PC7 to Rx Test Config Tables                            | 17.3.0 |
| 2023-06 | RAN#100 | R5-232632 | 0930 | - | F | Clarification of QoQZ TRP Grids   | 17.3.0 |
| 2023-06 | RAN#100 | R5-232634 | 0931 | - | F | Clarification of Example DUT Coordinate System                            | 17.3.0 |
| 2023-06 | RAN#100 | R5-233024 | 0936 | - | F | Adding noise impact of PC1 minimum output power in Annex F                | 17.3.0 |
| 2023-06 | RAN#100 | R5-233206 | 0944 | - | F | Addition to the abbreviations on RedCap for FR2 UE                        | 17.3.0 |
| 2023-06 | RAN#100 | R5-233219 | 0947 | - | F | Corrections on the minimum guardband calculation for FR2                  | 17.3.0 |
| 2023-06 | RAN#100 | R5-233225 | 0949 | - | F | FR2 Spectrum Emission Mask test procedure update                          | 17.3.0 |
| 2023-06 | RAN#100 | R5-233527 | 0940 | 1 | F | Update of Additional Spurious Emissions CA test cases                     | 17.3.0 |
| 2023-06 | RAN#100 | R5-233544 | 0937 | 1 | F | Clarification of spurious emission testing configuration - Part 2         | 17.3.0 |
| 2023-06 | RAN#100 | R5-233551 | 0950 | 1 | F | Update to FR2 RF phase continuity test                                    | 17.3.0 |
| 2023-06 | RAN#100 | R5-233552 | 0913 | 1 | F | Adding RedCap UE FR2 PC7 Carrier leakage requirement                      | 17.3.0 |
| 2023-06 | RAN#100 | R5-233553 | 0914 | 1 | F | Adding RedCap UE FR2 PC7 In-band emissions requirement                    | 17.3.0 |
| 2023-06 | RAN#100 | R5-233554 | 0939 | 1 | F | Adding side condition of beam correspondence for PC7                      | 17.3.0 |
| 2023-06 | RAN#100 | R5-233559 | 0953 | 1 | F | Updates to FR2 CA EIS Sph Cov tests                                       | 17.3.0 |
| 2023-06 | RAN#100 | R5-233560 | 0952 | 1 | F | Updates to FR2 CA Refsens tests   | 17.3.0 |
| 2023-06 | RAN#100 | R5-233561 | 0954 | 1 | F | Updates to FR2 CA Max Input Level tests                                   | 17.3.0 |
| 2023-06 | RAN#100 | R5-233562 | 0941 | 1 | F | Update of Additional MPR CA test cases                                    | 17.3.0 |
| 2023-06 | RAN#100 | R5-233578 | 0945 | 1 | F | Corrections on test parameters for adjacent channel selectivity for FR2   | 17.3.0 |
| 2023-06 | RAN#100 | R5-233579 | 0946 | 1 | F | Corrections on test parameters for blocking characteristics for FR2       | 17.3.0 |
| 2023-06 | RAN#100 | R5-233631 | 0915 | 1 | F | PC5 - MOP test cases update in 38.521-2                                   | 17.3.0 |
| 2023-06 | RAN#100 | R5-233635 | 0932 | 1 | F | Definition of MU and requirements for FR2c                                | 17.3.0 |
| 2023-06 | RAN#100 | R5-233636 | 0917 | 1 | F | PC1 - ACS Case 1 and IBB test cases update in 38.521-2                    | 17.3.0 |
| 2023-06 | RAN#100 | R5-233637 | 0928 | 1 | F | Update of SE TRP Offsets  | 17.3.0 |
| 2023-06 | RAN#100 | R5-233641 | 0929 | 1 | F | Update of Fine SE TRP Grids   | 17.3.0 |
| 2023-06 | RAN#100 | R5-233702 | 0927 | 1 | F | Update of SE TRP Offsets  | 17.3.0 |
| 2023-06 | RAN#100 | R5-233716 | 0951 | 1 | F | Updates to FR2 RF test case 6.2.5 for EIRP with UL-Gaps                   | 17.3.0 |
| 2023-06 | RAN#100 | R5-233717 | 0938 | 1 | F | Update to test applicability and side condition of beam correspondence    | 17.3.0 |
| 2023-06 | RAN#100 | R5-233718 | 0926 | 2 | F | Adding FR2 Redcap PC7 to Tx Test Config Tables                            | 17.3.0 |
| 2023-06 | RAN#100 | R5-233719 | 0923 | 2 | F | Adding FR2 Redcap Rx EIS test case  | 17.3.0 |
| 2023-06 | RAN#100 | R5-233723 | 0935 | 1 | F | Addition of Annex Q.2 for Relative Phase Error Measurement                | 17.3.0 |